IN RE: SPORTS WAGERING – PERSONS AFFILIATED WITH SPORTS TEAMS PROHIBITED FROM WAGERING ON CERTAIN EVENTS

NOTICE OF AVAILABILITY OF SPORTS TEAM AFFILIATED PERSONS LIST

Pursuant to the authority embodied in Act 42 of 2017, Pennsylvania now authorizes the conduct of legalized, sports wagering as provided by statute at 4 PA.C.S. Chapter 13C. Under that law, individuals engaging in sports wagering must be at least 21 years of age and physically located within Pennsylvania in order to participate in sports wagering activity.

The Pennsylvania Gaming Control Board, as the regulatory oversight body of the conduct of sports wagering, has promulgated regulations which contain various mechanisms to assist in safeguarding the integrity of the sports wagering product in Pennsylvania. *See generally* 58 PA.Code Chapter 1400. One of those mechanisms is a provision permitting sports teams and institutions to create and submit to the Board a list of persons affiliated with sports teams who are prohibited from wagering due to their participation in a sport or the nature of their position with a team that could provide access to insider information. Precluding such persons from participating in sports wagering is intended to assist to avoid actions undermining the fairness and integrity of sports wagering in the Commonwealth. The list created will be made available to licensed sports wagering operators for their use in limiting identified persons from establishing sports wagering accounts.

More specifically, and by way of background, the enactment of Act 42 of 2017 authorized the conduct of sports wagering in Pennsylvania on professional and collegiate events. *See generally* 4 Pa.C.S. Chapter 13C, Sports Wagering. The Act also provided authority to the Pennsylvania Gaming Control Board to promulgate regulations establishing standards and procedures for the conduct of sports wagering. 4 Pa.C.S. §13C02. Consistent with that authority, the Board promulgated regulation 58 Pa.Code §1401.8. Persons prohibited from engaging in sports wagering activities, which provides in relevant part:

(b) No collegiate or professional athlete, referee, official, coach, manager, handler or athletic trainer or employee or contractor of a team or athletic organization who has access to nonpublic information concerning an athlete or team may engage in sports wagering on an athletic event or the performance of an individual in the athletic event in which the person is participating or otherwise has access to nonpublic or exclusive information.

(c) No collegiate or professional athlete, referee, official, coach, manager, handler, athletic trainer or employee of a team or athletic organization who has access to nonpublic information concerning an athlete or team may engage in sports wagering on an athletic event or the performance of an individual in athletic events in the sport or league in which the person is involved.

•••

(f) An athletic team or the governing body or league of an athletic team may provide to the Board a list of all persons within the teams' organization as well as all league officials or referees prohibited from engaging in sports wagering under this section, along with the specific type of athletic events from which the person is prohibited from participating in sports wagering activities. The Board shall provide that list of persons identified by the athletic team or governing body or league to sports wagering certificate holders and sports wagering operator licensees to facilitate these prohibitions on sports wagering activities.¹

To be clear, an athletic team or institution is not required to submit a list of associated prohibited persons but may elect to do so if desired. The web-based portal for college and professional sports teams to upload a list of persons associated with such teams/schools has been created and is now available.

In order to be provided access credentials enabling individuals to upload the information, a team and/or collegiate institution will need to provide the

¹ In addition, persons who are under 21 years of age are already excluded from placing sports wagers and from establishing on-line sports wagering accounts. Therefore, including persons on the Sports team player exclusion list who are not 21 or within months of turning 21 would be superfluous and create added work requirements.

Board with the following information for no more than two individuals who will have authorization to upload the information and update it on a quarterly basis:

- 1. Full Name:
- 2. Title/Position:
- 3. Email Address:
- 4. Telephone Number:
- 5. School/Team:

The above-listed information for those persons seeking access credentials should be sent to <u>PGCBSportsTeamSubmission@pa.gov</u>. Upon receipt of this information, the Board's Office of Information Technology will forward the portal log-in access credentials to the identified individuals which then will enable the submission of prohibited persons as designated by the team or school.

For informational purposes, submission of the information will be in an excel format similar to that indicated below:

Last Name	First Name	Middle Name	Last 4 of SSN	Birth Date	Phone Number	Email Address	League	Team	Sport(s)

A team or institution may upload the information as soon as it compiles the list and then update the submission as appropriate at the end of each calendar quarter (during the last week of March, June, September and December). The updated list, which will be maintained as confidential from public disclosure, will then be made available to sports wagering operators for their use in complying with the regulations of the Board.

Please feel free to forward any questions about this process to Kevin Kile, Director of Sports Wagering at <u>KKile@pa.gov</u> and R. Douglas Sherman, Chief Counsel at <u>RSherman@pa.gov</u>.