

**RULES AND REGULATIONS**

**PENNSYLVANIA GAMING CONTROL BOARD**

**[58 PA. CODE CH 441]**

**Draft Temporary Regulations**

The Pennsylvania Gaming Control Board (Board), under authority in 4 Pa.C.S. § 1202 (relating to general and specific powers), has drafted temporary regulations to facilitate the prompt implementation of 4 Pa.C.S. Part II (relating to gaming), enacted by the act of July 5, 2005 (P.L. 572, No. 71)(Act 71). Included in this draft are regulations pertaining to employee status reports and notice of employee misconduct and offenses. Upon adoption of the regulations by the Board, the Board's temporary regulations will be added to Part VII (relating to Gaming Control Board). By publishing these regulations in draft form, the Board seeks public comment prior to the adoption of the regulations.

*Contact Person*

Interested persons are invited to submit written comments, suggestions or objections to the draft temporary regulations to the Pennsylvania Gaming Control Board, Office of Communications, P.O. Box 69060, Harrisburg, PA 17106-9060, ATTENTION: Public Comment. The public comment period will end on Tuesday June 20, 2006.

**THOMAS A. DECKER, CHAIRPERSON**

**ANNEX A**

**RULES AND REGULATIONS**

**PENNSYLVANIA GAMING CONTROL BOARD**

**[58 PA. CODE CH 441]**

**TABLE OF CONTENTS**

**SUBPART C. SLOT MACHINE LICENSING**

**CHAPTER 441. SLOT MACHINE LICENSES**

§ 441.21. Employee Status Report.

§ 441.22. Notice of employee misconduct and offenses.

**§ 441.21. Employee Status Report.**

(a) Each month each slot machine licensee shall generate a monthly Employee Status Report for all slot machine licensee employees. The report shall be submitted to the Board's Bureau of Licensing no later than the 15th calendar day of the following month. The report shall include the following information:

(1) An alphabetical listing of all individuals currently employed by the slot machine licensee and the following information with respect to each employee listed:

(i) The name of the employee.

(ii) The address of record of the employee on file with the slot machine licensee.

(iii) The employee's license, permit or registration number.

(iv) The employee's title or position.

(v) Whether the employee is full-time or part-time.

(v) The date of hire of the employee.

(2) The total number of persons employed by the slot machine applicant or licensee during the preceding month.

(3) An alphabetical listing of all employees who have discontinued or terminated employment with the slot machine licensee during the preceding month and the following information with respect to each employee listed:

(i) The name of the employee.

(ii) The employee's license, permit or registration number.

(iii) The employee's title or position.

(iv) The date on which the employee discontinued employment with the slot machine licensee.

(v) The employee's address of record.

(4) The total number of employees who have discontinued or terminated employment with the slot machine licensee during the preceding month.

(b) The reports shall be signed by the slot machine licensee and transmitted to the Board's Bureau of Licensing by means of electronic data transmission or in a form prescribed by the Board.

(c) The Board may, at its discretion, request interim Employee Status Reports from a slot machine licensee.

**§ 441.22. Notice of employee misconduct and offenses.**

(a) A slot machine licensee is under a continuing obligation to notify the Board within 72 hours of the termination of any employee, of any information surrounding the termination of the employee that could be cause for suspension or revocation of the employee's license, permit or registration or any enforcement action related thereto.

(b) Notice shall include the following information:

(1) The employee's name.

(2) The address of record of each employee on file with the slot machine licensee.

(3) The employee's license, permit or registration number.

(4) The employee's title or position.

(5) A summary of the incident or misconduct by the employee, including any violations of this part or the act.

(6) The date of termination of the employee.

(c) Notwithstanding subsection (a), a slot machine licensee is under a continuing obligation to immediately notify the Board upon learning of the charging, indictment or conviction of any of its affiliates, intermediaries, subsidiaries, holding companies, key employee qualifiers, key employees, permittees or

registrants, for an offense an offense under 18 Pa.C.S.  
(relating to crimes and offenses), or an offense under 75  
Pa.C.S. § 3802 (relating to driving under influence of alcohol  
or controlled substance) or of comparable offenses in other  
states or foreign jurisdictions.