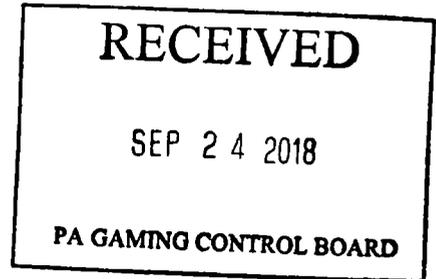


REDACTED VERSION



# Pennsylvania Gaming Control Board



## Slot Machine Operator, Management Company, and Affiliate Application and Disclosure Form (Initial and Renewal)

<b>SECTION 1:</b> <i>Please fill in the name of the entity completing this application.</i> Stadium Casino, LLC	
<b>Applicant's Name:</b> Stadium Casino, LLC	
<b>SECTION 2:</b> <i>Check the appropriate box below to indicate the type of license for which the above-named entity is applying. If applicant listed above is an affiliate, intermediary, subsidiary, or holding company of a company applying for an Enterprise license type below, leave Section 2 blank and skip to Section 3.</i>	
<b>Category Type:</b> <input type="checkbox"/> Category 1 Slot Operator <input type="checkbox"/> Category 2 Slot Operator <input type="checkbox"/> Category 3 Slot Operator <input checked="" type="checkbox"/> Category 4 Slot Operator <b>Other:</b> <input type="checkbox"/> Management Company	
<b>SECTION 3:</b> <b>Application Period:</b> <input checked="" type="checkbox"/> Initial <input type="checkbox"/> Renewal	
<b>SECTION 4:</b> <i>If the above-named entity in Section 1 is applying for licensure as an affiliate, intermediary, subsidiary, or holding company of an enterprise entity, provide below the name of the enterprise entity.</i>	
<b>Affiliate of:</b> Does not apply	

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## INSTRUCTIONS

These instructions are applicable to any "person" seeking to be licensed as a Category 1, Category 2, Category 3, Category 4 Slot Machine Operator (collectively referred to hereafter as Slot Operator) or Management Company. Please be advised that no person, its affiliate, intermediary, subsidiary or holding company that has applied for or is a holder of a slot machine license, shall be eligible to apply for or hold a supplier or manufacturer license.

As used in these Instructions, the phrase "affiliated entities" shall mean a Slot Operator's or Management Company's affiliates, intermediaries, subsidiaries and holding companies.

The original form, one paper copy, and one (1) compact disc (cd) containing all forms must be sent to the Pennsylvania Gaming Control Board, Bureau of Licensing, 303 Walnut Street, Fifth Floor, Verizon Tower, Harrisburg, Pennsylvania 17101 with the appropriate fee. Please refer to the licensing section of the Board's website for cd formatting requirements.

### 1. Slot Operator and Management Company

The forms that make up an application package for a Slot Operator or Management Company License are as follows:

- A. **Slot Operator, Management Company and Affiliate Application and Disclosure Information Form** (To be completed by applicant and each of applicant's affiliated entities).
- B. **Multi-Jurisdictional Personal History Disclosure Form (Multi-Jurisdictional PHD)**  
(To be completed by each natural person who is a principal or key employee as defined in 58 Pa. Code §401a.3) and identified in Schedules 1, 5, 10, 10A, 11, 13, 15 and 18).
- C. **Principal/Key Employee Form - Pennsylvania Supplement to the Multi-Jurisdictional Personal History Disclosure Form (PA Supplement)**  
(To be completed by each natural person who is a principal or key employee identified in Schedules 1, 5, 10, 10A, 11, 13, 15 and 18).
- D. **Principal Entity Form**  
(To be completed by each entity that is a principal identified in Schedules 10, 10A, 11, 13, 15 and 18).

### 2. APPLICATION FEES

#### A. Application fees and Investigation Deposits

Application fees must be submitted with the application package. These fees are non-refundable deposits that will be used by the Board to process and investigate the Slot Operator or Management Company applicant and the applicant's affiliated entities and persons filing forms as part of the application package. Application fees must be submitted for each applicant, affiliated entity and person, unless otherwise noted.

There may be additional costs and expenses incurred by the Board in its processing and investigation of the slot operator or management company applicant and the applicant's affiliated entities and persons. The slot operator or management company applicant must reimburse the Board for all additional costs and expenses related to the processing and investigation of their application package.

Slot Operator or Management Company Applicant .....	\$5,000.00
Applicant's Affiliated Entities .....	\$2,500.00

**In addition to application fees, license fees will be required to be paid prior to license issuance. The license fee schedule can be found on the board's website at [http://gamingcontrolboard.pa.gov/files/licensure/applications/Schedule of Fees.pdf](http://gamingcontrolboard.pa.gov/files/licensure/applications/Schedule_of_Fees.pdf)**

### **3. APPLICATION FORM INSTRUCTIONS**

#### **Generally**

As used in the slot operator or management company form, the words "Applicant" and "you" shall mean the slot operator or management company applicant. When applicant's affiliated entities are completing the form, "Applicant" and "you" shall refer to the affiliated entity completing the form.

All entries on the form must be typed or printed in block lettering. Initials and signatures must be handwritten by the person providing the information. If the answers are not legible, the application may not be accepted.

Read each question carefully prior to answering. Answer every question completely. Do not leave blank spaces. If a question does not apply to the applicant, write "Does Not Apply" in response to that question. If a Schedule or Addendum does not apply to the applicant, write "Does Not Apply" on the Schedule or Addendum.

Appendices are to be provided by the applicant. The required appendices are listed on the Application Checklist. Appendices must be presented in a tabbed manner. Each tab must indicate the appendix number. Immediately following the tab, applicant must insert a page with the appendix number and all information applicable to the appendix. If an appendix does not apply to the applicant, write "Does Not Apply" on the appendix page.

All pages of the form must be initialed by the applicant, or if the applicant is not a natural person, the person authorized to complete the form on behalf of the applicant must initial each page. If additional pages are required in order to answer any question, additional pages may be utilized and must be attached to the form. Be sure to indicate the number(s) of the question(s) being answered and initial each additional page. Some schedules may require disclosure of information for more than one natural person or entity or type of information. If there are multiple disclosures, make enough additional copies of the blank schedule and complete it for each natural person or entity or type of information.

All required documentation, such as business formation papers, tax returns and Appendices, as well as the application forms that comprise an application package for a slot operator or management company license, as listed above, must be submitted at the time of filing this form.

Additional financial information will be requested as needed.

Should you be unable to understand this form fully in English, it is your responsibility to acquire adequate means of translation. If you submit a document to the Board that is in a language other than English, you must also submit an English translation compliant with 58 Pa. Code §423a.1(h).

All notices regarding your application will be sent to the address you provide on this form. You must immediately notify the Board if you change your address.

Failure to answer any question completely and truthfully will result in denial of your application and/or revocation of your license, registration, certificate or permit and may subject you to criminal penalties under 18 Pa.C. S. A. §4903.

Any person who applies for and obtains a license, registration, certificate or permit from the Board may be required to submit to warrantless searches when present in a licensed gaming facility pursuant to the Act.

Confidential Information (as defined in 58 Pa. Code §401a.3) supplied to the Board or otherwise obtained shall not be revealed except in the course of the necessary administration of the Act, or upon the lawful order of a court of competent jurisdiction or, with the approval of the Attorney General, to a duly authorized law enforcement agency. An applicant or license, registration, certificate or permit holder waives any liability of the Commonwealth of Pennsylvania and its instrumentalities and agents for any damages resulting from any disclosure or publication in any manner, other than a willfully unlawful disclosure or publication.

A license, permit, certification or registration issuance, renewal or other authorization issued by the Board is a revocable privilege. No person holding a license, permit, certification or registration, renewal, or other authorization is deemed to have any property rights related to the license, permit, certification or registration.

An application that has been accepted for filing and all related materials submitted to the Board become the property of the Board and will not be returned to the applicant.

This is an application for a slot machine or management company license. An entity interested in offering table games must first obtain a slot machine license in order to be eligible to file a petition seeking authorization to conduct table games. Any references to table games in this application are for informational purposes only.

IF YOU HAVE ANY QUESTIONS REGARDING THE APPLICATION PACKAGE FORMS OR THE INFORMATION REQUIRED TO COMPLETE ANY APPLICATION, PLEASE CONTACT THE PENNSYLVANIA GAMING CONTROL BOARD - BUREAU OF LICENSING AT (717) 346-8300.

### Applicant Information

Applicant's Business Name			
Business Name as it appears on applicant's certificate of incorporation, charter, bylaws, partnership agreement or other official documents (spell out complete name, do not use abbreviations)			
<b>Stadium Casino, LLC</b>			
Trade Name(s) and Doing Business As ("DBA") Names None			
Has the applicant been verified as a minority or women's business enterprise by the Pennsylvania Department of General Services (DGS) Bureau of Small Business Opportunities? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If yes, provide the name of the entity that certified the applicant as a minority or women's business enterprise and upon which the DGS verification was made.  _____			
If yes, attach the verification letter from the Bureau of Small Business Opportunities and/or the Small Business Procurement Initiative Certificate that identifies the company as a small diverse business (not only as a small business) and provide the certification number _____.			
Applicant's Principal Address			
Address Line 1 601 East Pratt Street			
Address Line 2			
Address Line 3			
City Baltimore		State/Province MD	Postal Code 21202
Country USA		Email Address	
County Baltimore	Township		Web URL
Phone Number (    ) 410-752-5444		Fax Number (    ) 410-659-9491	
Applicant's Address In Pennsylvania (if applicable)			
Address Line 1 5256 US-30			
Address Line 2			
Address Line 3			
City Greensburg		State/Province PA	Postal Code 15601
Country USA		Email Address	
County Westmoreland	Township Hempfield		Web URL
Phone Number (    )		Fax Number (    )	

Initials   JW

Contact Name for this Application			
First Name Mark	Middle Name S.	Last Name Stewart	Suffix (Jr., Sr., etc.)
Title Esquire		Individual Email Address mstewart@eckertseamans.com	
Phone Number ( ) 717-237-7191		Fax Number ( ) 717-237-6019	
Applicant's Form of Organization			
Check One			
<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Partnership	<input type="checkbox"/> C-Corporation
<input checked="" type="checkbox"/> Limited Liability Company	<input type="checkbox"/> S-Corporation	<input type="checkbox"/> Trust	
<input type="checkbox"/> Other (describe) _____			
Applicant's Organization Documents			
State of Incorporation, Registration or other type of Formation Delaware Limited Liability Company			Date of Formation 10/23/2012
Applicant's business name as it appears on the formation documents Stadium Casino, LLC			
List all states in which the applicant is currently registered or authorized to do business  Delaware and Pennsylvania			
Is applicant registered or authorized to do business in the Commonwealth of Pennsylvania? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Applicant's Identification Numbers			
Federal Employer Identification Number/TIN [REDACTED]		PA Unemployment Compensation Account Number **	
PA Department of Revenue Corporate Box Number **		PA Liquor Control Board License Number **	
PA Workers Compensation Policy Number [REDACTED]		PA Department of State - Entity Number [REDACTED]	
Does the applicant have any outstanding tax liabilities to either the Commonwealth of Pennsylvania or any other state, locality or the Federal government? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If you answer YES, provide details concerning all outstanding tax liabilities.			
Applicant's Billing Contact Information			
First Name Joseph		Last Name Weinberg	Suffix (Jr., Sr., etc.)
Title Principal		Individual Email Address [REDACTED]	
Address 601 Pratt Street			
City Baltimore		State/Province MD	Postal Code 21202
Phone Number [REDACTED]		Fax Number [REDACTED]	

**Criminal History**

The next section asks about any offenses or charges applicant or any of its principals or key employees may have committed or had filed against them. Prior to answering this question, carefully review the definitions and instructions that follow.

**DEFINITIONS**

For purposes of this section:

- A. "CRIME OR OFFENSE" includes all felonies and misdemeanors, as well as summary offenses that may have required you to appear before a law enforcement agency, state or federal grand jury, justice court, municipal court, city court, military court or any other court EXCEPT Juvenile Court. Include all DUI/DWI offenses.
- B. "ARREST" includes any time that you were stopped by a police officer or other law enforcement officer and advised that you were under arrest, detained, held for questioning, requested by a police officer or law enforcement officer to come to a police station and answer questions, taken into custody by any police officer or other law enforcement officer, fingerprinted, held in jail, or instructed to appear in court or subpoenaed to answer for conduct which is a crime as has been defined in paragraph "A."
- C. "CHARGE" includes any indictment, complaint, information, summons, citation or other notice of the alleged commission of any crime or offense as defined in paragraph "A."

**INSTRUCTIONS**

- 1. ANSWER "YES" AND PROVIDE ALL INFORMATION TO THE BEST OF YOUR ABILITY EVEN IF:
  - A. You did not commit the offense charged;
  - B. The arrest or charges were dismissed or the charges were subsequently downgraded to a lesser charge;
  - C. You pleaded not guilty or nolo contendere;
  - D. You completed an accelerated rehabilitative disposition ("ard") or equivalent diversionary program;
  - E. The charges or conviction were expunged from your record, even if you have expungement papers;
  - F. You were not convicted or were found "not guilty"
  - G. You did not serve any time in prison or jail;
  - H. The arrests, charges or offenses happened a long time ago;
    - I. You were arrested or charged in another state (a state other than Pennsylvania);
  - J. You were never physically taken into custody and/or transported to a police station or jail.
- 2. ANSWER "NO" IF:
  - A. You have never been arrested or charged with any crime or offense;
  - B. Your arrest happened when you were under 18 years of age and your court appearance was in juvenile court.

**FAILURE TO FULLY ANSWER THIS QUESTION MAY RESULT IN THE DENIAL OF YOUR APPLICATION.**

*[Handwritten initials]*

1. Has applicant or any of its principals or key employees ever been indicted, charged with or convicted of a criminal offense or been a party to or named as an unindicted co-conspirator in any criminal proceeding in the Commonwealth or any other jurisdiction?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
1. A. Has applicant or any of its principals or key employees ever been convicted of a felony?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
1. B. Has applicant or any of its principals or key employees ever been convicted of a misdemeanor or gambling offense? If you answer YES to any of these questions, you must complete <u>Schedule 23</u> concerning Criminal History.	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
<b>Testimony, Investigations or Polygraphs</b>	
2. Has applicant or any of its principals or key employees ever been called to testify before, been the subject of an investigation conducted by, or requested to take a polygraph exam by any governmental agency, court, committee, grand jury or investigatory body (municipal, state, county, provincial, Federal, national, etc.) other than in response to minor traffic related offenses? If you answer YES, you must complete <u>Schedule 24</u> concerning Testimony, Investigations or Polygraphs.	[REDACTED]
<b>Antitrust, Trade Regulation &amp; Securities Judgments; Statutory and Regulatory Violations</b>	
3. Has applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies ever had a judgment, order, consent decree or consent order pertaining to a violation or alleged violation of the Federal antitrust, trade regulation or securities laws, or similar laws of any state, province or country entered against it?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
4. In the past ten (10) years, has applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies had a judgment, order, consent decree or consent order pertaining to any state or Federal statute, regulation or code that resulted in a fine or penalty of \$50,000 or more entered against it? If you answer YES to either question, you must complete <u>Schedule 26</u> concerning Antitrust, Trade Regulation & Security Judgments; Statutory and Regulatory Violations.	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
<b>Bankruptcy or Insolvency Proceedings</b>	
5. Has applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies had any petition under any provision of the Federal Bankruptcy Code or under any state insolvency law filed by or against it in the last ten (10) year period?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
6. Has applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies sought relief under any provision of the Federal Bankruptcy Code or under any state insolvency law in the last ten (10) year period?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
7. Has a court appointed any receiver, fiscal agent, trustee, reorganization trustee, or similar officer for applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies in the last ten (10) years?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
If you answer YES to any of these questions, you must complete <u>Schedule 27</u> concerning Bankruptcy or Insolvency Proceedings.	

<b>Applicant's Licenses and Permits</b>	
<p>8. Has applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies applied for any license or permit by a government agency for the collection of sales and use tax, selling and serving liquor and malt beverages, providing overnight lodging services or any other activity requiring a license or permit? A government agency as used here includes any subordinate creature of Federal, State, Native American or local government created to carry out a governmental function or to implement a statute or statutes.</p> <p>If you answer YES, you must complete <u>Schedule 28</u> concerning Non-Gaming Licenses and Permits.</p>	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
<p>9. Has applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies applied for any license or permit by a government agency charged with regulating games of chance, including but not limited to slot machines, video lottery terminals, table games, horse racing, jai alai, etc.? A government agency as used here includes any Federal, state, Native American or local government created to carry out a governmental function or to implement a statute or statutes.</p> <p>If you answer YES, you must complete <u>Schedule 29</u> concerning Gaming Licenses and Permits.</p>	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
<b>Applicant's Contributions and Disbursements</b>	
<p>10. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries, holding companies, principals, employees or any third parties acting for or on behalf of applicant made any bribes or kickbacks or made any payments alleged to have been bribes or kickbacks to any employee, person, company or organization to obtain favorable treatment?</p>	
<p>11. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries, holding companies, principals, employees or any third parties acting for or on behalf of the applicant made any bribes or kickbacks or made any payments alleged to have been bribes or kickbacks to any government official, domestic or foreign to obtain favorable treatment?</p>	
<p>12. During the last ten (10) year period, has applicant, its parent company or any of its affiliates, intermediaries, subsidiaries, holding companies, principals, employees or any third parties for or on behalf of applicant loaned funds for the purpose of opposing or supporting any government, political party, candidate or committee, either domestic or foreign?</p>	
<p>13. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries, holding companies, principals, employees or any third parties acting for or on behalf of applicant donated or loaned property or any other thing of value, or organized, sponsored or participated in fundraising activities for the purpose of opposing or supporting any government, political party, candidate or committee, either domestic or foreign?</p>	

<p>14A. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries or holding companies made any loans, donations or other disbursements to principals, employees or any third parties for the purpose of reimbursing such individuals for political contributions either foreign or domestic?</p> <p>14B. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries or holding companies formed or caused to be formed, a political action committee either under federal or state election laws?</p> <p>14C. As a result of the Citizen's United v. FEC decision, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries or holding companies made "independent expenditures", as defined in SEC. 1621(e) of the Pennsylvania Election Code, for the purpose of influencing an election covered by the Pennsylvania Election Code?</p>	<p>[REDACTED]</p> <p>[REDACTED]</p> <p>[REDACTED]</p>
<p>15. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries or holding companies maintained any bank account, domestic or foreign, not reflected on the applicant's books or records?</p>	<p>[REDACTED]</p>
<p>16. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries or holding companies maintained any numbered account or any account in the name of a nominee for applicant?</p>	<p>[REDACTED]</p>
<p>If you answer YES to any of these questions, you must complete <b>Schedule 30</b>, concerning contributions and disbursements.</p>	

## APPENDICES

The appendices are documents the applicant must provide or create. The appendices are not represented in the application questions or its schedules or addenda. Each appendix shall be presented in a tabbed manner and each tab must indicate the appendix number as listed below. If an appendix does not apply to an applicant, write "DOES NOT APPLY" on the appendix page.

<input checked="" type="checkbox"/>	Appendix 1: Description of the business currently performed and the business intended to be performed in the Commonwealth. This information must be specific and must be organized around the topics shown in <u>Schedules 31 and 32</u> . Additionally, applicant must indicate the relationship between it and its affiliated entities as it relates to the business intended to be performed in the Commonwealth in the form of an organization chart with a narrative description.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 2: Description of any former business engaged in during the last ten (10) years and the reason for cessation of the business.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 3: Description of all bonus, profit sharing, pension, retirement, deferred compensation and similar plans. This information must be provided in addition to the information provided in <u>Schedule 8</u> .	MANDATORY
<input checked="" type="checkbox"/>	Appendix 4: Description of long term debt. This information must be provided in addition to the information provided in <u>Schedules 12 and 13</u> .	MANDATORY
<input checked="" type="checkbox"/>	Appendix 5: Description of other indebtedness and security devices. This information must be provided in addition to the information provided in <u>Schedules 14 and 15</u> .	MANDATORY
<input checked="" type="checkbox"/>	Appendix 6: Description of securities options. This information must be provided in addition to the information provided in <u>Schedules 16 and 17</u> .	MANDATORY
<input checked="" type="checkbox"/>	Appendix 7: Description of existing litigation. This information must be provided in addition to the information provided in <u>Schedule 25</u> .	MANDATORY
<input checked="" type="checkbox"/>	Appendix 8: Audited financial statement for the last fiscal year. If the Applicant does not normally have its financial statements audited, attach unaudited financial statements. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 9: Audited financial statements for the last five (5) years. If the Applicant does not normally have its financial statements audited, attach unaudited financial statements. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 10: Annual reports for the last five (5) years. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 11A: Annual reports prepared on the SEC's 10K for the last five (5) years.  Appendix 11B: Copies of annual or quarterly filings for the last five (5) years required under the laws of a regulatory agency of another country.  Note: If this has previously been provided, resubmissions would not be required and the previous response should reflect the date(s) of submission.	MANDATORY

<input checked="" type="checkbox"/>	Appendix 12: A copy of the last quarterly unaudited financial statement. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 13: A copy or copies of any interim reports. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 14: A copy of the last definitive Proxy or information statement (SEC). If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 15: A copy of all registration statements for the last five (5) years filed in accordance with the Securities Act of 1933. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 16: Copies of all other reports prepared in the last five (5) years by independent auditors of the applicant. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 17: Certified copies of the Articles of Incorporation, Charter, Bylaws, Partnership Agreement or other official documents and all amendments and proposed amendments If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 18: Current ownership table of organization.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 19: Functional table of organization for applicant with, job descriptions, and names of employees earning in excess of \$250,000 in annual compensation.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 20: Copies of federal entity tax filings, including forms 1120, 1120-s, 1120-f, 1065, 941 and all other business related tax forms filed with the IRS in the last five (5) years. If this has previously been provided, reports would not be required and the response should reflect the date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 21: Copies of 5500 forms filed with the IRS in the last five (5) years. If this has previously been provided, reports would not be required and the response should reflect the date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 22: Describe criminal history of applicant. This information must be provided in addition to the information provided in <u>Schedule 23</u> . Narrative information about the nature of charge or complaint and the disposition must be provided.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 23: Pursuant to §1312 of the Gaming Act, the Board may not approve an application for licensure if any of its principals do not meet the character requirements of §1310, eligibility requirements, or purchases a controlling interest in a licensed gaming entity in violation of §1328.  Has the applicant divested all interests that would prohibit licensure and eliminated any principal who does not meet the character or eligibility requirements? If not, provide an explanation. If it does not apply, write does not apply in response to this appendix.	MANDATORY

<input checked="" type="checkbox"/>	<p>Appendix 24: Pursuant to §1512 of the Gaming Act, no executive-level state employee, public official, party officer or immediate family member thereof shall have a financial interest in or be employed, directly or indirectly, by any licensed racing entity or licensed gaming entity, or any holding, affiliate, intermediary or subsidiary company, thereof, or any such applicant.</p> <p>Has any public official or other prohibited person possessed a financial interest in or been employed directly or indirectly by the applicant or related entity at or following the effective date of the PA Gaming Act?</p>	MANDATORY
<input checked="" type="checkbox"/>	<p>Appendix 25: Pursuant to §1313 of the Gaming Act, provide information, documentation and assurances demonstrating that the applicant has sufficient business ability and experience to create and maintain a successful, efficient operation. Also provide biographies of the known individuals who will perform executive management duties and provide names of all proposed key employees and a description of their respective or proposed responsibilities as they become known.</p>	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	<p>Appendix 26: Pursuant to §1207(16) of the Gaming Act, the licensee must sell Pennsylvania state lottery tickets at its facility as near as practicable to the pay windows. Provide a proposed floor plan specifying the locations where state lottery tickets will be sold and the proximity of those locations to pay windows. (Note: This submission must be finalized and approved by the Board prior to operation).</p>	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	<p>Appendix 27: Provide a list of any hospital, place of worship, school, charitable institution, park, zoo or any similar place frequented by the public within 1500 feet of the proposed facility.</p>	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	<p>Appendix 28: Submit an initial narrative description of proposed administrative and accounting procedures, including a written system of internal control, pursuant to §1322 of the Gaming Act (Note: This submission must be finalized and approved by the Board prior to operation).</p>	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	<p>Appendix 29: Provide marketing plans and proposals and details of the proximity of the facility to its marketing service area.</p>	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	<p>Appendix 30: Provide copies of local zoning and land use approvals or a detailed explanation of the status of the request with copies of all filings.</p>	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	<p>Appendix 31: Pursuant to §1322 of the Gaming Act and/or Board regulations, submit a complete proposed site plan of the proposed licensed facility, inclusive of traffic studies and the parking plan, including the number of parking spaces, accompanied by architectural drawings and a proposed gaming floor layout. The gaming floor layout should clearly delineate the square footage of the area to be used for the placement of slot machines and table games as well as the square footage of the area that will not be used for the placement of slot machines and table games. Further, the gaming floor layout should delineate the square footage reserved for additional slot machines and table games permitted pursuant to §1210 and §13A11 of the Gaming Act. Pursuant to</p>	INITIAL APPLICANTS ONLY

	<p>§1210, provide details of the proposed location of slot machines and table games at the facility and the number of slot machines and table games requested. Pursuant to §1207 of the Gaming Act, proposed surveillance camera locations both within and outside the proposed licensed facility should also be clearly delineated on the gaming floor layout as well as proposed security zones on the gaming floor and within and outside the licensed facility. (Note: The site plan, gaming floor layout and related surveillance and security proposals must be finalized and approved by the Board prior to operation).</p>	
<input checked="" type="checkbox"/>	<p>Appendix 32: Provide details of planned retail and food venues for the facility and the identification of the operators of each retail food venue.</p>	<p>INITIAL APPLICANTS ONLY</p>
<input checked="" type="checkbox"/>	<p>Appendix 33: Provide a local impact report, engineering reports and traffic studies, including details of any adverse impact on transportation, transit access, housing, water and sewer systems, local police and emergency service capabilities, existing tourism, including historical and cultural resources or other municipal service or resource. A copy of the local impact report shall be provided to each political subdivision in which the licensed facility will be located at least seven (7) days prior to the filing of the application for a slot machine license. The applicant shall file a proof of service with the Board.</p>	<p>INITIAL APPLICANTS ONLY</p>
<input checked="" type="checkbox"/>	<p>Appendix 34: Provide details of land acquisition costs.</p>	<p>INITIAL APPLICANTS ONLY</p>
<input checked="" type="checkbox"/>	<p>Appendix 35: Provide details of a compulsive or problem gambling plan.</p>	<p>INITIAL APPLICANTS ONLY</p>
<input checked="" type="checkbox"/>	<p>Appendix 36: If a temporary facility is to be licensed, provide details of the temporary facility as well as a plan for how the licensee will transition to a permanent facility, including a date for the completion of the permanent facility.</p>	<p>INITIAL APPLICANTS ONLY</p>
<input checked="" type="checkbox"/>	<p>Appendix 37: As required by §1325 of the Gaming Act, applicant must address each item listed in this section. If an item does not apply, the applicant must state that in response to each item listed. Provide a plan, with details, for the following:</p> <ul style="list-style-type: none"> <li>(1) the location and quality of the proposed facility, including, but not limited to, road and transit access, parking and centrality to market service area;</li> <li>(2) the potential for new job creation and economic development which will result from granting a license to the Applicant;</li> <li>(3) the applicant's good faith plan to recruit, train and upgrade diversity in all employment classifications in the facility;</li> <li>(4) the applicant's good faith plan for enhancing the representation of diverse groups in the operation of its facility through the ownership and operation of business enterprises associated with or utilized by its facility or through the provision of goods or services utilized by its facility and through the participation in the ownership of the Applicant. Provide specific information regarding the diversity in ownership of the applicant, i.e. minorities, women;</li> <li>(5) the applicant's good faith effort to assure that all persons are accorded equality of opportunity in employment and contracting by it and any contractors, subcontractors, assignees, lessees, agents, gaming service providers and suppliers it may employ directly or indirectly;</li> </ul>	<p>INITIAL APPLICANTS ONLY</p>

	<p>(6) the history and success of the applicant in developing tourism facilities ancillary to gaming development, if applicable to the applicant;</p> <p>(7) the degree to which the applicant presents a plan for the project which will likely lead to the creation of quality, living-wage jobs and full-time permanent jobs for residents of this commonwealth generally and for residents of the host political subdivision in particular;</p> <p>(8) the record of the applicant and its developer in meeting commitments to local agencies, community-based organizations and employees in other locations;</p> <p>(9) the degree to which potential adverse effects which might result from the project, including costs of meeting the increased demand for public health care, child care, public transportation, affordable housing and social services, will be mitigated;</p> <p>(10) the record of the Applicant and its developer regarding compliance with</p> <ul style="list-style-type: none"> <li>(i) Federal, state and local discrimination, wage and hour, disability and occupational and environmental health and safety laws as well as</li> <li>(ii) state and local labor relations and employment laws;</li> <li>(iii) the applicant's record in dealing with its employees and their representatives at other locations.</li> </ul>	
<input checked="" type="checkbox"/>	<p>Appendix 38: Provide information demonstrating adequate financing for the proposed facility and terms of financing including payback period.</p>	<p>INITIAL APPLICANTS ONLY</p>
<input checked="" type="checkbox"/>	<p>Appendix 39: Provide business and economic development plans and timetables, projected debt service expenses, projected EBITDA and Internal rate of return, projected annual gross terminal revenue, projected operating and capital expenses and defined gaming market and projected visitation.</p>	<p>INITIAL APPLICANTS ONLY</p>
<input type="checkbox"/>	<p>Appendix 40: Provide letters of reference from law enforcement agencies having jurisdiction in the applicant's and principal's main place of residence and place of business indicating that the agency does not have any pertinent information relating to the applicant or its principals. If the law enforcement agency has information pertaining to the applicant or its principals, the letter shall specify the details of the information.</p> <p>If no letters are received within 30 days of the request, the applicant or principal may submit a sworn or affirmed statement that the applicant or principal is a citizen in good standing in his jurisdiction of residence and primary place of business.</p>	<p>INITIAL APPLICANTS ONLY</p>
<input type="checkbox"/>	<p>Appendix 41: If the applicant has held a gaming license in any jurisdiction, provide a letter of reference from the gaming or casino enforcement or regulatory agency in the other jurisdiction, specifying the experiences of the agency with the applicant, the applicant's associates and the applicant's gaming operation. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.</p> <p>If no letter is received within 30 days of request by the applicant, the applicant may submit a sworn or affirmed statement that the applicant's operation is in good standing with the regulatory agency.</p>	<p>INITIAL APPLICANTS ONLY</p>

<input type="checkbox"/>	Appendix 42: Provide an original payment bond or an original irrevocable letter of credit that includes a draw certificate, at the applicant's option, guaranteeing the applicant's payment of the slot machine license fee required by §1209 (For Category 1 and 2) and §1305 (Category 3) of the gaming act.	INITIAL CATEGORY 1, 2 or 3 ONLY
<input checked="" type="checkbox"/>	Appendix 43: Provide a chart of existing gaming service providers* including the name, address, phone and tax identification number of the gaming service providers, types of goods and/or services provided by the gaming service providers, total dollar amount of business with gaming service providers in the past twelve (12) months and total dollar amount of business expected to be conducted with gaming service providers in the next twelve (12) months.  * Gaming service providers is defined in 58 Pa. Code §401a.3.	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	Appendix 44: Provide a summary of all persons who hold an ownership or other beneficial interest in the applicant and any such interest in any of its principal affiliates or principal entities required to be licensed or permitted in Pennsylvania; provided however, if any of the entities are publicly traded, only interests equal to or exceeding five percent must be disclosed. Ownership interest should be provided in a manner consistent with the Ownership Interest Report found on the Board's website under Licensure/Reports and General Information.	MANDATORY

**Category 1 Applicants Only**

<input type="checkbox"/>	Appendix 45: Pursuant to §1308(c) of the Gaming Act, the Board and the commissions shall not consider any application for a license if the applicant or any person affiliated with or directly related to the applicant is a party in any ongoing civil proceeding in which the party is seeking to overturn or otherwise challenge a decision or order of the Board or commissions pertaining to the approval, denial or conditioning of a license to conduct thoroughbred or harness horse race meetings respectively with pari-mutuel wagering or to operate slot machines.  Is the applicant or affiliated person a party to any ongoing civil proceedings seeking to overturn a decision or order of the Board or commissions? If yes, the Board may not consider the application. If no, provide a statement asserting that the applicant is not challenging the Board or commission's decision or orders.	Initial Category 1 applicants only
<input type="checkbox"/>	Appendix 46: Provide a verification from the State Horse Racing Commission stating that the applicant has satisfied the license eligibility requirements under §1302 of the Gaming Act (relating to Conditional/Category 1 Slot Machine License) and that the applicant satisfies the live racing requirements under §1303 of the gaming act. If applicant is submitting a renewal application, provide a verification from the State Horse Racing Commission stating that the licensee has satisfied the live racing requirements under §1303(B) of the Gaming Act	MANDATORY
<input type="checkbox"/>	Appendix 47: Provide a statement detailing the applicant's regulatory history as a licensed racing entity under the jurisdiction of the State Horse Racing Commission including the applicant's history of suitability and compliance with the race horse industry reform act in the operation of the race track and nonprimary locations and the conduct of pari-mutuel wagering.	MANDATORY

<input type="checkbox"/>	Appendix 48: Provide a detailed plan for the management of accounts created from funds allocated under §1406 of the gaming act (relating to distributions from Pennsylvania race horse development fund).	MANDATORY
<input type="checkbox"/>	Appendix 49: Provide a detailed plan for the management and use of backside area improvement and maintenance accounts under §1404 of the gaming act (relating to distribution from licensee's revenue receipts). If applicant is submitting a renewal application, provide an updated plan or summary of the management and use of backside area improvement and maintenance accounts under §1404 of the Gaming Act	MANDATORY

**Management Company Licensees Only**

<input type="checkbox"/>	APPENDIX 22: PROVIDE A COPY OF THE MOST RECENT MANAGEMENT CONTRACT AS OUTLINED IN 58 PA. CODE §440.A.5	MANDATORY
<input type="checkbox"/>	APPENDIX 23: PROVIDE A COPY OF THE MOST RECENT BUSINESS PLAN WHICH SETS FORTH THE PARTIES' GOALS AND OBJECTIVES FOR THE TERM OF THE MANAGEMENT CONTRACT	MANDATORY

**Category 4 Applicants Only**

<input checked="" type="checkbox"/>	Appendix 46: Provide documentation to the Board that the applicant's location meets the eligibility requirements in 1305.1(B)	Initial Category 4 applicant only
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*JW*

**Schedule 1: Incorporators/Founders**

Name and Address				
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	
Joseph		Weinberg		
Occupation		Title		
Address Line 1		Address Line 2		
Address Line 3		City	State/Province	Postal Code
Country	Email Address	Phone Number ( )	Fax Number ( )	
Name and Address				
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	
Terrence		Everett		
Occupation		Title		
Address Line 1		Address Line 2		
Address Line 3		City	State/Province	Postal Code
Country	Email Address	Phone Number ( )	Fax Number ( )	
Name and Address				
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	
Occupation		Title		
Address Line 1		Address Line 2		
Address Line 3		City	State/Province	Postal Code
Country	Email Address	Phone Number ( )	Fax Number ( )	

\* Make additional copies and attach additional pages as necessary.



**Schedule 3: Addresses Currently Used by Applicant**

Provide all addresses currently used by applicant.

Addresses				
Address Purpose <b>Registered Address</b>				
Address Line 1 <b>Corporation Service Company</b>		Address Line 2 <b>251 Little Falls Drive</b>		
Address Line 3		City <b>Wilmington</b>	State/Province <b>DE</b>	Postal Code <b>19808</b>
Country <b>USA</b>	Email Address <b>cscglobal.com</b>	Phone Number <b>800-927-9800</b>	Fax Number	
		( )	( )	
Address Purpose <b>Registered Address</b>				
Address Line 1 <b>2595 Interstate Drive</b>		Address Line 2 <b>Suite 103</b>		
Address Line 3		City <b>Harrisburg</b>	State/Province <b>PA</b>	Postal Code <b>17110</b>
Country <b>USA</b>	Email Address <b>cscglobal.com</b>	Phone Number <b>800-927-9800</b>	Fax Number	
		( )	( )	
Address Purpose				
Address Line 1		Address Line 2		
Address Line 3		City	State/Province	Postal Code
Country	Email Address	Phone Number	Fax Number	
		( )	( )	
Address Purpose				
Address Line 1		Address Line 2		
Address Line 3		City	State/Province	Postal Code
Country	Email Address	Phone Number	Fax Number	
		( )	( )	

Initials 

**Schedule 4: Addresses Used by Applicant**

Provide all addresses, other than those listed on Schedule 3, which applicant has used or from which it was conducting business during the last ten (10) year period, and provide the approximate dates during which such addresses were used.

Address Purpose <b>Does Not Apply</b>		Address Used From		Address Used To	
Address Line 1		Address Line 2			
Address Line 3		City		State/Province	Postal Code
Country	Email Address		Phone Number ( )		Fax Number ( )
Address Purpose		Address Used From		Address Used To	
Address Line 1		Address Line 2			
Address Line 3		City		State/Province	Postal Code
Country	Email Address		Phone Number ( )		Fax Number ( )
Address Purpose		Address Used From		Address Used To	
Address Line 1		Address Line 2			
Address Line 3		City		State/Province	Postal Code
Country	Email Address		Phone Number ( )		Fax Number ( )
Address Purpose		Address Used From		Address Used To	
Address Line 1		Address Line 2			
Address Line 3		City		State/Province	Postal Code
Country	Email Address		Phone Number ( )		Fax Number ( )
Address Purpose		Address Used From		Address Used To	
Address Line 1		Address Line 2			
Address Line 3		City		State/Province	Postal Code
Country	Email Address		Phone Number ( )		Fax Number ( )

**Schedule 5: Current Officers, Directors/Partners and Trusts**

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address				
First Name <b>See Attached</b>	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	Date of Birth
Address Line 1		Address Line 2		
Address Line 3		City	State/Province	Postal Code
Country	Email Address	Phone Number ( )	Fax Number ( )	
Applicant Address				
Applicant Name:		Current Title or Position		
Address Line 1		Address Line 2		
Address Line 3		City	State/Province	Postal Code
Country	Email Address	Phone Number ( )	Fax Number ( )	
Dates, Titles and/or Positions Held (starting with current position and working backwards)				
From Date	To Date	Title or Position	Annual Compensation \$ Value	Composition of Compensation (Specify salary, wages, commissions, fees, bonus or other)

\* Make additional copies and attach additional pages as necessary.

**Schedule 5: Current Officers, Directors/Partners and Trusts**

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address					
First Name <b>Joseph</b>	Middle Name <b>Sidney</b>	Last Name <b>Weinberg</b>	Suffix (Jr., Sr., etc.)		
Address Line 1		Address Line 2		Province	Postal Code
Country	Email Address		Phone Number	Fax Number	
Applicant Address					
Applicant Name <b>Stadium Casino, LLC</b>			Current Title or Position <b>Director</b>		
Address Line 1 <b>601 E. Pratt Street</b>			Address Line 2		
Address Line 3			City <b>Baltimore</b>	State/Province <b>MD</b>	Postal Code <b>21202</b>
Country <b>USA</b>	Email Address		Phone Number <b>(410) 752-5444</b>	Fax Number <b>(410) 659-9491</b>	
<b>Date, Title and/or Positions Held (starting with current position and backwards)</b>					
From Date	To Date	Title or Position	Annual Compensation \$ Value	Composition of Compensation (fees, bonus or other)	
<b>9/30/2012</b>	<b>Present</b>	<b>Director</b>			

\* Make additional copies and attach additional pages as necessary.

**Schedule 5: Current Officers, Directors/Partners and Trusts**

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address				
First Name <b>Jonathan</b>	Middle Name <b>Ashley</b>	Last Name <b>Cordish</b>	Suffix (Jr., Sr., etc.)	
Address Line 1		Address Line 2		
Address Line 3		City	State/Province	Postal Code
Country	E-mail Address	Phone Number	Fax Number	
			( )	
Applicant Address				
Applicant Name: <b>Stadium Casino, LLC</b>		Current Title or Position <b>Director</b>		
Address Line 1 <b>601 E. Pratt Street</b>		Address Line 2		
Address Line 3		City <b>Baltimore</b>	State/Province <b>MD</b>	Postal Code <b>21202</b>
Country <b>USA</b>	E-mail Address	Phone Number <b>(410)752-5444</b>	Fax Number <b>(410)659-9491</b>	
Dates, Titles and/or Positions Held (starting with current position and working backwards)				
From Date	To Date	Title or Position	Annual Compensation & Value	Composition of Compensation (Specify salary, wages, commissions, fees, bonus or other)
<b>9/30/2012</b>	<b>Present</b>	<b>Director</b>		

\* Make additional copies and attach additional pages as necessary.

Initials 

**Schedule 5: Current Officers, Directors/Partners and Trusts**

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

<b>Name and Home Address</b>				
First Name <b>Blake</b>	Middle Name [REDACTED]			
Last Name <b>Cordish</b>	Suffix (Jr., Sr., etc.) [REDACTED]			
Address Line 1 [REDACTED]				
Address Line 2 [REDACTED]				
Address [REDACTED]				
Country [REDACTED]	Email Address [REDACTED]			
State/Province [REDACTED]	Phone Number [REDACTED] ( )			
<b>Applicant Address</b>				
Applicant Name: <b>Stadium Casino, LLC</b>				
Current Title or Position <b>Director</b>				
Address Line 1 <b>601 E. Pratt Street</b>				
Address Line 2 [REDACTED]				
Address Line 3 [REDACTED]				
Country <b>USA</b>	Email Address [REDACTED]			
City <b>Baltimore</b>	State/Province <b>MD</b>			
Phone Number <b>(410) 752-5444</b>	Postal Code <b>21202</b>			
Fax Number <b>(410) 659-9491</b>	[REDACTED]			
<b>Date, Title and/or Positions Held (starting with current position and working backwards)</b>				
From Date <b>9/30/2012</b>	To Date <b>Present</b>	Title or Position <b>Director</b>	Annual Compensation \$ Value [REDACTED]	Composition of Compensation (Specify salary, wages, commissions, fees, bonus or other) [REDACTED]

\* Make additional copies and attach additional pages as necessary.

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**Schedule 5: Current Officers, Directors/Partners and Trusts**

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address				
First Name <b>Anthony</b>	Middle Name	Last Name <b>Ricci</b>	Suffix (Jr., Sr., etc.)	
Address Line 1		Address Line 2		
Address Line 3				
E-mail Address		Phone Number	Fax Number	
			( )	
Applicant Address				
Applicant Name <b>Stadium Casino, LLC</b>		Current Title or Position <b>Director</b>		
Address Line 1 <b>601 E. Pratt Street</b>		Address Line 2		
Address Line 3		City <b>Baltimore</b>	State/Province <b>MD</b>	Postal Code <b>21202</b>
Country <b>USA</b>	Email Address		Phone Number	Fax Number
			( )	( )
Dates, Titles and/or Positions Held (starting with current position and working backwards)				
From Date	To Date	Title or Position	As of Date	Composition of Compensation (Specify salary, wages, commissions, fees, bonus or other)
<b>9/30/2012</b>	<b>Present</b>	<b>Director</b>		

\* Make additional copies and attach additional pages as necessary.

**Schedule 5: Current Officers, Directors/Partners and Trusts**

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address				
First Name <b>Robert</b>	Middle Name <b>William</b>	Last Name <b>Green</b>	Suffix (Jr., Sr., etc.)	
Address Line 1		Address Line 2		
Address Line 3		Province	Postal Code	
C	E	Phone Number ( )	Fax Number ( )	
Applicant Address				
Applicant Name <b>Stadium Casino, LLC</b>		Current Title or Position <b>Director</b>		
Address Line 1 <b>601 E. Pratt Street</b>		Address Line 2		
Address Line 3		City <b>Baltimore</b>	State/Province <b>MD</b>	Postal Code <b>21202</b>
Country <b>USA</b>	Email Address		Phone Number ( )	Fax Number ( )
Dates, Titles and/or Positions Held (starting with current position and working backwards)				
From Date	To Date	Title or Position	Annual Compensation & Value	Composition of Compensation (fees, bonus or other)
<b>9/30/2012</b>	<b>Present</b>	<b>Director</b>		

\* Make additional copies and attach additional pages as necessary.

**Schedule 5: Current Officers, Directors/Partners and Trusts**

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address	
First Name Watche	Middle Name A.
Last Name Manoukian	
Suffix (Jr., Sr., etc.)	
Address Line 1	
Address Line 2	
Address Line 3	
City	State/Province
Country	Email Address
Phone Number ( )	Fax Number ( )

Applicant Address	
Applicant Name: Stadium Casino, LLC	Current Title or Position
Address Line 1 801 E. Pratt Street	Address Line 2
Address Line 3	City Baltimore
Country USA	State/Province MD
Email Address	Postal Code 21202
	Phone Number ( )
	Fax Number ( )

Dates, Titles and/or Positions Held (starting with current position and working backwards)				
From Date	To Date	Title or Position	Annual Compensation \$ Value	Composition of Compensation (Specify salary, wages, commissions, fees, bonus or other)

\* Make additional copies and attach additional pages as necessary.

*STW*

**Schedule 6: Current Officers, Directors/Partners and Trusts**

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address				
First Name <b>Yeghiche</b>	Middle Name <b>Wachte</b>	Last Name <b>Manoukian</b>	Suffix (Jr., Sr., etc.)	
Address Line 1		Address Line 2		
City		Province	Postal Code	
Country	Email Address		Phone Number	Fax Number
<b>USA</b>				( )
Applicant Address				
Applicant Name <b>Stadium Casino, LLC</b>		Current Title or Position		
Address Line 1 <b>601 E. Pratt Street</b>		Address Line 2		
Address Line 3		City <b>Baltimore</b>	S	Postal Code <b>21202</b>
Country <b>USA</b>	Email Address		Phone Number	Fax Number
				( )
Dates, Titles and/or Positions Held (starting with current position and working backwards)				
From Date	To Date	Title or Position	Annual Compensation \$ Value	Composition of Compensation (Specify salary, wages, commissions, fees, bonus or other)
<b>9/30/2012</b>	<b>Present</b>			

\* Make additional copies and attach additional pages as necessary.

Initials JW

**Schedule 5: Current Officers, Directors/Partners and Trusts**

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address				
First Name Karnig	Middle Name Walche	Last Name Mannukian	Suffix (Jr., Sr., etc.)	Date of Birth
Address Line 1		Address Line 2		
Address Line 3		State/Province	Postal Code	
Country	Email Address	Phone Number	Fax Number	
Applicant Address				
Applicant Name: Stadium Casino, LLC		Current Title or Position		
Address Line 1 601 E. Pratt Street		Address Line 2		
Address Line 3		City Baltimore	State/Province MD	Postal Code 21202
Country USA	Email Address	Phone Number	Fax Number	
Dates, Titles and/or Positions Held (starting with current position and working backwards)				
From Date	To Date	Title or Position	Annual Compensation & Value	Composition of Compensation (Specify salary, wages, commissions, fees, bonus or other)
9/30/2012	Present			

\* Make additional copies and attach additional pages as necessary.

**Schedule 5: Current Officers, Directors/Partners and Trusts**

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address				
First Name Aram	Middle Name Vatche	Last Name Manoukian	Suffix (Jr., Sr., etc.)	Date of Birth
Address Line 1 1027 W. 34th Street		Address Line 2	State/Province	Postal Code
Country	Email Address	Phone Number	Fax Number	
		( )	( )	
Applicant Address				
Applicant Name: Stadium Casino, LLC		Current Title or Position		
Address Line 1 601 E. Pratt Street		Address Line 2		
Address Line 3		City/Baltimore	State/Province MD	Postal Code 21202
Country USA	Email Address	Phone Number	Fax Number	
		( )	( )	
Dates, Titles and/or Positions Held (starting with current position and working backwards)				
From Date	To Date	Title or Position	Annual Compensation \$ Value	Composition of Compensation (Specify salary, wages, commissions)
9/30/2012	Present			

\* Make additional copies and attach additional pages as necessary.

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Initials   JW

**Schedule B: Current Officers, Directors/Partners and Trusts**

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter

Name and Home Address				
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	Date of Birth
Starling	Fiduciary Services, Inc.			
Address Line 1		Address Line 2		
Address Line 3		City	State/Province	Postal Code
Country	Email Address		Phone Number	Fax Number
			( )	( )
Applicant Address				
Applicant Name: Stadium Casino, LLC			Current Title or Position	
Address Line 1 601 E. Pratt Street		Address Line 2		
Address Line 3		City Baltimore	State/Province MD	Postal Code 21202
Country USA	Email Address		Phone Number	Fax Number
			( )	( )
Dates, Titles and/or Positions Held (starting with current position and working backwards)				
From Date	To Date	Title or Position	Annual Compensation \$ Value	Composition of Compensation (Specify salary, wages, commissions, fees, bonus or other)

\* Make additional copies and attach additional pages as necessary.

Initials 

**Schedule 5: Current Officers, Directors/Partners and Trusts**

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address						
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	Date of Birth		
Sterling Investors Trust						
Address Line 1						
Address Line 2						
Address Line 3						
Country		Email Address		City	State/Province	Postal Code
Phone Number			Fax Number			
( )			( )			
Applicant Address						
Applicant Name:			Current Title or Position			
Stadium Casino, LLC						
Address Line 1						
601 E. Pratt Street						
Address Line 3						
Country		Email Address		City	State/Province	Postal Code
USA				Baltimore	MD	21202
Phone Number			Fax Number			
( )			( )			
Dates, Titles and/or Positions Held (starting with current position and working backwards)						
From Date	To Date	Title or Position	Annual Compensation \$ value	Composition of Compensation (Specify salary, wages, commissions, fees, bonus or other)		
Does Not Apply						

\* Make additional copies and attach additional pages as necessary.

Initials STW

**Schedule 6: Former (no longer active) Officers, Directors/Partners and Trustees**

Provide the following information for all officers, directors/partners and trustees who are no longer actively involved with Applicant but who held a position during the last ten (10) year period.

Name and Home Address				
First Name Road	Middle Name Sanders	Last Name Cordish	Suffix (Jr., Sr., etc.)	Date of Birth
Address Line 1		Address Line 2		
Address Line 3		City	State/Province	Postal Code
Country	Email Address	Phone Number ( )	Fax Number ( )	
Applicant Address				
Applicant Name: Stadium Casino, LLC		most recent Title or Position Director		
Address Line 1 601 E. Pratt Street, 6th Floor		Address Line 2		
Address Line 3		City Baltimore	State/Province MD	Postal Code 21202
Country USA	Email Address	Phone Number ( ) 410 752-5444	Fax Number ( ) 410 7659 9491	
Dates, Titles and/or Positions Held (starting with most recent and working backwards)				
From Date	To Date	Title or Position	Annual compensation & value	Reason for Leaving
Inception	May 30, 2017	Director		

\* Make additional copies and attach additional pages as necessary.

*JW*

**Schedule 7: Employees Earning Over \$250,000 in Annual Compensation From Applicant**

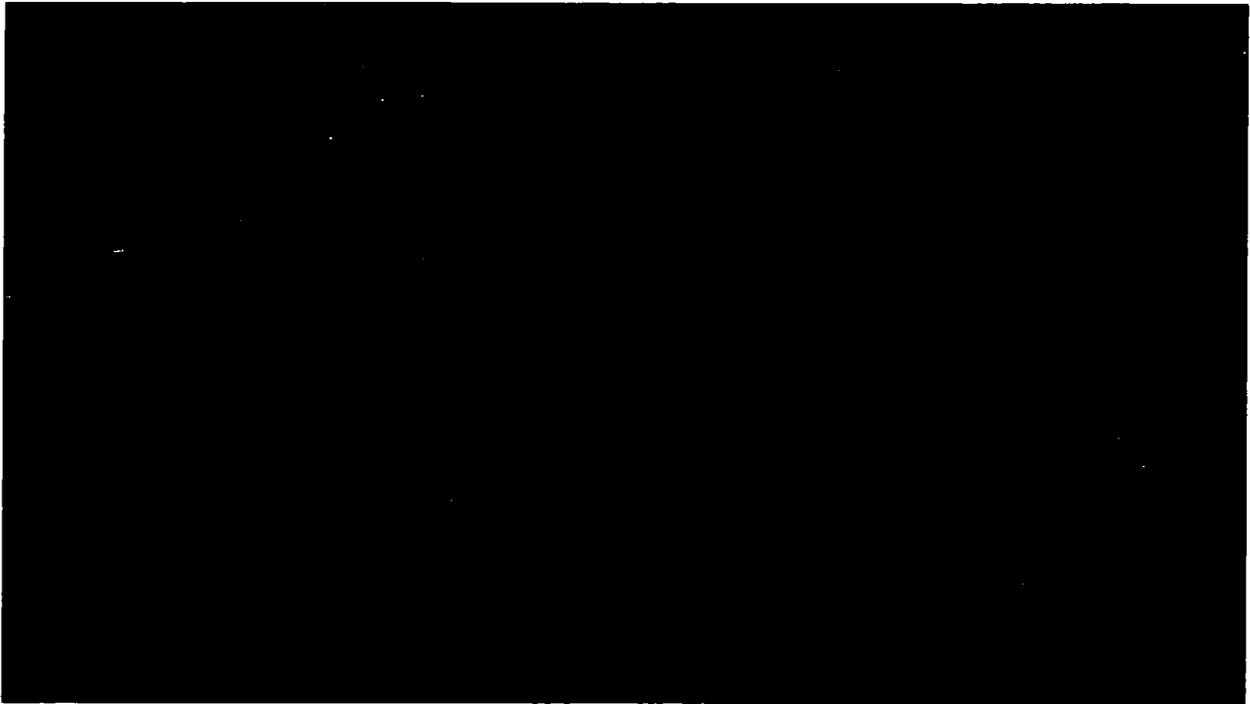
Provide the following information for all employees earning over \$250,000 in annual compensation from applicant. Do not include persons already listed on Schedule 5.



\*Make additional copies and attach additional pages as necessary

**Schedule 8: Bonus, Profit Sharing, Pension Retirement, Deferred Compensation & Similar Plans**

Provide the following information and attach a description of plans as Appendix 3.



\* Make additional copies and attach additional pages as necessary.

**Schedule 9: Stock Description (for C corporations, S-corporations, LLCs)**

Provide the following information for all of applicant's stock.



**Schedule 10: Voting Shareholders or Members (for C corporations, S-corporations, LLCs)**

Provide the following information for each person who has a controlling interest as that term is defined in §1103 of the Gaming Act.



\* Make additional copies and attach additional pages as necessary.

**Schedule 10A: Interest of Current Partners (for Partnerships, LLPs, Limited Partnerships, LLCs)**

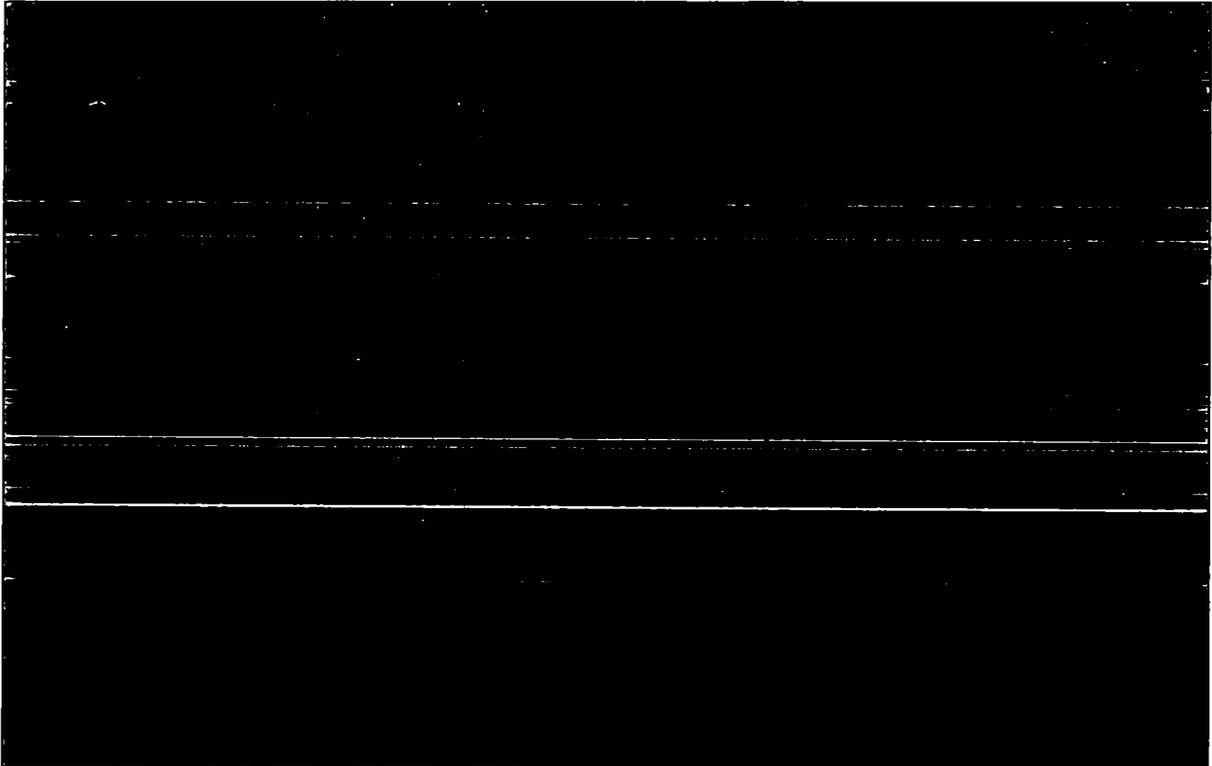
Provide the following information for each partner.



\* Make additional copies and attach additional pages as necessary.

**Schedule 10B: Interest of Former Partners (for Partnerships, LLPs, Limited Partnerships, LLCs)**

**Provide the following information for each former partner for the last ten (10) years.**



\* Make additional copies and attach additional pages as necessary.

biba 

**Schedule 11: NON-Voting Shareholders or Members (for C corporations, S-corporations, LLCs)**

Provide the following information for each person who has controlling interest as that term is defined in §1103 of the Gaming Act, 58 Pa. Code §401a.3.



\* Make additional copies and attach additional pages as necessary.

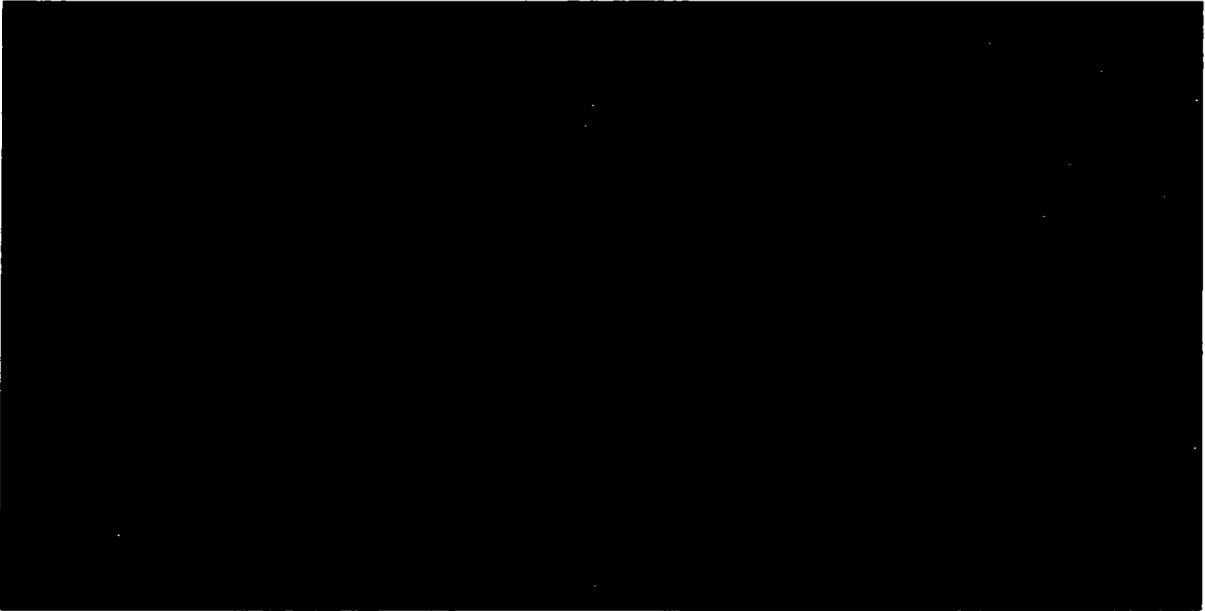
**Schedule 12: Long Term Debt**

Describe the nature, type, covenants and priorities of all outstanding bonds, loans, mortgages, trust deeds, notes, debentures or other forms of indebtedness issued or executed (including loans made by shareholders), or to be issued or executed, by the applicant, which mature more than one year from the date of issuance or which, by their terms, are renewable for a period of more than one (1) year from the date of issuance. Attach description and documentation as Appendix 4.



**Schedule 13: Holders of Long Term Debt**

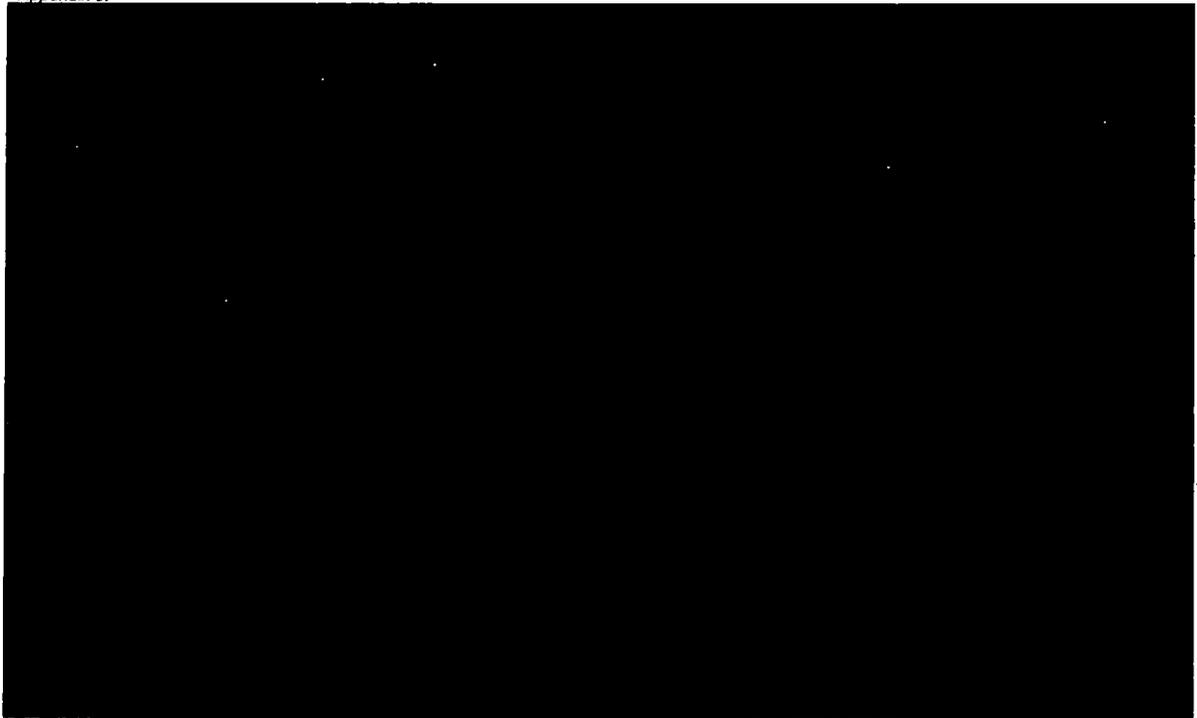
Provide the following information for each person or entity holding any outstanding bonds, loans, mortgages, trust deeds, notes, debentures or other forms of indebtedness executed or issued by applicant, which mature more than one (1) year from the date of issuance or which, by their terms, are renewable for a period of more than one (1) year from the date of issuance.



\* Make additional copies and attach additional pages as necessary.

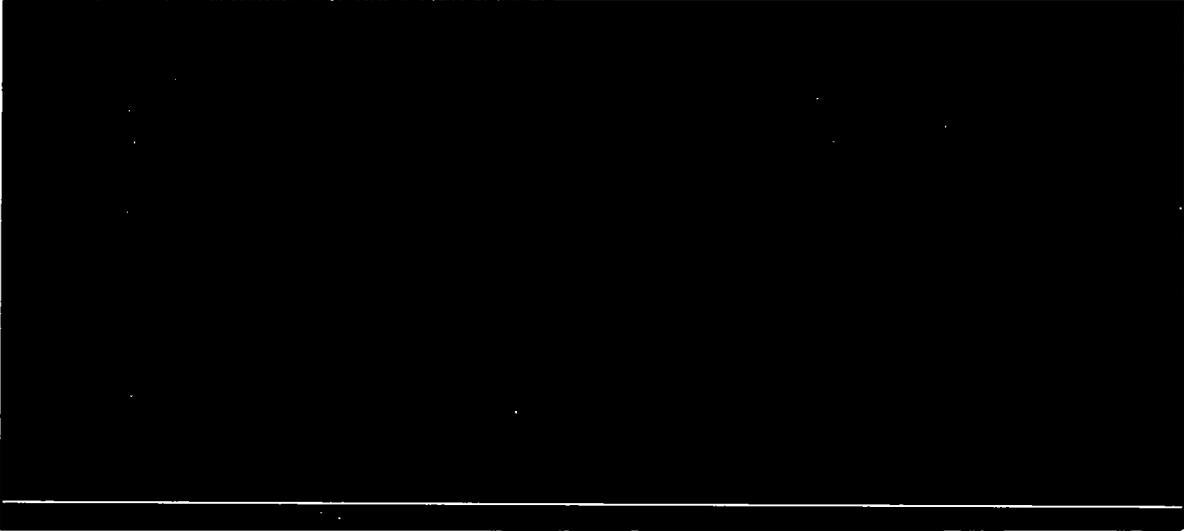
**Schedule 14: Other Indebtedness and Security Devices**

Describe the nature, type, terms, conditions and covenants of all outstanding loans, mortgages, trust deeds, pledges, lines of credit, or other evidence of indebtedness or security devices utilized by applicant other than those described in Schedule 12. Attach description and documentation as Appendix 5.



**Schedule 15: Holder of Other Indebtedness**

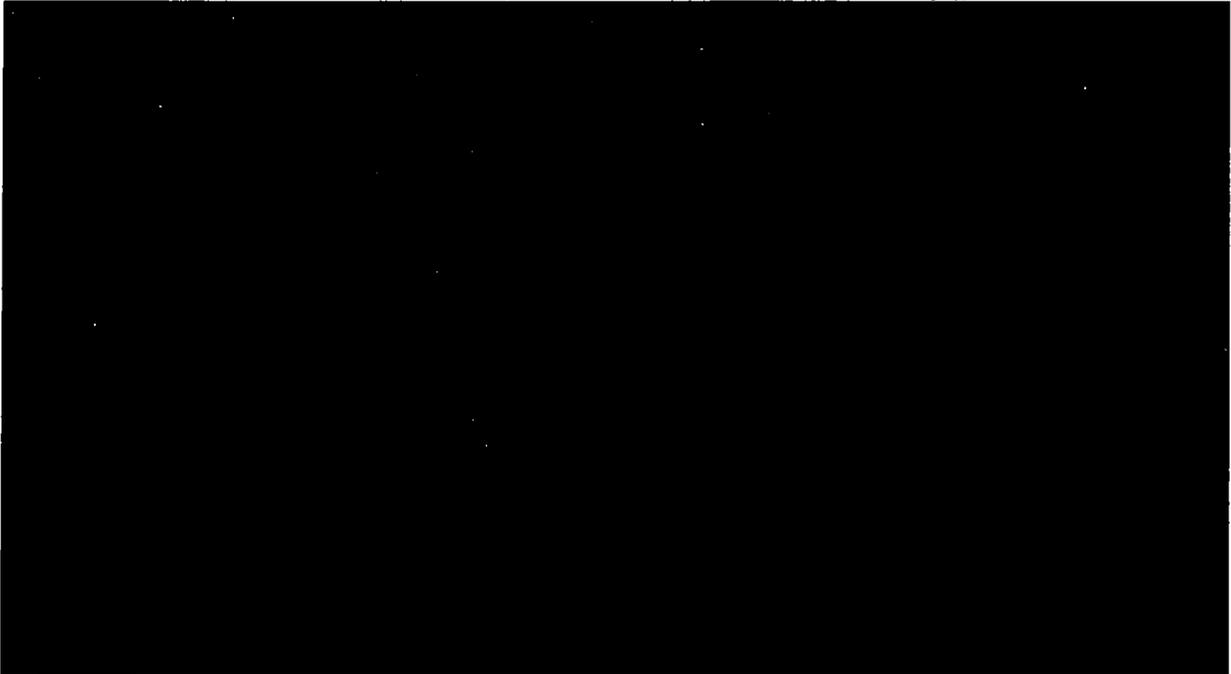
Provide the following information for each holder of any outstanding loan, mortgage, trust deed, pledge or other evidence of indebtedness or security devices utilized by applicant and described in response to schedule 14.



\* Make additional copies and attach additional pages as necessary.

**Schedule 16: Securities Options**

Provide the following information and attach as Appendix 6 a detailed description of any options existing or to be created with respect to securities issued by applicant which description shall include, but not be limited to, the title and amount of securities subject to option, the year or years during which the options were or will be granted, the conditions under which the options were or will be granted, the consideration for granting the option and the year or years during which, and the terms under which, optionees became or will become, entitled to exercise the options, and when such options expire. (OR include copies of any outstanding option plans or proxy statements that provide the requested information.) NOTE: For the purpose of this schedule, option shall mean right, warrant or option to subscribe to or purchase any securities issued by applicant.



\* Make additional copies and attach additional pages as necessary.

**Schedule 17: Beneficial Owner of Options**

Provide the following information for persons holding the options described in Schedule 16.



\* Make additional copies and attach additional pages as necessary.

**Schedule 1B: Other Principals**

Provide the following information for all principals not otherwise disclosed on schedules 1, 5, 10, 10A, 11, 13 and 15. Include individuals and entities that have a 1% or greater indirect ownership interest in an applicant or licensee; however, individuals or entities who hold less than 5% of the voting securities of an applicant or licensee or an intermediary or holding company of an applicant or licensee that is a publicly traded company shall not be required to be licensed as a principal.

Name and Address				
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	Date of Birth
Entity Name CBL/Westmoreland, L.P.				
Address Line 1		Address Line 2		
Address Line 3		City	State/Province	Postal Code
Country	Email Address	Phone Number ( )	Fax Number ( )	
<b>Describe Nature, Type, Terms and Conditions of Interest in or Control Over Applicant</b>				

\* Make additional copies and attach additional pages as necessary.

**Schedule 19: Financial Institutions**

Provide the following information with respect to each bank, savings and loan association or other financial institution, whether domestic or foreign, in which applicant has or has had an account over the last ten (10) year period regardless of whether such account was held in the name of applicant, a nominee of applicant or was otherwise under the direct or indirect control of applicant.



\* Make additional copies and attach additional pages as necessary.

**Schedule 20: Contracts**

Provide the following information with respect to all contracts or agreements (whether written or oral) that applicant has entered into within the past six (6) months, for goods and/or services in excess of \$100,000. Contracts and agreements disclosed elsewhere in this application need not be provided on this schedule.



**Schedule 21: Stock Held by Applicant**

Provide the following information with respect to each company in which applicant holds stock.



**Schedule 22: Insider Transactions**

Provide the following information for each change in the beneficial ownership of the equity securities of applicant on the part of any person who is indirectly or directly a beneficial owner of more than ten percent (10%) of any class of an equity security of applicant or who is or was within that period a director or officer of applicant that occurred within the five (5) years preceding this application. (Include changes resulting from (a) gift, (b) purchase, (c) sale, (d) exercise of an option to purchase, (e) exercise of an option to sell, (f) grant or receipt of a put or (g) grant or receipt of a call.)



\* Make additional copies and attach additional pages as necessary.

**Schedule 23: Criminal History**

If Applicant answered YES to questions 1, 1A or 1B on page 4, provide the following information:

<b>Criminal History Incident</b>						
Name of Case & Docket Number	Nature of Charge or Complaint	Date of Charge or Complaint	Disposition (Acquitted, Convicted, Dismissed, Etc.)	Name and Address of Law Enforcement Agency or Court Involved	Sentence	name of officer, director/partner, trustee or Key Employee
	Does Not Apply					

**Schedule 24: Testimony, Investigations or Polygraphs**

If Applicant Answered YES to question 2 on page 4, provide the following information:



**Schedule 25: Existing Litigation**

Provide the following information and attach as Appendix 7 a description of all existing civil litigation to which applicant, its parent, affiliate, or subsidiary is presently a party, whether in this Commonwealth or in another jurisdiction. Do not include any litigation in which the damages may not reasonably be expected to exceed \$100,000 or litigation in which damages may be expected to exceed \$100,000, but which involves claims against applicant which are fully and completely covered under an insurance policy held by the applicant with a licensed insurance carrier. This description must include the title and docket number of the litigation, the name and location of the court before which it is pending, the identity of all parties to the litigation and the general nature of all claims being made.

Existing Litigation	
Name of Case and Docket Number	Location and Name of Court before which litigation is pending
Does Not Apply	
Names of all parties to litigation	
Nature of the claims	
Existing Litigation	
Name of case and docket number	Location and Name of Court before which litigation is pending
Names of all parties to litigation	
Nature of the claims	

**Schedule 26: Antitrust, Trade Regulation & Security Judgments; Statutory and Regulatory Violations**

If applicant answered YES to questions 3 or 4 on page 4, provide the following information:

Violation		
Name of Case & Docket Number	Date of Judgment, Order or decree	Name and Address of Agency or Court Involved
Does Not Apply		
Nature of Offense		
Disposition <input type="checkbox"/> Acquitted <input type="checkbox"/> Convicted <input type="checkbox"/> Dismissed <input type="checkbox"/> Other _____		
Nature of Judgment, Decree or Order		
Violation		
Name of Case & Docket Number	Date of Judgment, Order or decree	Name and Address of Agency or Court Involved
Nature of Offense		
Disposition <input type="checkbox"/> Acquitted <input type="checkbox"/> Convicted <input type="checkbox"/> Dismissed <input type="checkbox"/> Other _____		
Nature of Judgment, Decree or Order		

Initials

**Schedule 27: Bankruptcy or Insolvency Proceedings**

If applicant answered YES to questions 5, 6 and/or 7 on page 4, provide the following:

<b>Bankruptcy or Insolvency Proceedings</b>			
<b>Name of Case &amp; Docket Number</b>	<b>Date Petition Filed or Relief Sought</b>	<b>Name and Address of Agency or Court Involved</b>	
	<b>Date Judgment or Relief Entered</b>	<b>Name of Court Appointed Receiver, agent or trustee</b>	<b>Date Receiver, agent or trustee appointed</b>
<b>Nature of Judgment or Relief</b> Does Not Apply			

**Schedule 28: Non-Gaming Licenses and Permits**

If Applicant answered YES to question 8 on page 5, provide the following information for the last ten (10) year period:

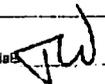
Applicant Licensing (Government Issued - Non-gaming)					
Type of License or Permit	Name and Location of Government Agency	Application Number	Disposition	Date of Disposition	If Granted, provide the license/permit number and expiration date. If Denied, Pending, Expired, Suspended, Conditioned, Withdrawn or Revoked, provide details.
Does Not Apply			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked		
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked		
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked		
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked		

Initials     *AW*

**Schedule 29: Gaming Licenses and Permits**

If Applicant answered YES to question 9 on page 5 provide the following information for the last ten (10) year period:

<b>Applicant Licensing (Government Issued -Gaming)</b>					
Type of License or Permit	Name and Location of Government Agency	Application Number	Disposition	Date of Disposition	If granted, provide the license/permit number and expiration date. If DENIED, PENDING, EXPIRED, SUSPENDED, CONDITIONED, WITHDRAWN OR REVOKED, provide details.
Stadium Casino, LLC's Category 2 Slot Machine License	Pennsylvania Gaming Control Board		<input checked="" type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked	Awarded 11/18/2014  Issued 12/2017	License No. F-73580
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked		
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked		
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked		

Initials 

**Schedule 30: Applicant's Contributions and Disbursements**

If Applicant answered YES to any of questions 10 through 16 on pages 5 and 6, provide the following information for any present or former directors, officers, employees or third parties who would have knowledge or information of the contributions and/or disbursements during the last ten (10) year period:

Also provide the name, address, date formed and officers of any political action committee during the last ten (10) year period. provide copies of any reports filed by the committee during the last five (5) years, whether federal or state.

If "independent expenditures" have been made, provide a detailed list showing: 1-Date made, 2-recipient of the expenditure, 3-Amount of the expenditure 4-The election it was intended to influence.



Schedule 31: Business Background Part 1

<b>Description of Present Business</b>
Stadium Casino, LLC is a Category 2 slot machine licensee
<b>Description of Competitive Conditions</b>
Stadium Casino, LLC will be competing with other slot machine licensees in the Commonwealth once its facility is operational
<b>Principal Products Produced and/or Services Rendered</b>
Does Not Apply
<b>Availability of Raw Materials, Critical Technology &amp; Employees</b>
Does Not Apply
<b>Intellectual Property Owned by Applicant &amp; Importance to Business</b>
Does Not Apply

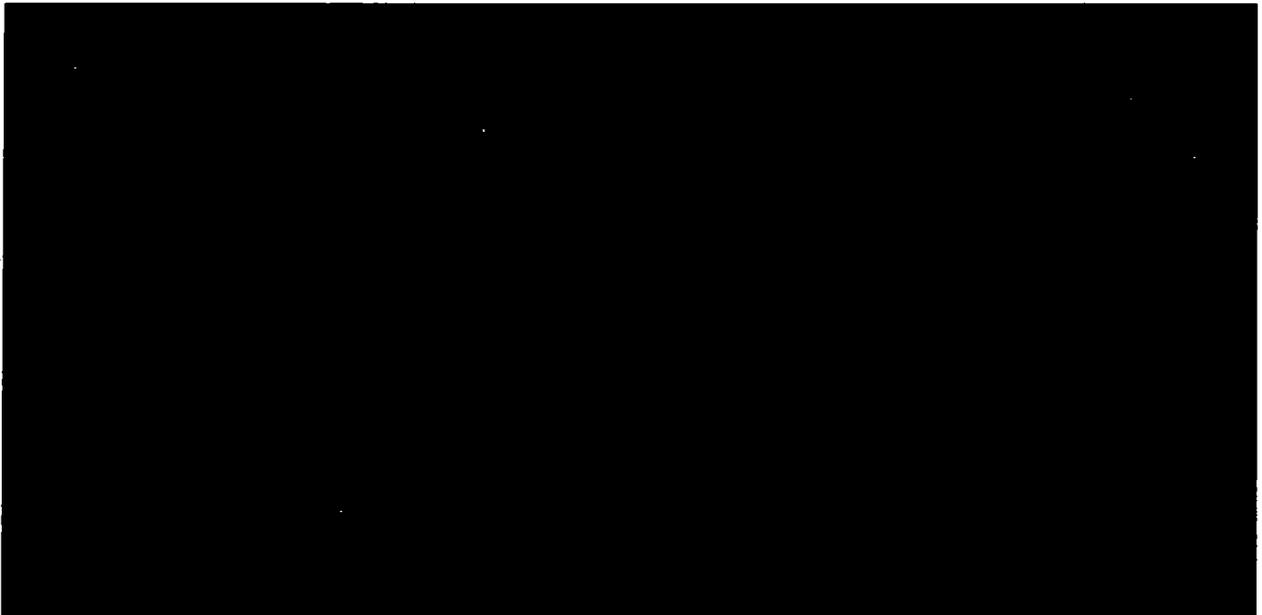
Initials *JPW*



**Signature Document Section**

**APPLICATION FOR PENNSYLVANIA TAX CLEARANCE REVIEW**

Completion of this form is a condition of this application and will authorize the Pennsylvania Department of Revenue ("DOR") and the Department of Labor and Industry ("DLI") to review the tax records of the person and/or entity as part of the licensing evaluation by the Pennsylvania Gaming Control Board ("Board"). Your signature on this form also represents a waiver of confidentiality of tax information. Your signature allows the DOR and DLI to provide tax information to the Board and its authorized investigatory agents.



CEO/Applicant Signature

Telephone Number

Date

**DIVERSITY PLAN STATEMENT**

Slot Machine License Applicant Name Stadium Casino, LLC

Slot Machine License Applicant Mailing Address 601 East Pratt Street, Baltimore, MD 21202

Slot machine License Applicant Phone Number 410-752-5444

Equal Opportunity Officer \_\_\_\_\_

Date Submitted      /      /     

Pursuant to Section 1325(b)(1) of the Pennsylvania Race Horse Development and Gaming Act:

**Applicant developed and implemented the diversity plan**

**that was submitted to the Board on November 15, 2012**

**with its initial Category 2 Slot Machine License**

  
\_\_\_\_\_  
Signature of CEO

Application.

Joseph S. Weinberg

Printed Name

7 / 24 / 2018  
Date



**Pennsylvania Gaming Control Board  
Licensed Entity Representation Registration**

A Licensed Entity Representative includes any person acting on behalf of or representing the interest of any applicant, licensee, permittee or registrant, including but not limited to an attorney (outside counsel representing the applicant/licensee), agent or lobbyist regarding any matter which may reasonably be expected to come before the Pennsylvania Gaming Control Board ("PGCB"). Please include representatives from law firms, public relations firms, representatives from government relations firms and traffic experts. If any law firms were sub-contracted, individuals from these firms who directly represented the applicant/licensee must also complete this form.

**NAME:** Registration Forms Already on File

**FIRM:** \_\_\_\_\_

**ADDRESS:** \_\_\_\_\_

**CITY:** \_\_\_\_\_

**STATE AND ZIP CODE:** \_\_\_\_\_

**TELEPHONE:** \_\_\_\_\_

**ENTITY REPRESENTED:** \_\_\_\_\_

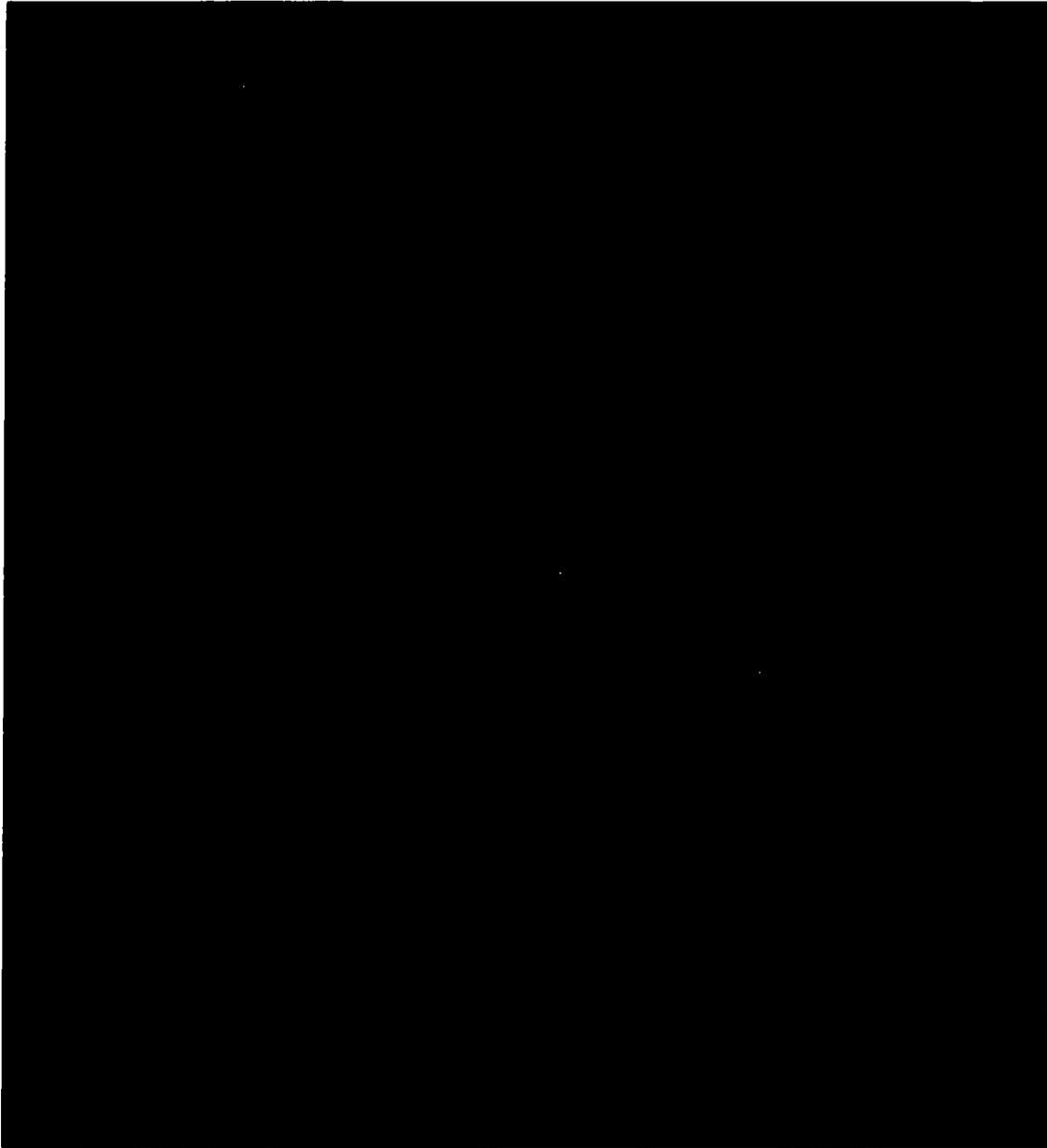
Pursuant to 4 Pa.C.S., §1202.1(b), I am required to register as a licensed entity representative with the PGCB. I have an ongoing duty to regularly update this information and failure to do so could subject my firm and me to a penalty. I also acknowledge that by signing this document, all information contained herein will be made available for review by the public and that such information will be posted on the PGCB website pursuant to 4 Pa.C.S., §1202.1(3).

**SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**Commonwealth of Pennsylvania**

**Certification by an Applicant of Safeguards and Policies  
Preventing Violations of Section 1513**

**Instructions:** This affidavit is to be completed under oath by the Chief Executive Officer or other appropriate individual of the applicant pursuant to 4 Pa.C.S. §1513(b). Attach a copy of the plan that has been developed pursuant to 4 Pa.C.S. §1513(b) which sets forth the internal safeguards and policies. An affidavit must be completed annually and provided to both the PGCB and to the Department of State, Bureau of Commissions, Elections and Legislation.



## Pennsylvania Political Contributions Form

In the chart below, provide the required information for all political contributions, monetary or in-kind, to a candidate for nomination or election to any public office in this Commonwealth, or to any political committee or state party in this commonwealth or to any group, committee or association organized in support of any such candidate, political committee or state party on or after the date your entity's application was submitted to the Pennsylvania Gaming Control Board (Board). The applicant or licensee must list political contributions by its affiliates, intermediaries, subsidiaries, holding companies, principals and key employees who hold similar gaming licenses in other jurisdictions. Do not include contributions to candidates for federal offices or to committees or groups organized solely in support of federal candidates.

If there is more than one contribution to the same candidate, political committee, state party, etc., separate entries must be listed for each contribution.

NOTE: If you need space for additional entries, please make additional copies of this form.

Date of Contribution	Name and Address of the Candidate, Political Committee or State Party, or Group, Committee or Association Organized in Support of such Candidate, Political Committee or State Party	Amount or Value of Contribution
	None	

  
 \_\_\_\_\_  
 Signature of CEO/Authorized Signatory

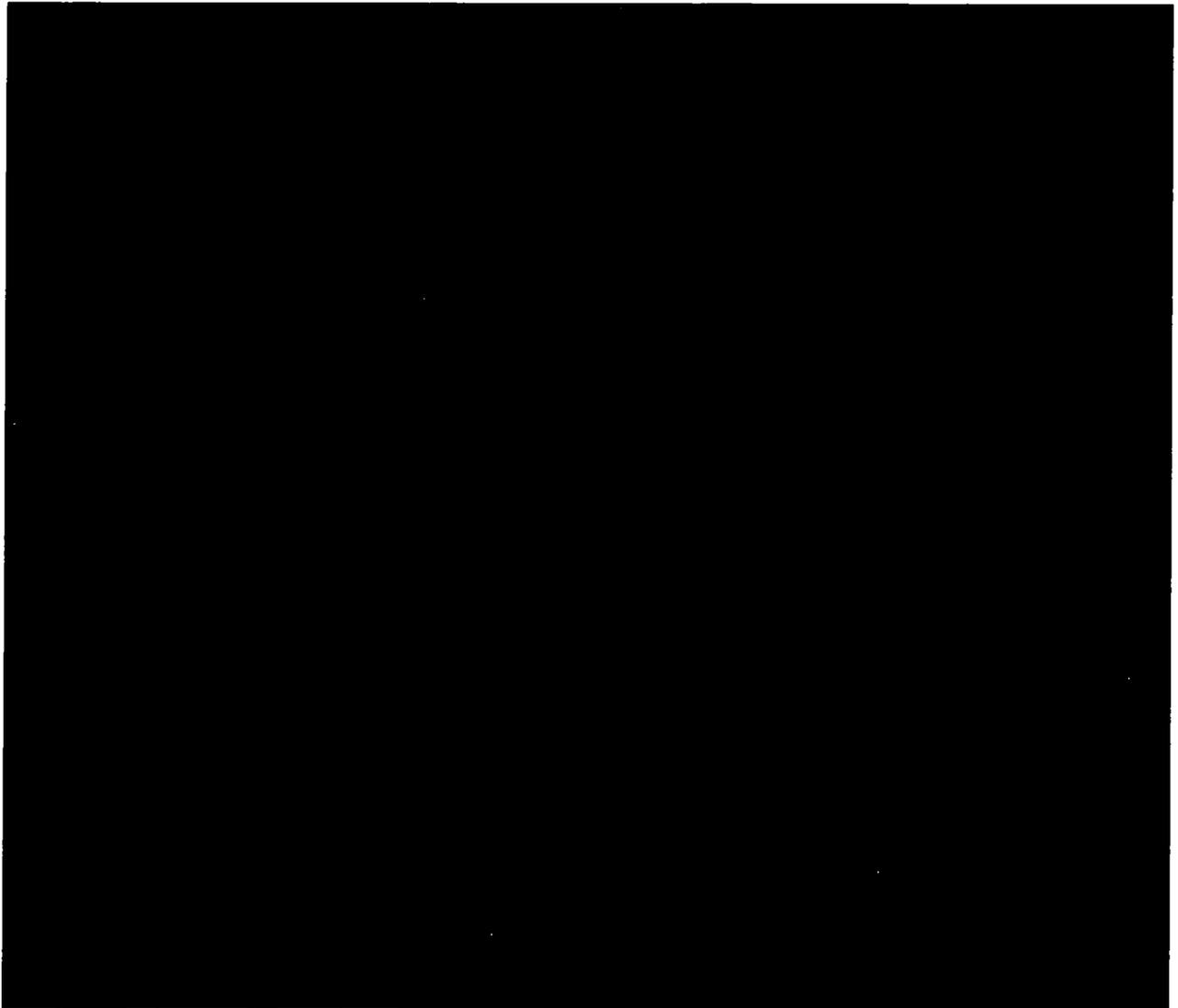
7/24/18  
 \_\_\_\_\_  
 Date Signed

Joseph S. Weinberg  
 \_\_\_\_\_  
 Printed Name of CEO/Authorized Signatory – Title

\*If a person other than the CEO of this entity is designated to execute this document, the Board must be provided with a resolution or an affidavit, certified as true and correct, identifying the individual so designated, authorizing that individual to execute the document on behalf of both the entity and the CEO.

# Financial Statement Certification

For the Period Ended: \_\_\_\_\_



## APPENDIX 1

DESCRIPTION OF THE BUSINESS CURRENTLY PERFORMED AND THE BUSINESS INTENDED TO BE PERFORMED IN THE COMMONWEALTH. THIS INFORMATION MUST BE SPECIFIC AND MUST BE ORGANIZED AROUND THE TOPICS SHOWN IN **SCHEDULES 31 AND 32**.

ADDITIONALLY, APPLICANT MUST INDICATE THE RELATIONSHIP BETWEEN IT AND ITS AFFILIATED ENTITIES AS IT RELATES TO THE BUSINESS INTENDED TO BE PERFORMED IN THE COMMONWEALTH IN THE FORM OF AN ORGANIZATION CHART WITH A NARRATIVE DESCRIPTION.

**Stadium Casino LLC has been issued a Category 2 slot machine license and table games certificate to operate a gaming facility in the heart of Philadelphia's sports stadium and major entertainment center. Stadium Casino has closed on the Holiday Inn Philadelphia Stadium, located at 900 Packer Avenue, and is proceeding with the development of its Category 2 facility.**

**Located at the Westmoreland Mall, in Hempfield Township, Pennsylvania, Stadium Casino's Category 4 site will be in an ideal location. Already a major regional attraction and retail center, the proposed location is well positioned regionally and well equipped from an access, traffic and infrastructure standpoint.**

**The Westmoreland Mall site includes 6,436 parking spaces (covered and uncovered) and is only 3 miles from downtown Greensburg. It is located less than 10 miles from major Interstate Highways I-76/70 and the site has convenient access ramps from both eastbound and westbound US Route 30. Additionally, the site is only a 45 minute drive from downtown Pittsburgh. The site is surrounded by 17 dining locations within 1 mile (not including restaurants in the mall itself).**

**For information regarding the business currently performed by the entities comprising Stadium Casino LLC, The Cordish Companies and Greenwood Racing, Inc. ("GRI"), see Stadium Casino LLC's Application of November 15, 2012 and Renewal Application of September 18, 2017.**

**An organization chart for Stadium Casino LLC and related information may be found at Appendices 18 and 44 of this Application.**

**APPENDIX 2**

**DESCRIPTION OF ANY FORMER BUSINESS ENGAGED IN DURING THE LAST  
TEN (10) YEARS AND THE REASON FOR CESSATION OF THE BUSINESS.**



### **APPENDIX 3**

**DESCRIPTION OF ALL BONUS, PROFIT SHARING, PENSION, RETIREMENT, DEFERRED COMPENSATION AND SIMILAR PLANS. THIS INFORMATION MUST BE PROVIDED IN ADDITION TO THE INFORMATION PROVIDED IN SCHEDULE 8.**



**APPENDIX 4**

**DESCRIPTION OF LONG TERM DEBT. THIS INFORMATION MUST BE PROVIDED IN  
ADDITION TO THE INFORMATION PROVIDED IN SCHEDULES 12 AND 13.**



## **APPENDIX 5**

**DESCRIPTION OF OTHER INDEBTEDNESS AND SECURITY DEVICES.  
THIS INFORMATION MUST BE PROVIDED IN ADDITION TO THE INFORMATION  
PROVIDED IN SCHEDULES 14 AND 15.**



## APPENDIX 6

DESCRIPTION OF SECURITIES OPTIONS. THIS INFORMATION MUST BE PROVIDED IN ADDITION TO THE INFORMATION PROVIDED IN SCHEDULES 16 AND 17.



**APPENDIX 7**

**DESCRIPTION OF EXISTING LITIGATION. THIS INFORMATION MUST BE PROVIDED  
IN ADDITION TO THE INFORMATION PROVIDED IN SCHEDULE 25.**



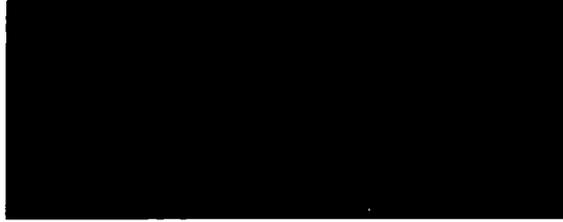
**APPENDIX 8**

**AUDITED FINANCIAL STATEMENT FOR THE LAST FISCAL YEAR. IF THE APPLICANT DOES NOT NORMALLY HAVE ITS FINANCIAL STATEMENTS AUDITED, ATTACH UNAUDITED FINANCIAL STATEMENTS.**



## **APPENDIX 9**

**AUDITED FINANCIAL STATEMENTS FOR THE LAST FIVE (5) YEARS. IF THE APPLICANT DOES NOT NORMALLY HAVE ITS FINANCIAL STATEMENTS AUDITED, ATTACH UNAUDITED FINANCIAL STATEMENTS.**



**APPENDIX 10**

**ANNUAL REPORTS FOR THE LAST FIVE (5) YEARS.**



**APPENDIX 11A**

**ANNUAL REPORTS PREPARED ON THE SEC'S 10K FOR THE LAST FIVE (5) YEARS.**

**DOES NOT APPLY**

**APPENDIX 11B**

**COPIES OF ANNUAL OR QUARTERLY FILINGS FOR THE LAST FIVE (5) YEARS  
REQUIRED UNDER THE LAWS OF A REGULATORY AGENCY OR ANOTHER  
COUNTRY.**

**DOES NOT APPLY**

**APPENDIX 12**

**A COPY OF THE LAST QUARTERLY UNAUDITED FINANCIAL STATEMENT.**



**APPENDIX 13**

**A COPY OR COPIES OF ANY INTERIM REPORTS.**



**APPENDIX 14**

**A COPY OF THE LAST DEFINITIVE PROXY OR INFORMATION STATEMENT (SEC).**

**DOES NOT APPLY**

**APPENDIX 15**

**A COPY OF ALL REGISTRATION STATEMENTS FOR THE LAST FIVE (5) YEARS IN  
ACCORDANCE WITH THE SECURITIES ACT OF 1933.**

**DOES NOT APPLY**

**APPENDIX 16**

**COPIES OF ALL OTHER REPORTS PREPARED IN THE LAST FIVE (5) YEARS BY  
INDEPENDENT AUDITORS OF THE APPLICANT.**



## **APPENDIX 17**

**CERTIFIED COPIES OF THE ARTICLES OF INCORPORATION, CHARTER, BYLAWS,  
PARTNERSHIP AGREEMENT OR OTHER OFFICIAL DOCUMENTS AND ALL  
AMENDMENTS AND PROPOSED AMENDMENTS.**

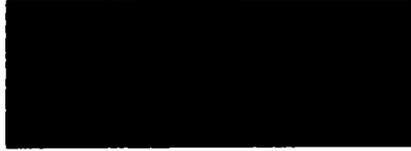
***See documents submitted responsive to Appendix 17 with Stadium Casino  
LLC's Application of November 15, 2012.***

**APPENDIX 18**



**APPENDIX 19**

**FUNCTIONAL TABLE OF ORGANIZATION FOR APPLICANT WITH, JOB DESCRIPTIONS, AND NAMES OF EMPLOYEES EARNING IN EXCESS OF \$250,000 IN ANNUAL COMPENSATION.**



**APPENDIX 20**

**COPIES OF FEDERAL ENTITY TAX FILINGS, INCLUDING FORMS 1120, 1120-s,  
1120-f, 1065, 941 AND ALL OTHER BUSINESS RELATED TAX FORMS FILED  
WITH THE IRS IN THE LAST FIVE (5) YEARS.**



**APPENDIX 21**

**COPIES OF 5500 FORMS FILED WITH THE IRS IN THE LAST FIVE (5) YEARS.**



**APPENDIX 22**

**DESCRIBE CRIMINAL HISTORY OF APPLICANT. THIS INFORMATION MUST BE PROVIDED IN ADDITION TO THE INFORMATION PROVIDED IN SCHEDULE 23. NARRATIVE INFORMATION ABOUT THE NATURE OF CHARGE OR COMPLAINT AND THE DISPOSITION MUST BE PROVIDED.**

**DOES NOT APPLY**

**APPENDIX 23**

**PURSUANT TO §1312 OF THE GAMING ACT, THE BOARD MAY NOT APPROVE AN APPLICATION FOR LICENSURE IF ANY OF ITS PRINCIPALS DO NOT MEET THE CHARACTER REQUIREMENTS OF §1310, ELIGIBILITY REQUIREMENTS, OR PURCHASES A CONTROLLING INTEREST IN A LICENSED GAMING ENTITY IN VIOLATION OF §1328.**

**HAS THE APPLICANT DIVESTED ALL INTERESTS THAT WOULD PROHIBIT LICENSURE AND ELIMINATED ANY PRINCIPAL WHO DOES NOT MEET THE CHARACTER OR ELIGIBILITY REQUIREMENTS? IF NOT, PROVIDE AN EXPLANATION. IF IT DOES NOT APPLY, WRITE DOES NOT APPLY IN RESPONSE TO THIS APPENDIX.**

**DOES NOT APPLY**

**APPENDIX 24**

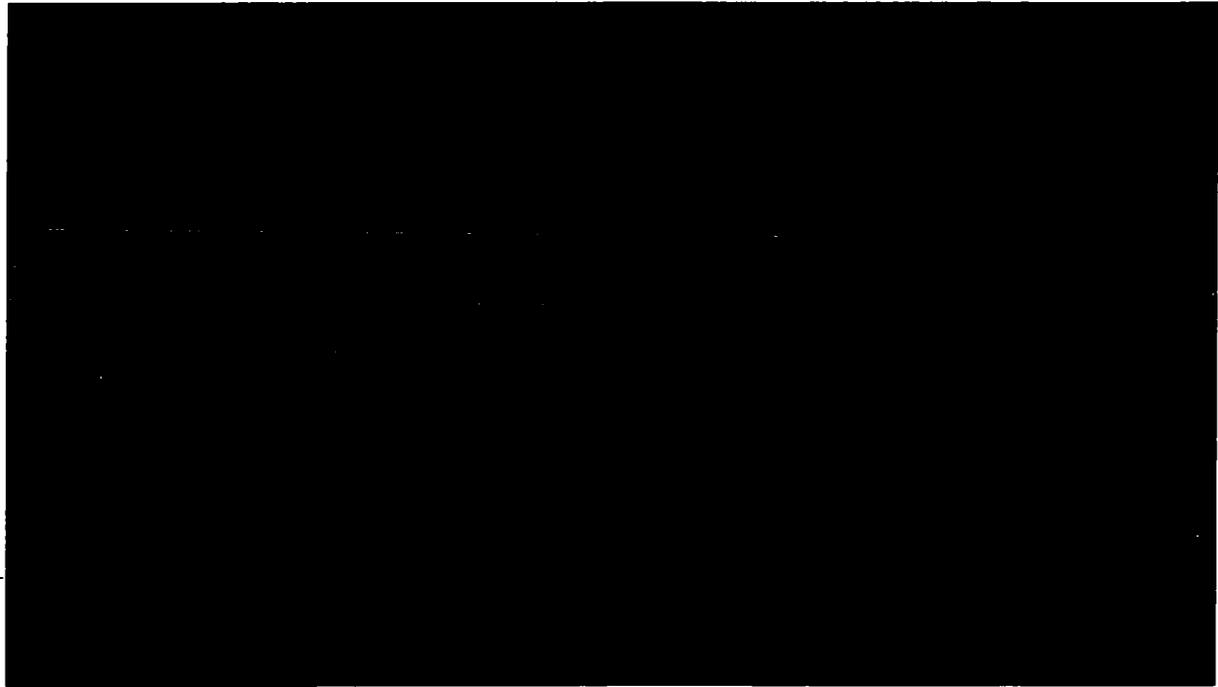
**PURSUANT TO §1512 OF THE GAMING ACT, NO EXECUTIVE-LEVEL STATE EMPLOYEE, PUBLIC OFFICIAL, PARTY OFFICER OR IMMEDIATE FAMILY MEMBER THEREOF SHALL HAVE A FINANCIAL INTEREST IN OR BE EMPLOYED, DIRECTLY OR INDIRECTLY, BY ANY LICENSED RACING ENTITY OR LICENSED GAMING ENTITY, OR ANY HOLDING, AFFILIATE, INTERMEDIARY OR SUBSIDIARY COMPANY, THEREOF, OR ANY SUCH APPLICANT.**

**HAS ANY PUBLIC OFFICIAL OR OTHER PROHIBITED PERSON POSSESSED A FINANCIAL INTEREST IN OR BEEN EMPLOYED DIRECTLY OR INDIRECTLY BY THE APPLICANT OR RELATED ENTITY AT OR FOLLOWING THE EFFECTIVE DATE OF THE PA GAMING ACT?**



## APPENDIX 25

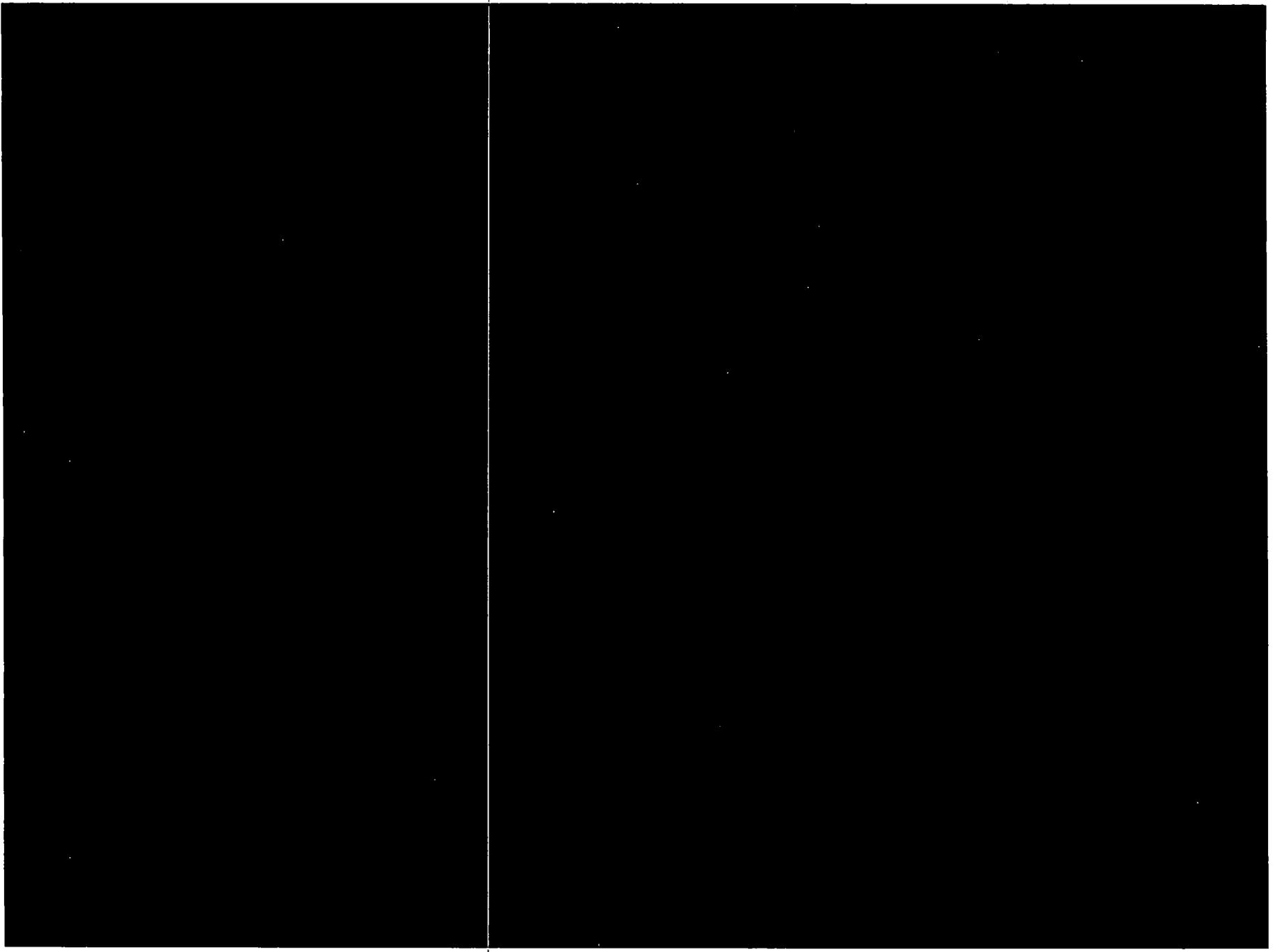
PURSUANT TO §1313 OF THE GAMING ACT, PROVIDE INFORMATION, DOCUMENTATION AND ASSURANCES DEMONSTRATING THAT THE APPLICANT HAS SUFFICIENT BUSINESS ABILITY AND EXPERIENCE TO CREATE AND MAINTAIN A SUCCESSFUL, EFFICIENT OPERATION. ALSO PROVIDE BIOGRAPHIES OF THE KNOWN INDIVIDUALS WHO WILL PERFORM EXECUTIVE MANAGEMENT DUTIES AND PROVIDE NAMES OF ALL PROPOSED KEY EMPLOYEES AND A DESCRIPTION OF THEIR RESPECTIVE OR PROPOSED RESPONSIBILITIES AS THEY BECOME KNOWN.



## **APPENDIX 26**

**PURSUANT TO §1207(16) OF THE GAMING ACT, THE LICENSEE MUST SELL PENNSYLVANIA STATE LOTTERY TICKETS AT ITS FACILITY AS NEAR AS PRACTICABLE TO THE PAY WINDOWS. PROVIDE A PROPOSED FLOOR PLAN SPECIFYING THE LOCATIONS WHERE STATE LOTTERY TICKETS WILL BE SOLD AND THE PROXIMITY OF THOSE LOCATIONS TO PAY WINDOWS. (NOTE: THIS SUBMISSION MUST BE FINALIZED AND APPROVED BY THE BOARD PRIOR TO OPERATION).**

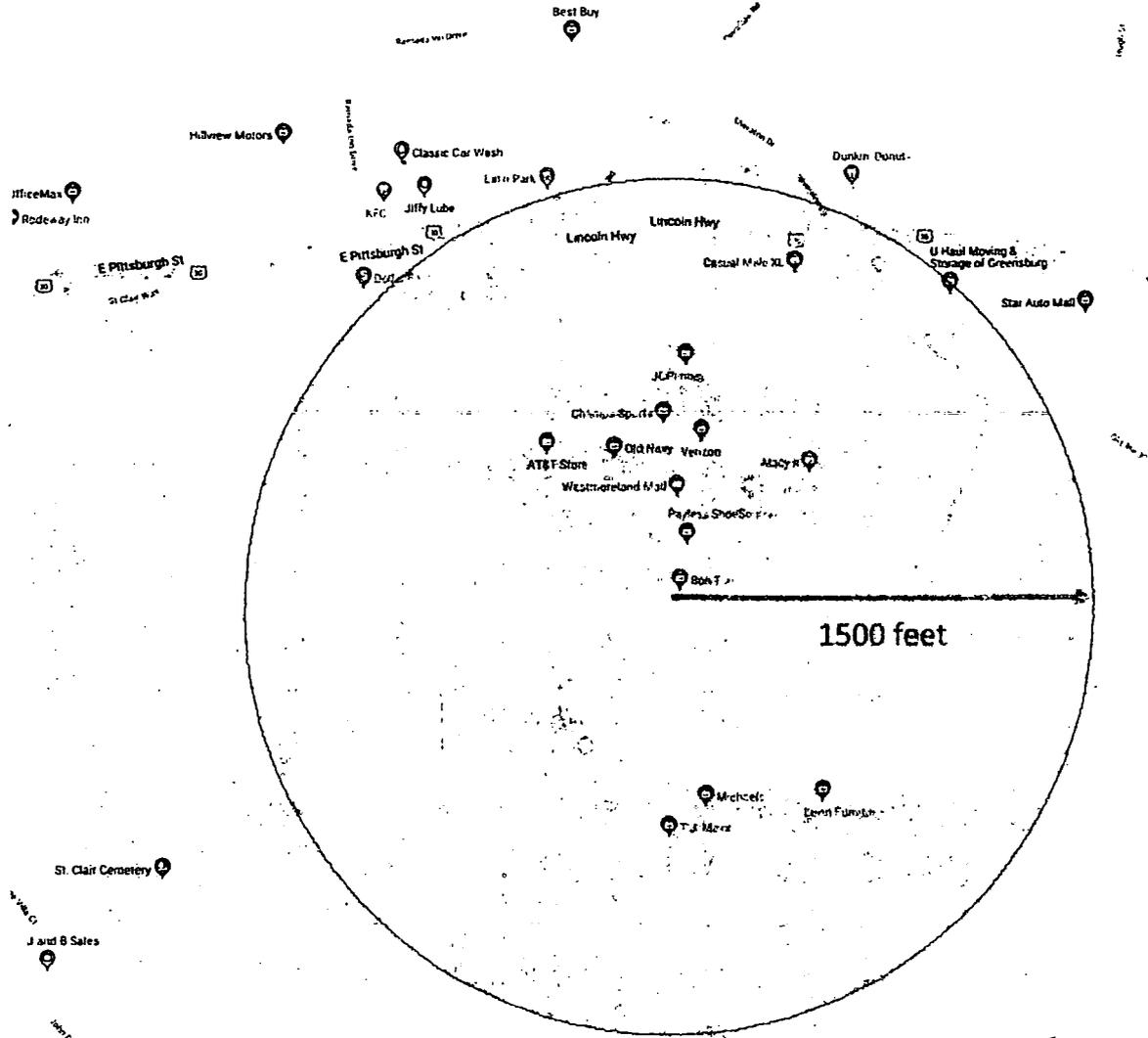
**SEE ATTACHED**



## APPENDIX 27

PROVIDE A LIST OF ANY HOSPITAL, PLACE OF WORSHIP, SCHOOL, CHARITABLE INSTITUTION, PARK, ZOO OR ANY SIMILAR PLACE FREQUENTED BY THE PUBLIC WITHIN 1500 FEET OF THE PROPOSED FACILITY.

- Hospital - None
- Place of Worship - None
- Schools - None
- Charitable Institution - None
- Parks
  - St Clair Cemetery
- Frequently Visited
  - Westmoreland Mall
  - Several Dining / Retail Establishments



**APPENDIX 28**

**SUBMIT AN INITIAL NARRATIVE DESCRIPTION OF PROPOSED ADMINISTRATIVE AND ACCOUNTING PROCEDURES, INCLUDING A WRITTEN SYSTEM OF INTERNAL CONTROL, PURSUANT TO §1322 OF THE GAMING ACT (NOTE: THIS SUBMISSION MUST BE FINALIZED AND APPROVED BY THE BOARD PRIOR TO OPERATION).**



## APPENDIX 29

PROVIDE MARKETING PLANS AND PROPOSALS AND DETAILS OF THE PROXIMITY  
OF THE FACILITY TO ITS MARKETING SERVICE AREA.



## APPENDIX 30

PROVIDE COPIES OF LOCAL ZONING AND LAND USE APPROVALS OR A DETAILED EXPLANATION OF THE STATUS OF THE REQUEST WITH COPIES OF ALL FILINGS.

**The proposed Category 4 licensed facility will be located in Hempfield Township, in the Regional Commercial zoning district. Neither zoning nor land use approvals will be required to develop the licensed facility. Under the Township's Zoning Ordinance, the facility would constitute a permitted, by-right use as indoor recreation, commercial.**

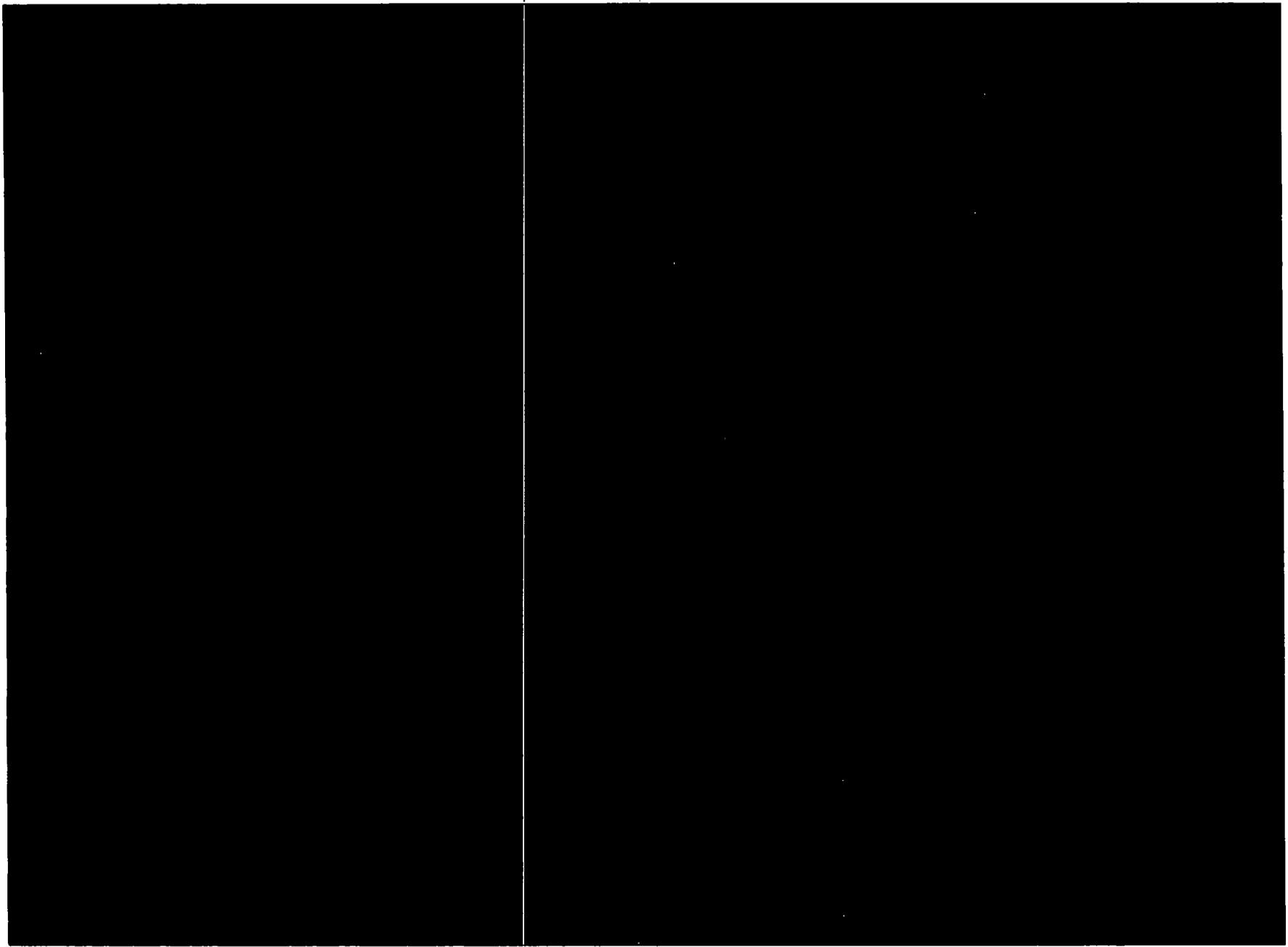
**Should a determination be made for whatever reason that said use is inapplicable, Stadium Casino LLC has the right under the Ordinance to pursue any unlisted principal use as a special exception. The Zoning Hearing Board shall grant such an exception if the criteria under the Ordinance is satisfied (*i.e.*, the use is appropriate for the property, fits within the character of the surrounding area, provides adequate access and egress, and is consistent with the purpose of the Ordinance and the best interests of the Township and the public welfare). Given the prior use of the site, the current use of the surrounding area and the benefits that will be derived from the project, the proposed Category 4 facility would clearly satisfy such criteria.**

**As to land use approvals, the project entails the renovation and repurposing of an existing retail structure with substantial existing parking. Accordingly, a land development plan will not be required under the Township's ordinances. Stadium Casino LLC will secure any necessary building permits at the appropriate time.**

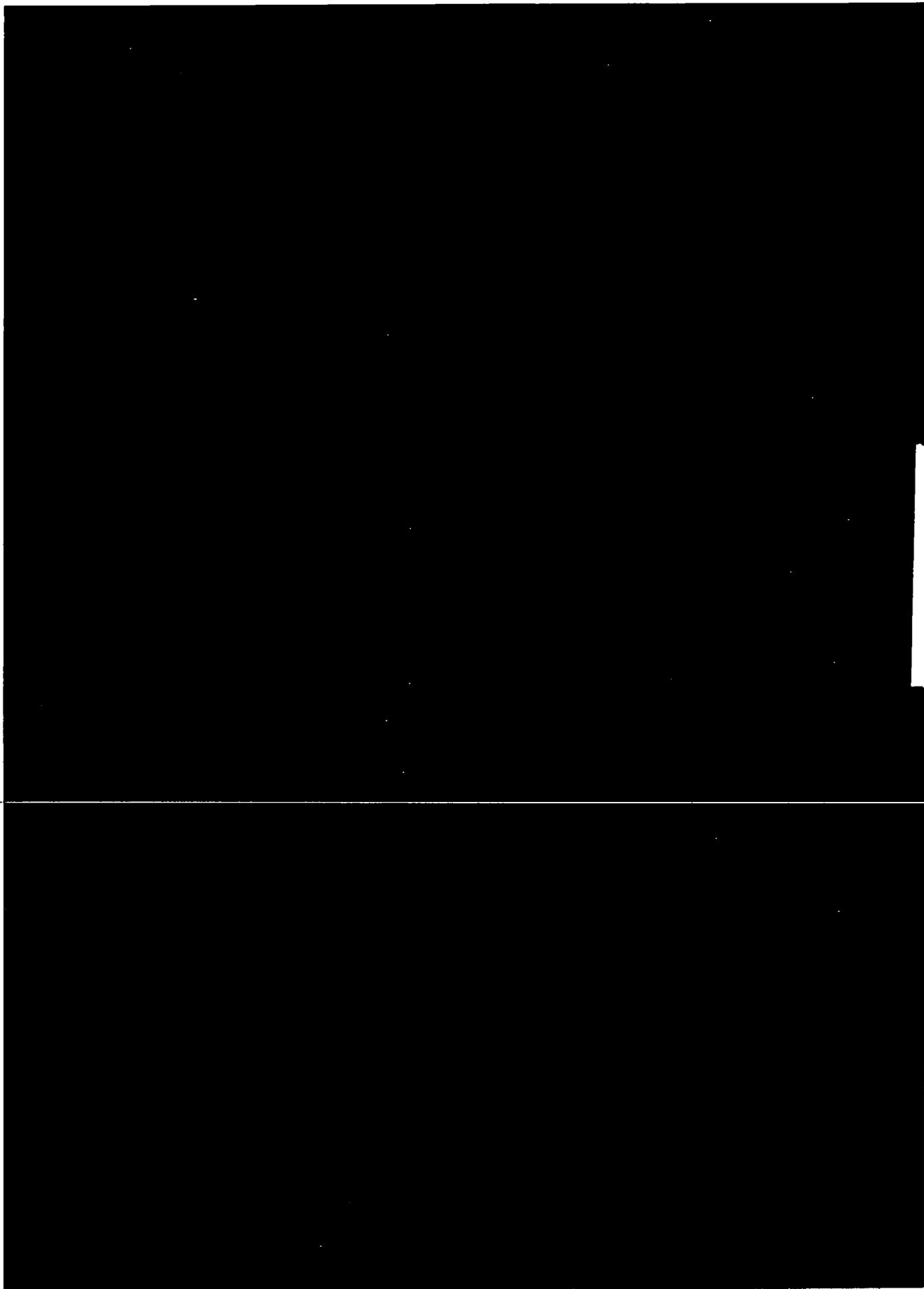
## **APPENDIX 31**

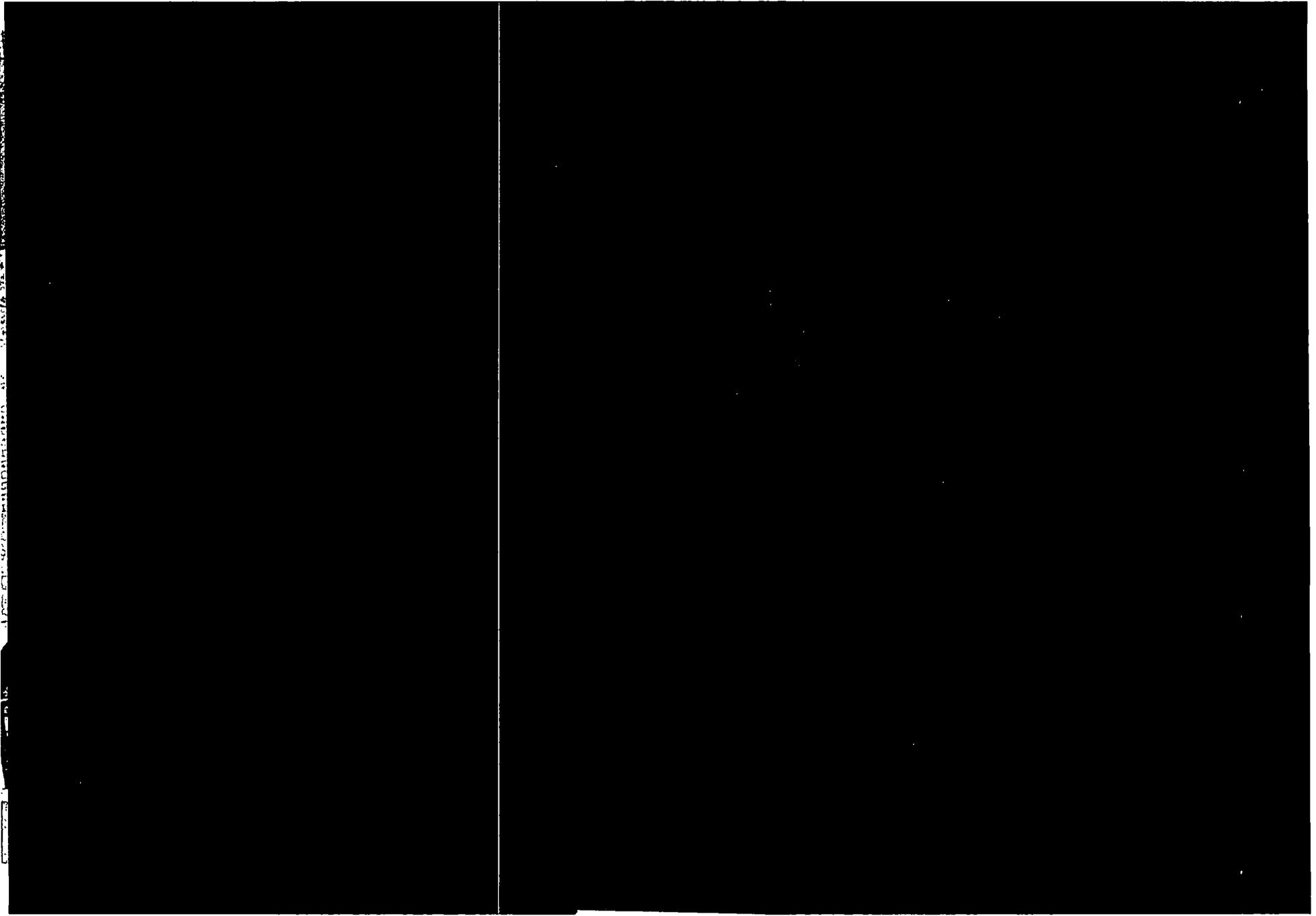
**PURSUANT TO §1322 OF THE GAMING ACT AND/OR BOARD REGULATIONS, SUBMIT A COMPLETE PROPOSED SITE PLAN OF THE PROPOSED LICENSED FACILITY, INCLUSIVE OF TRAFFIC STUDIES AND THE PARKING PLAN, INCLUDING THE NUMBER OF PARKING SPACES, ACCOMPANIED BY ARCHITECTURAL DRAWINGS AND A PROPOSED GAMING FLOOR LAYOUT. THE GAMING FLOOR LAYOUT SHOULD CLEARLY DELINEATE THE SQUARE FOOTAGE OF THE AREA TO BE USED FOR THE PLACEMENT OF SLOT MACHINES AND TABLE GAMES AS WELL AS THE SQUARE FOOTAGE OF THE AREA THAT WILL NOT BE USED FOR THE PLACEMENT OF SLOT MACHINES AND TABLE GAMES. FURTHER, THE GAMING FLOOR LAYOUT SHOULD DELINEATE THE SQUARE FOOTAGE RESERVED FOR ADDITIONAL SLOT MACHINES AND TABLE GAMES PERMITTED PURSUANT TO §1210 AND §13A11 OF THE GAMING ACT. PURSUANT TO §1210, PROVIDE DETAILS OF THE PROPOSED LOCATION OF SLOT MACHINES AND TABLE GAMES AT THE FACILITY AND THE NUMBER OF SLOT MACHINES AND TABLE GAMES REQUESTED. PURSUANT TO §1207 OF THE GAMING ACT, PROPOSED SURVEILLANCE CAMERA LOCATIONS BOTH WITHIN AND OUTSIDE THE PROPOSED LICENSED FACILITY SHOULD ALSO BE CLEARLY DELINEATED ON THE GAMING FLOOR LAYOUT AS WELL AS PROPOSED SECURITY ZONES ON THE GAMING FLOOR AND WITHIN AND OUTSIDE THE LICENSED FACILITY. (NOTE: THE SITE PLAN, GAMING FLOOR LAYOUT AND RELATED SURVEILLANCE AND SECURITY PROPOSALS MUST BE FINALIZED AND APPROVED BY THE BOARD PRIOR TO OPERATION).**

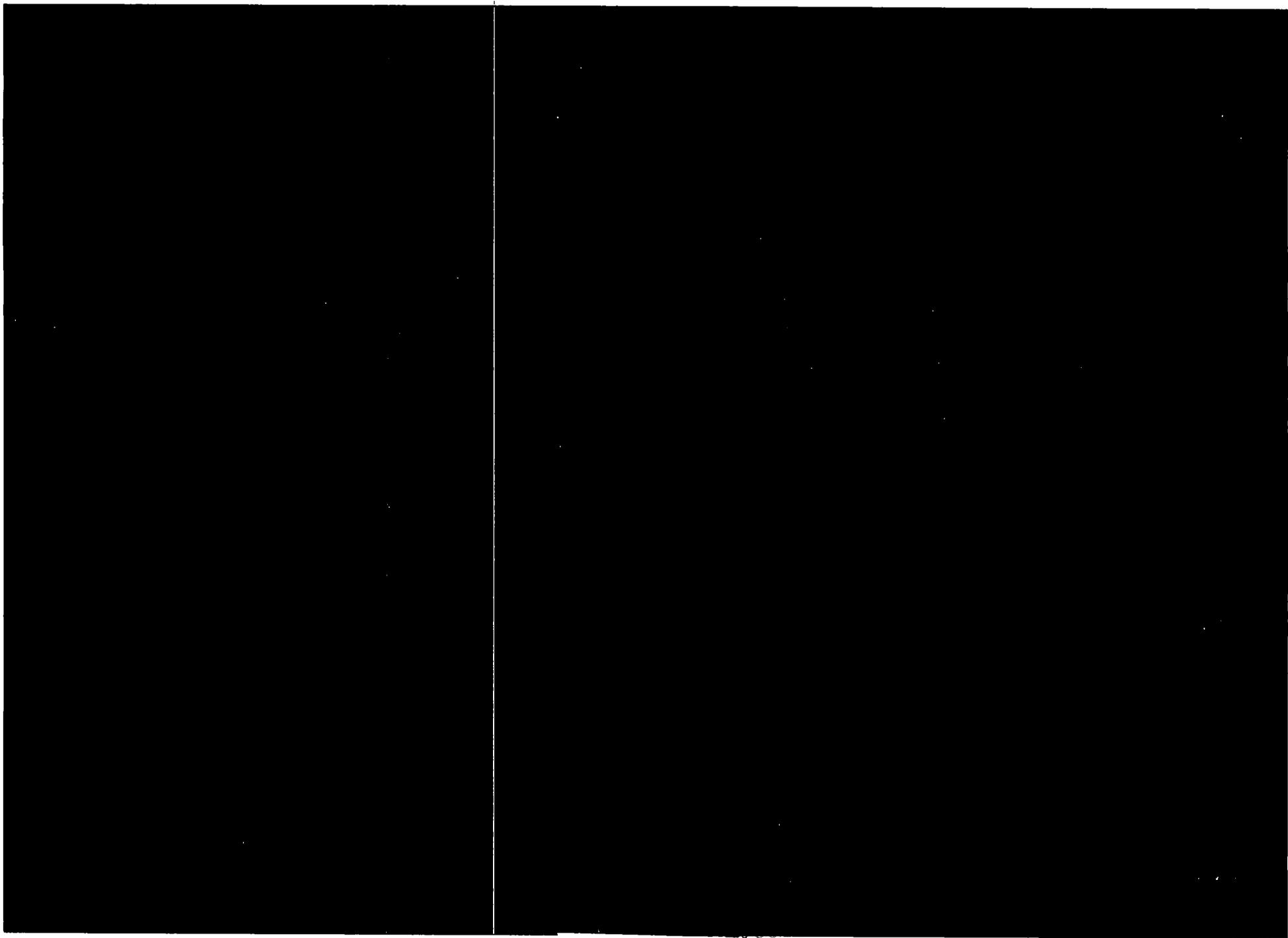
**SEE ATTACHED**

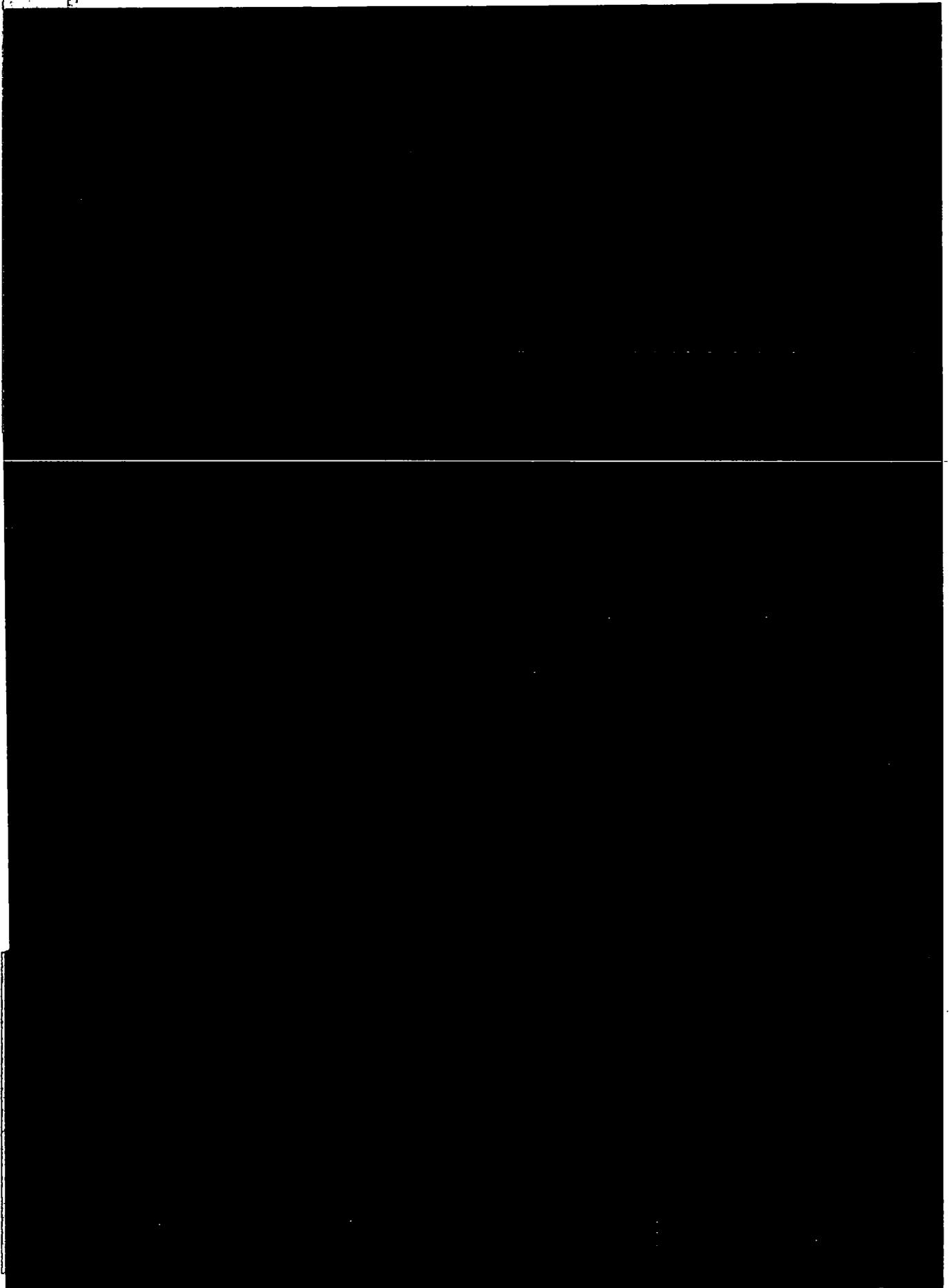


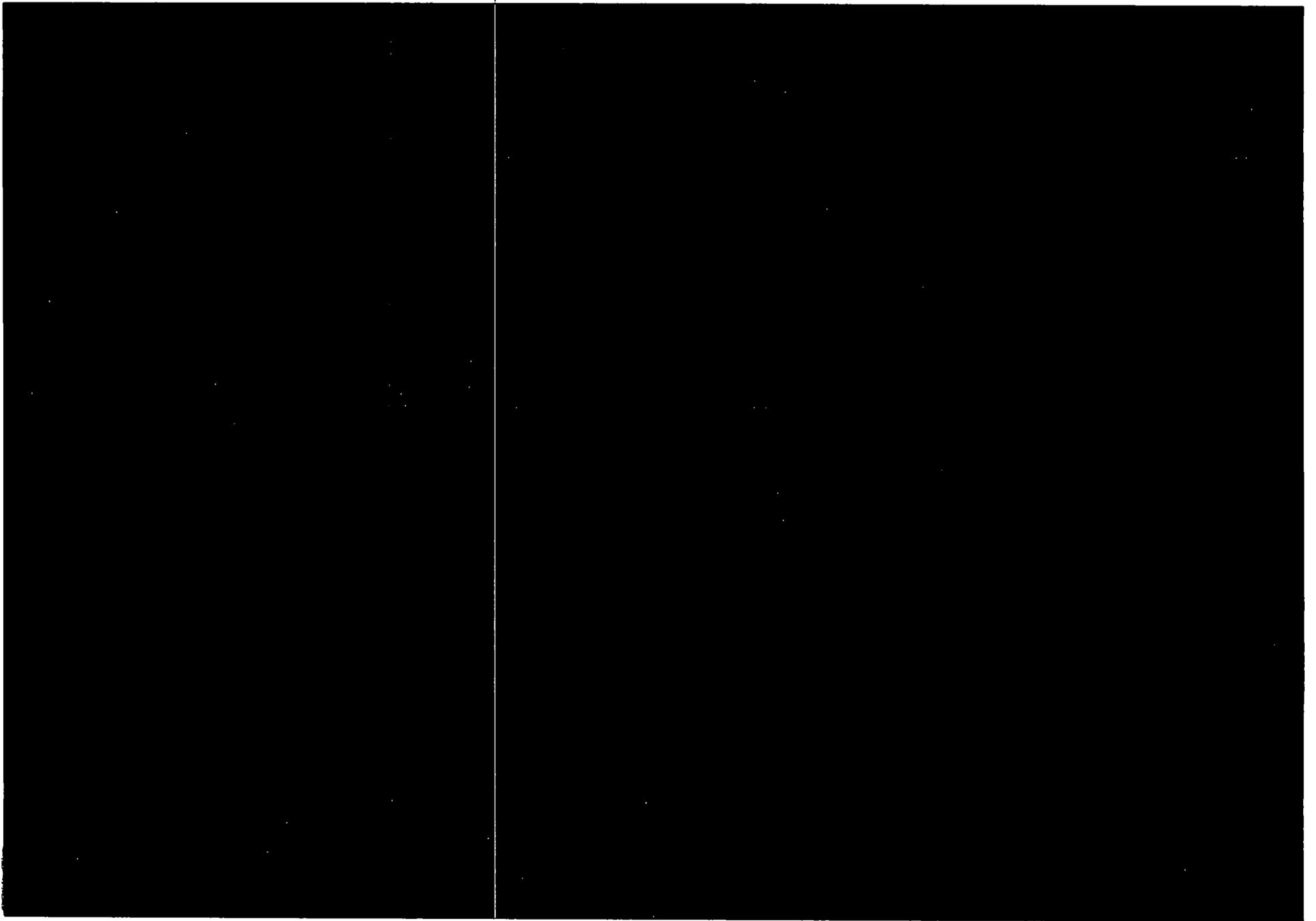












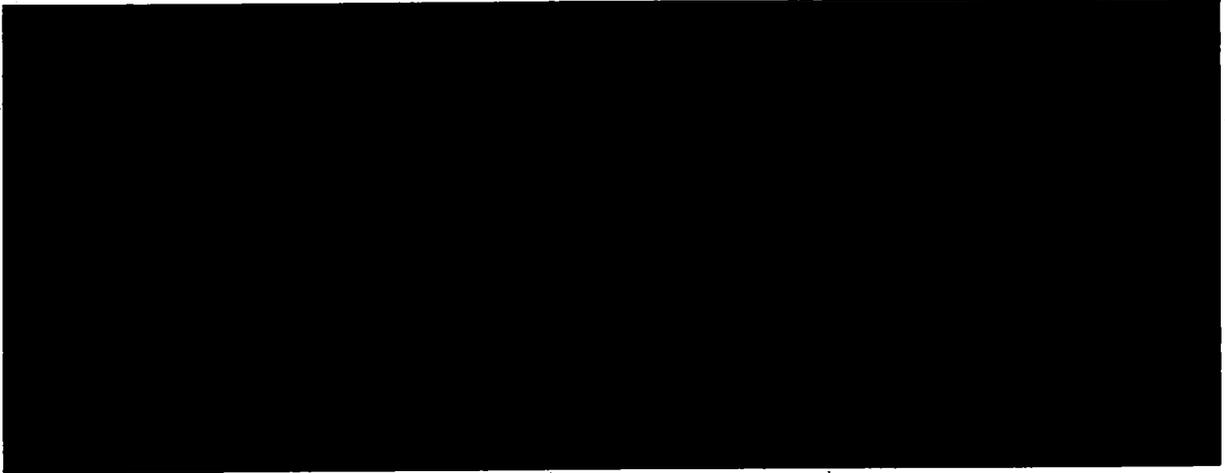
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4b

**APPENDIX 32**

**PROVIDE DETAILS OF PLANNED RETAIL AND FOOD VENUES FOR THE FACILITY  
AND THE IDENTIFICATION OF THE OPERATORS OF EACH RETAIL FOOD VENUE.**



## **APPENDIX 33**

PROVIDE A LOCAL IMPACT REPORT, ENGINEERING REPORTS AND TRAFFIC STUDIES, INCLUDING DETAILS OF ANY ADVERSE IMPACT ON TRANSPORTATION, TRANSIT ACCESS, HOUSING, WATER AND SEWER SYSTEMS, LOCAL POLICE AND EMERGENCY SERVICE CAPABILITIES, EXISTING TOURISM, INCLUDING HISTORICAL AND CULTURAL RESOURCES OR OTHER MUNICIPAL SERVICE OR RESOURCE. A COPY OF THE LOCAL IMPACT REPORT SHALL BE PROVIDED TO EACH POLITICAL SUBDIVISION IN WHICH THE LICENSED FACILITY WILL BE LOCATED AT LEAST SEVEN (7) DAYS PRIOR TO THE FILING OF THE APPLICATION FOR A SLOT MACHINE LICENSE. THE APPLICANT SHALL FILE A PROOF OF SERVICE WITH THE BOARD.

**See attached.**

**ECKERT  
SEAMANS**  
ATTORNEYS AT LAW

Eckert Seamans Cherin & Mellott, LLC  
213 Market Street  
8<sup>th</sup> Floor  
Harrisburg, PA 17101

TEL 717 237 6000  
FAX 717 237 6019  
www.eckertseamans.com

Mark S. Stewart  
717.237.7191  
mstewart@eckertseamans.com

The Honorable George Reese, Chairman  
Greensburg-Hempfield Business Park  
Hempfield Township  
1132 Woodward Drive  
Greensburg, PA 15601-9310

**RE: Stadium Casino Local Impact Report**

Dear Chairman Reese:

We represent Stadium Casino in its efforts to establish a Category 4 Casino at the Westmoreland Mall in Hempfield Township. Pursuant to the regulations of the Pennsylvania Gaming Control Board, Stadium Casino hired Econsult Solutions, Inc. to conduct a local services and economic impact analysis for the proposed Casino, which details impacts on Hempfield Township. Enclosed please find a copy of the Local Impact Report in support of the proposed Category 4 facility. If you have any questions, please do not hesitate to contact me.

Sincerely,



Mark S. Stewart

MSS/KEM

Enclosure

cc: Jason Winters, Township Manager

# MEMORANDUM

**TO:** Mark Stewart, Esq., Eckert Seamans Cherin & Mellott, LLC  
**FROM:** Econsult Solutions, Inc.  
**DATE:** November 14, 2018  
**RE:** Economic and Fiscal Impact in Support of PGCB Application and Disclosure Form

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## Background

Stadium Casino, LLC ("Stadium Casino"), as part of its application for a Category 4 Casino located in the Westmoreland Mall in Hempfield Township ("Hempfield" or "Township"), hired Econsult Solutions, Inc. ("ESI") to conduct a local services and economic impact analysis. The proposed casino will be a Pennsylvania Licensed Mini Casino located at a site formerly occupied by the now-closed Bon-Ton at the mall.

The bankruptcy and closure of Bon-Ton at Westmoreland Mall is part of the larger crisis facing malls nationwide. Many of the country's traditional anchor tenants have either faced bankruptcy (Sears, Bon-Ton) or are closing larger numbers of underperforming stores (JCPenney, Macy's). JCPenney and Macy's occupy two of the other three anchor locations at Westmoreland, putting the mall at further financial risk. The proposed Category 4 Casino is an alternative anchor at the mall and would drive foot-traffic to other stores at Westmoreland.

Our analysis includes a local impact report and an economic and fiscal impact report for the site, detailing its impacts on both Hempfield Township and the Commonwealth of Pennsylvania.

## **Appendix 33**

### **Methodology**

In order to evaluate the potential impact of the proposed casino on Hempfield Township, ESI undertook a three-part analysis. We first consulted with Stadium Casino LLC on the service impacts of other casino locations. This data was scaled to produce estimates for the Hempfield Township site on a per-position basis. We then consulted Jason Winters, the Township Manager of Hempfield Township, to understand the baseline service levels in the Township. Jason spoke with other Township Officials to provide data on current service demand and provisions across Township departments and identified areas where service provisions are provided at the state-level, negating Township-level impacts. Finally, we calculated the percentage increase that the proposed casino would have on applicable Township services. This enables us to estimate the relative cost to the Township against the estimated new tax revenue generated by the proposed casino.

**Township Budget and Current Conditions**

Hempfield Township's final General Fund Budget was \$13.8 million in 2017 (Table 1).

**Table 1. Hempfield Township General Final Budget 2017**

	Appropriated
General Government	\$3,515,856
Fire Bureau	\$1,993,610
Code Enforcement	\$358,760
Planning & Zoning	\$14,400
Dept. of Public Works	\$4,158,104
Parks & Recreation	\$815,452
Emergency Management	\$18,300
Debt Service	\$1,162,039
<b>Total</b>	<b>\$13,781,981</b>

*Source: Township of Hempfield (2018)*

Any major new revenue sources would represent a significant increase in the Township's General Fund.

**Public Works Department:**

**Street Maintenance:**

Due to the nature of the proposed casino location site, we anticipate negligible impacts on street maintenance. Located within an existing regional shopping mall, the proposed casino would be part of the Westmoreland Mall, an already well-trafficked area. In addition, some of the new traffic added from the casino is expected to be offset by the prior closure of Bon-Ton, one of the mall's four major anchor stores.

The Westmoreland Mall is responsible for on-site snow removal and road maintenance. In addition, the main road adjacent to the mall is Route 30, a state road. The impact of increased traffic on Route 30 would be borne by the Commonwealth. The Township identified that there is a Township bridge on Route 30 near the mall, but the Township has identified a maintenance agreement with the mall for that bridge as an opportunity to mitigate impacts of the mall on Township service provisions.

**Water / Sewer:**

Hempfield Township does not anticipate that its water or sewer capacity will be negatively impacted by the proposed casino. The cost of any on-site upgrades required would be borne by the Westmoreland Mall.

**Public Safety:**

As noted, Stadium Casino provided data estimating the average number of public safety calls per month at the proposed casino. This data is based on existing call-levels from other Stadium Casino locations, adjusted on a per-gaming position basis.

**Table 2. Estimated Calls/Month at Hempfield Township Location**

<b>Casino Location</b>	<b># Gaming Positions</b>	<b>Police</b>	<b>Fire</b>	<b>EMS</b>
Estimated Opening	930	6	6	6

*Source: Stadium Casino LLC (2018)*

**Police:**

Hempfield Township is currently governed under the state police force. Hempfield Township has identified the continued use of state police as an area of consideration with the proposed casino. Based on the estimated addition of police calls at the site, we do not anticipate that a change to a locally-controlled police force would be necessitated by the proposed casino.

**Fire:**

Hempfield Township is currently served by the Hempfield Township Bureau of Fire. In 2016, Hempfield Township created the Bureau to support the 12 volunteer fire companies serving the Township. Under the umbrella organization, the Bureau pays the bills of the volunteer companies to alleviate their time spent fundraising. Under this structure, the volunteer departments can focus on training and recruitment. From this initiative, the Bureau received a \$4.5 million federal grant for the retention and recruitment of new firefighters (Jason Winters Interview).

In its first full year of operation, the Bureau recruited 150 new firefighters, double its initial goal. Under the umbrella structure, volunteer stations share resources. From these efforts, Hempfield has mitigated the capacity issues many other volunteer companies throughout the state and country are facing from decline in volunteer firefighters (Jason Winters Interview).

In 2017, the Hempfield Township Bureau of Fire received 6,235 calls, an average of 520 per month. Based on Stadium Casino’s estimated service demand, we estimate that the Bureau would receive an additional 6 fire-related calls per month from the proposed casino. This represents an increase of 1.2 percent in fire calls per month. We do not anticipate that the casino will have a significant impact on the Hempfield Township Bureau of Fire.

**Emergency Medical Services (EMS):**

Hempfield Township is served by three EMS services: Jeanette EMS, Mutual Aid, and Rescue #14 EMS. Rescue #14 serves the western side of the Township and Mutual Aid is a third-party organization. Hempfield Township officials identified that Jeanette EMS is a very solid organization that continues to

grow and is not facing capacity issues common to many EMS service providers. The estimated six additional calls per month are expected to have a negligible impact on EMS services in the Township.

**Other Municipal Services and Resources:**

The Permits/Planning/Zoning office should anticipate a one-time and minor impact due to the administrative work needed for the construction of the casino. This impact is expected to subside once construction of the casino is underway. The ongoing operations of the casino are expected to have a minimal impact on the permits office and should not produce any significant adverse increases in workload in other government departments. Gas, electric, and telecommunications services are provided by non-municipal utility companies. Stadium Casino does not anticipate that these services will have any impact on Hempfield Township.

**Conclusion:**

Stadium Casino will act as an economic engine and community partner. In total, the casino development is expected to have a negligible impact on the township or its provision of services.

**VERIFICATION**

I, Mark S. Stewart, hereby state that a copy of Stadium Casino's Local Impact Report dated November 14, 2018, was sent to the Honorable George Reese, Chairman of the Hempfield Township Board of Supervisors, on November 16, 2018 via first-class mail. I understand that the statements herein are made subject to the penalties of 18 Pa.C.S. § 4904 relating to unsworn falsification to authorities.



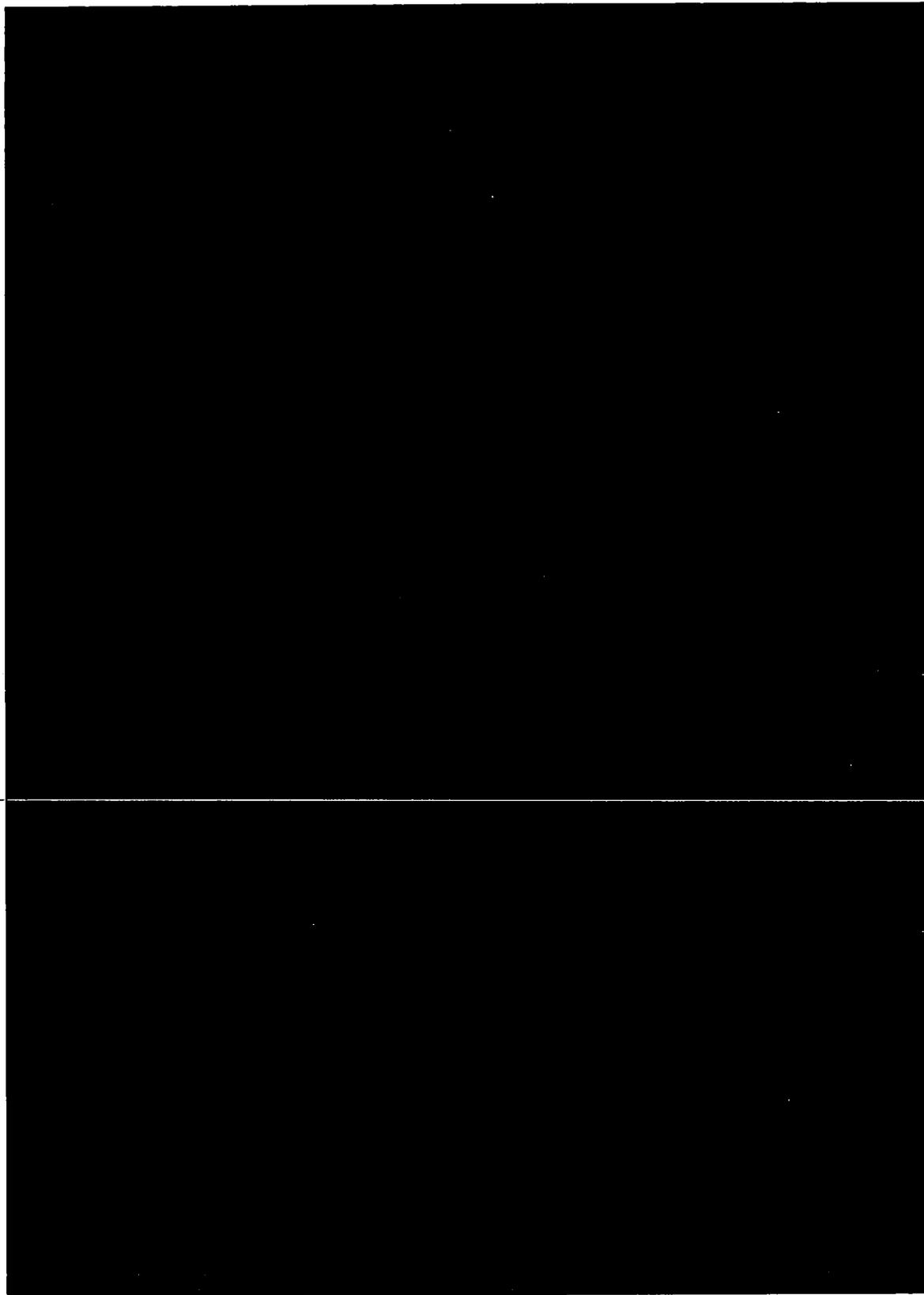
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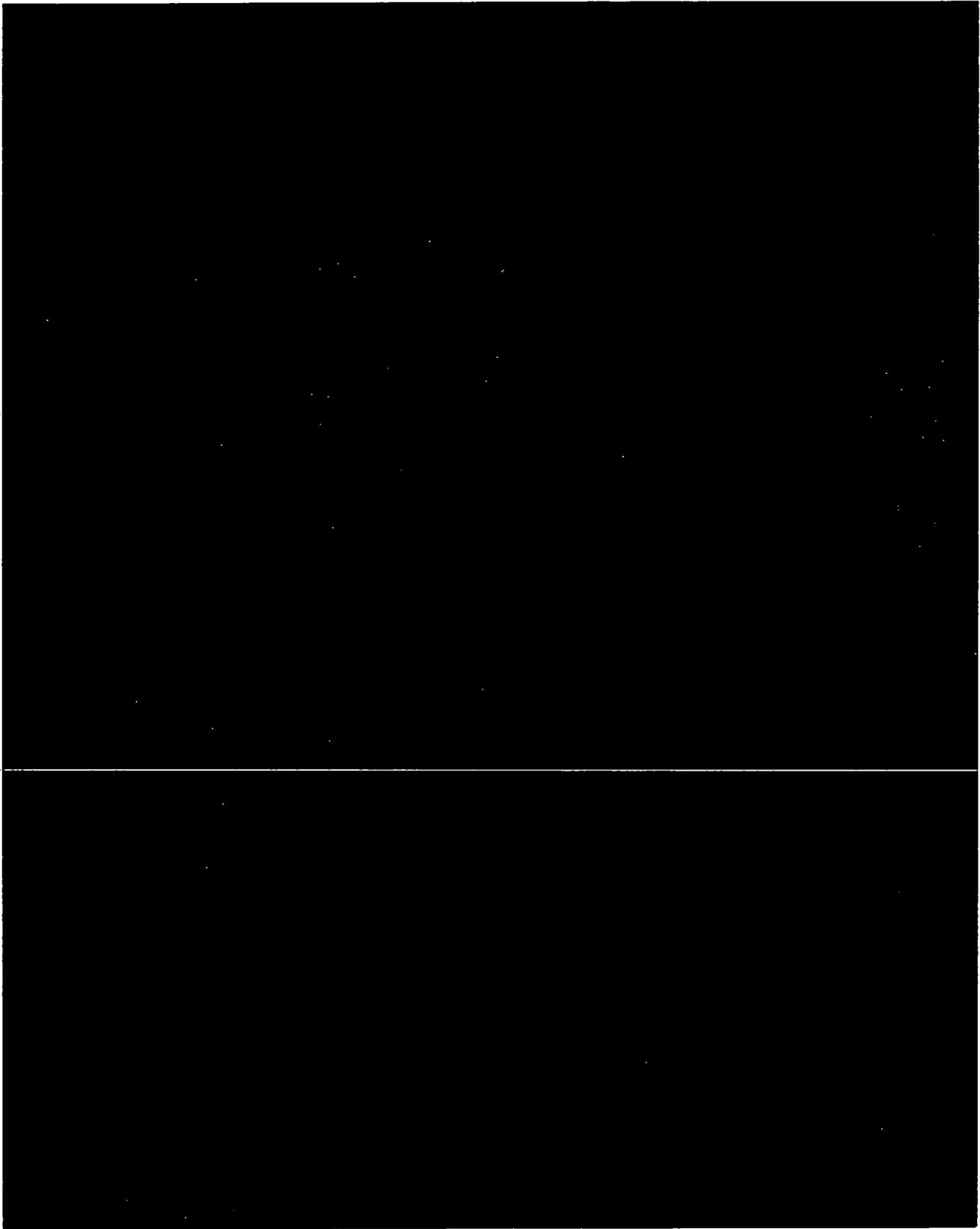
Mark S. Stewart, Esq.  
(I.D. No. 75958)  
ECKERT SEAMANS CHERIN &  
MELLOTT, LLC  
213 Market Street, 8<sup>th</sup> Floor  
Harrisburg, PA 17101  
Telephone: 717.237.6000  
Fax: 717.237.6019

Date: November 16, 2018

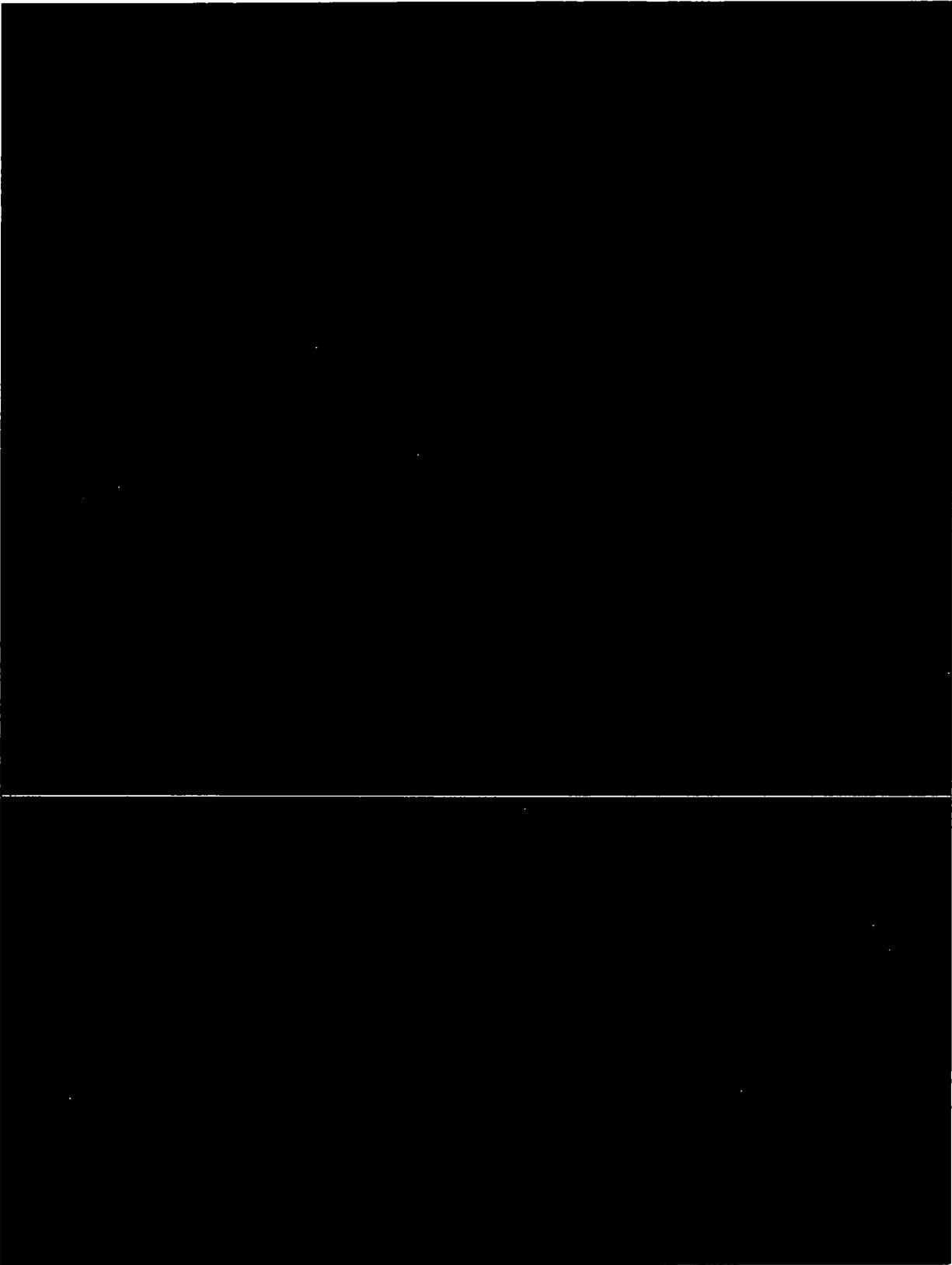
Attorney for Stadium Casino, LLC

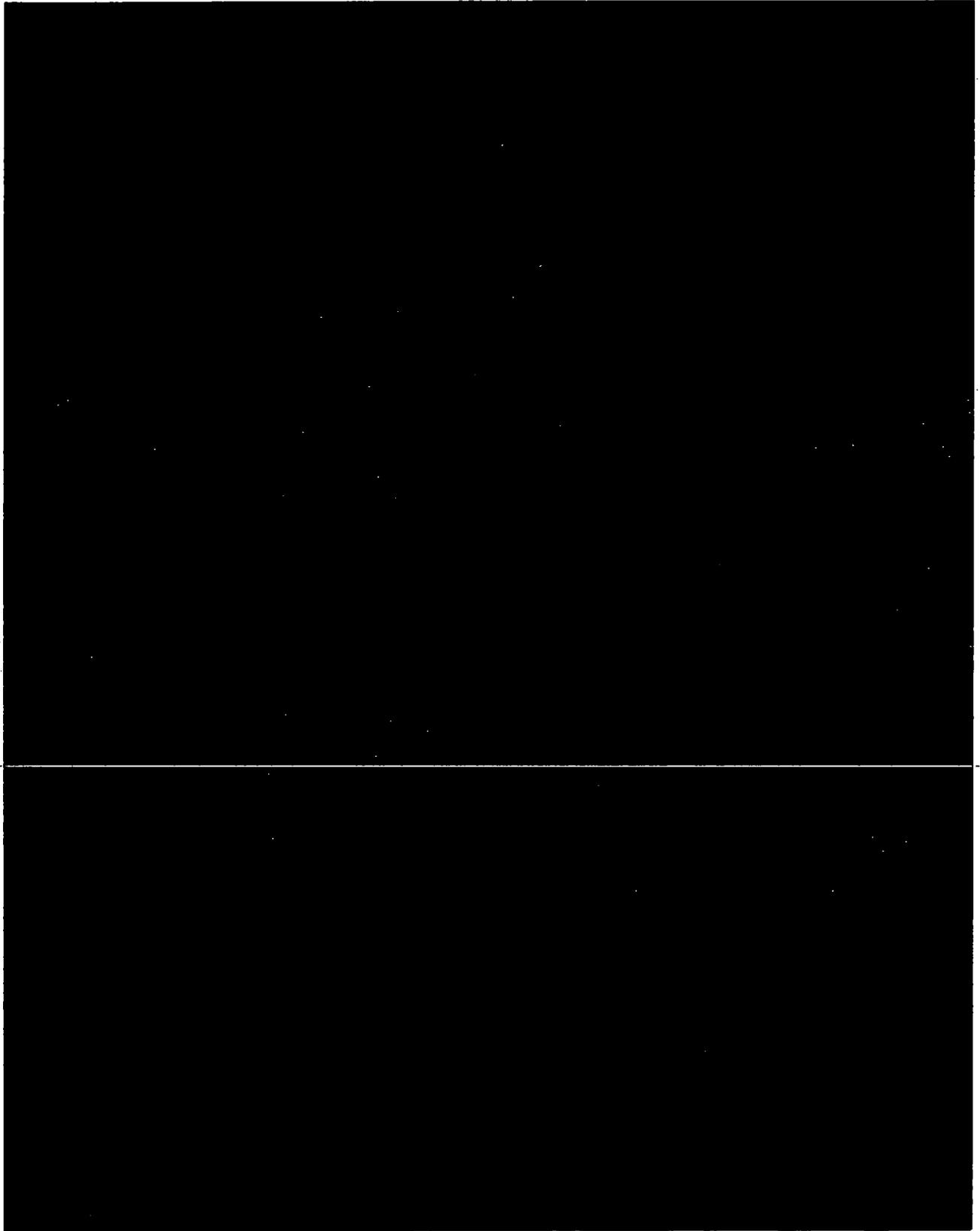


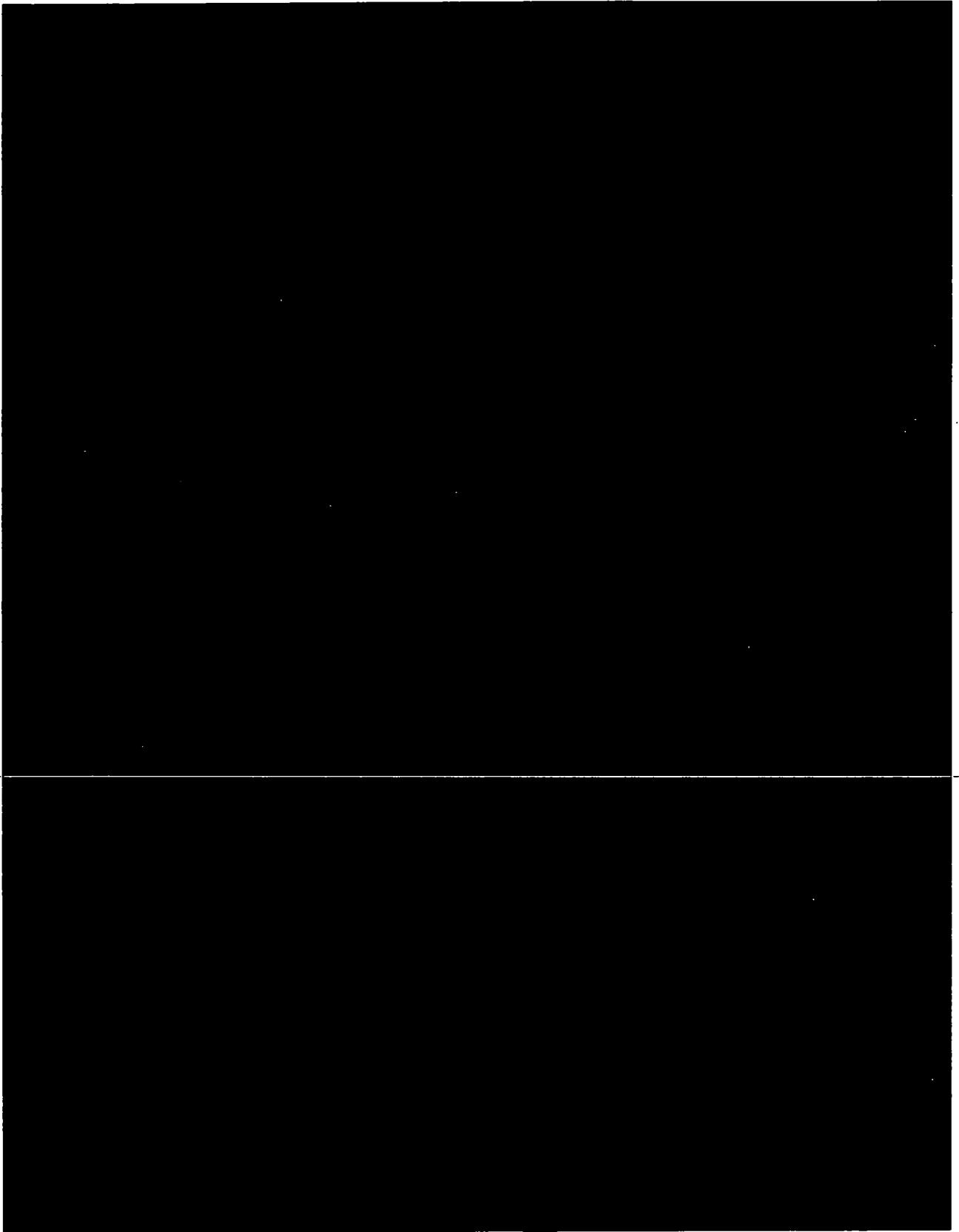


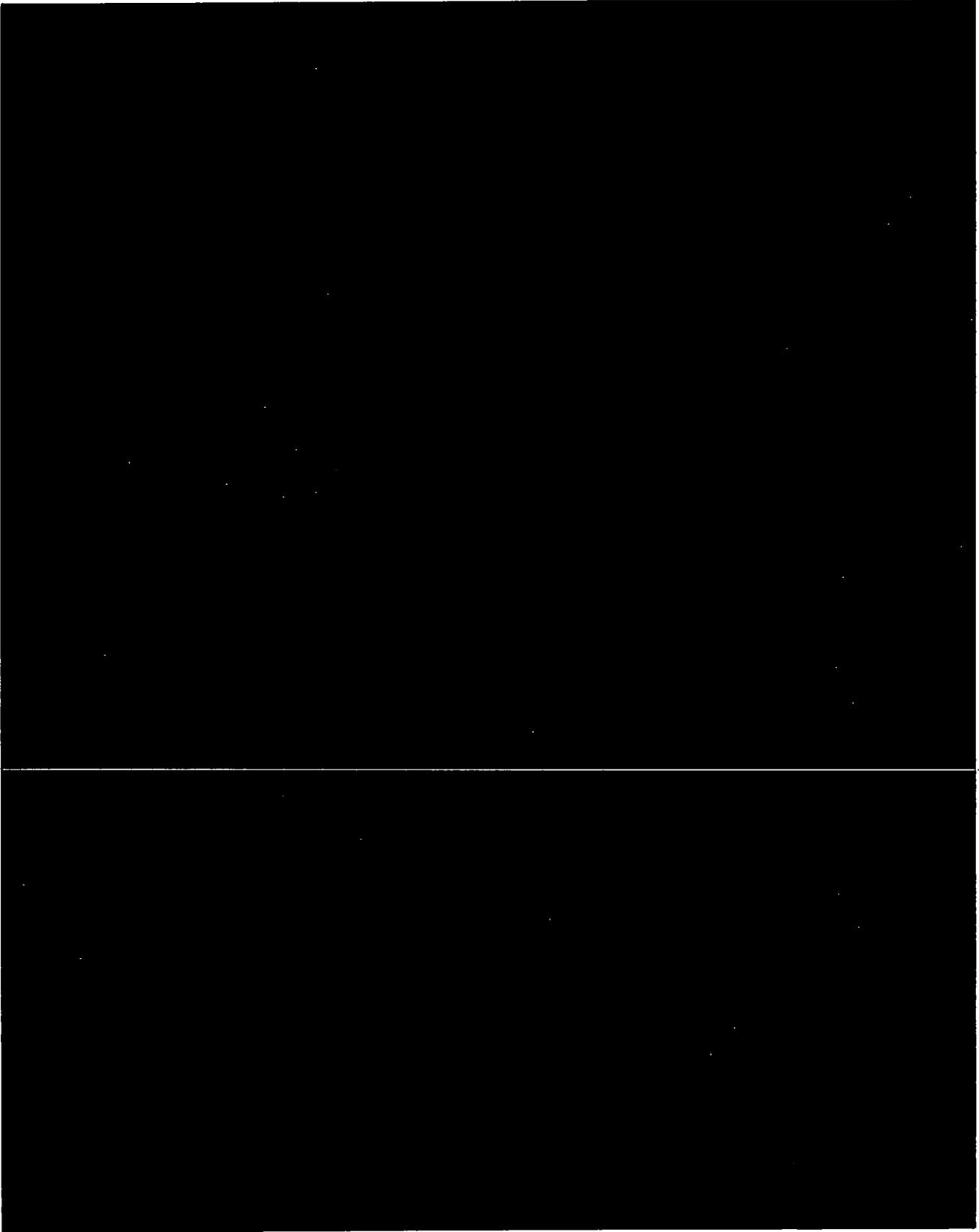


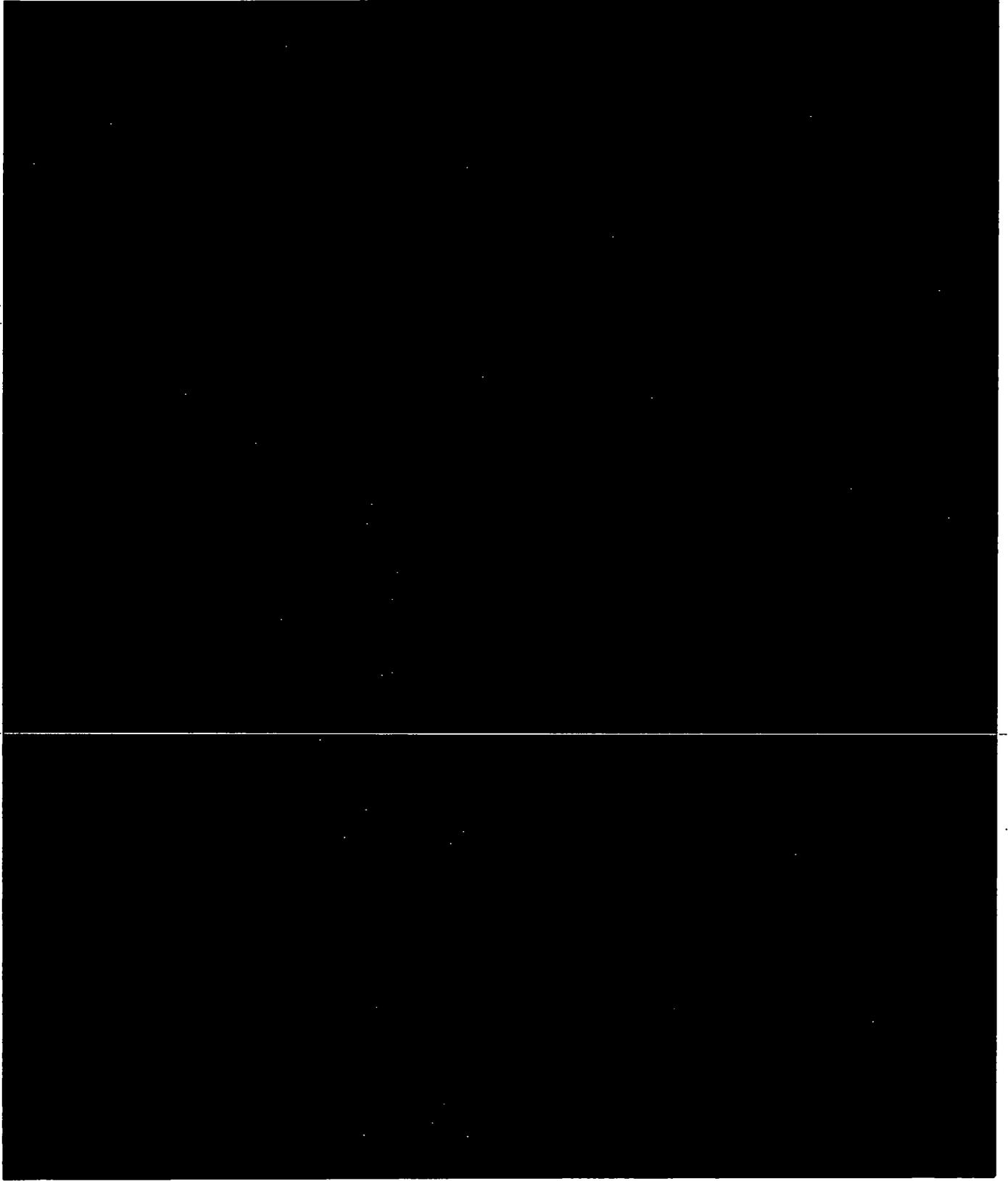


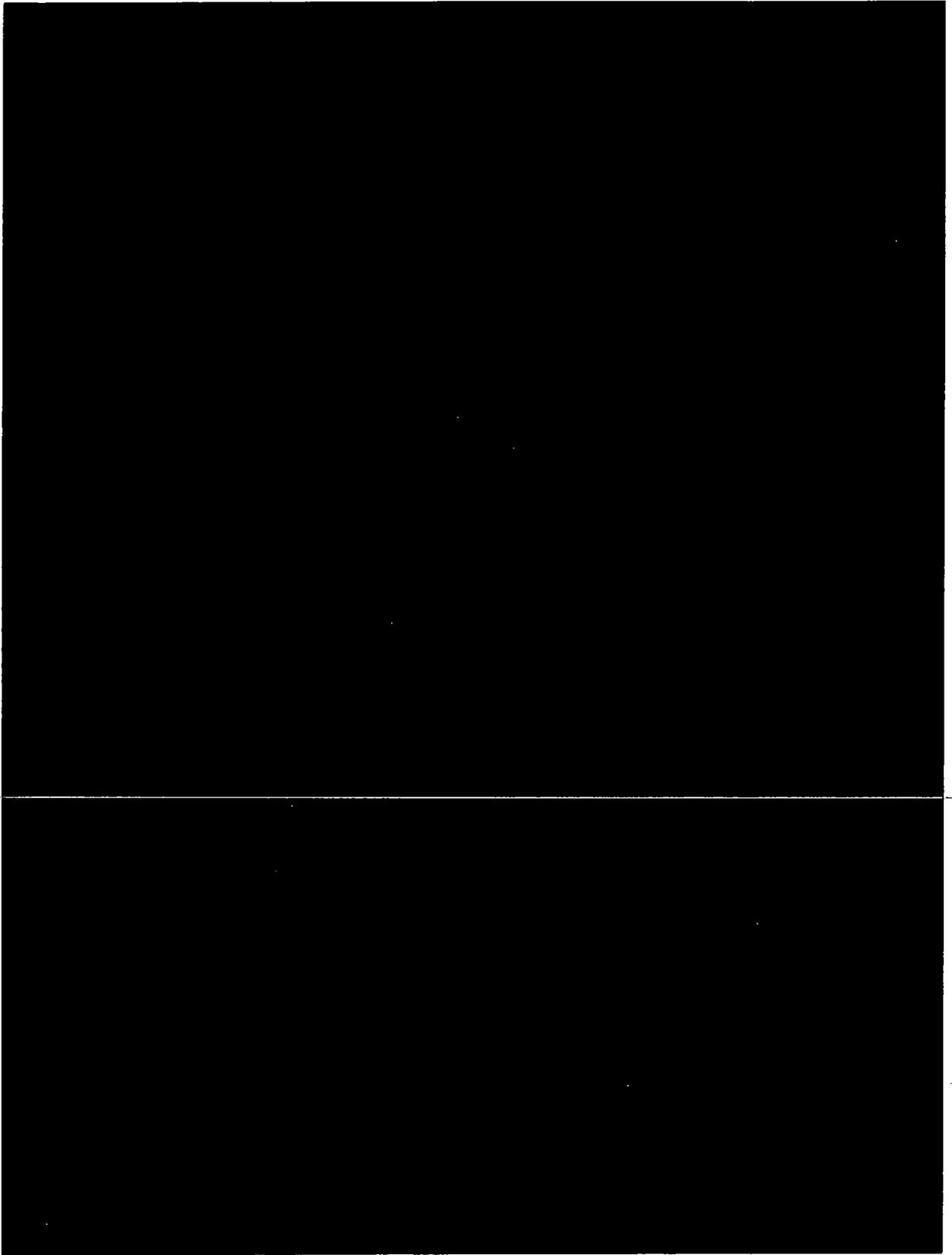




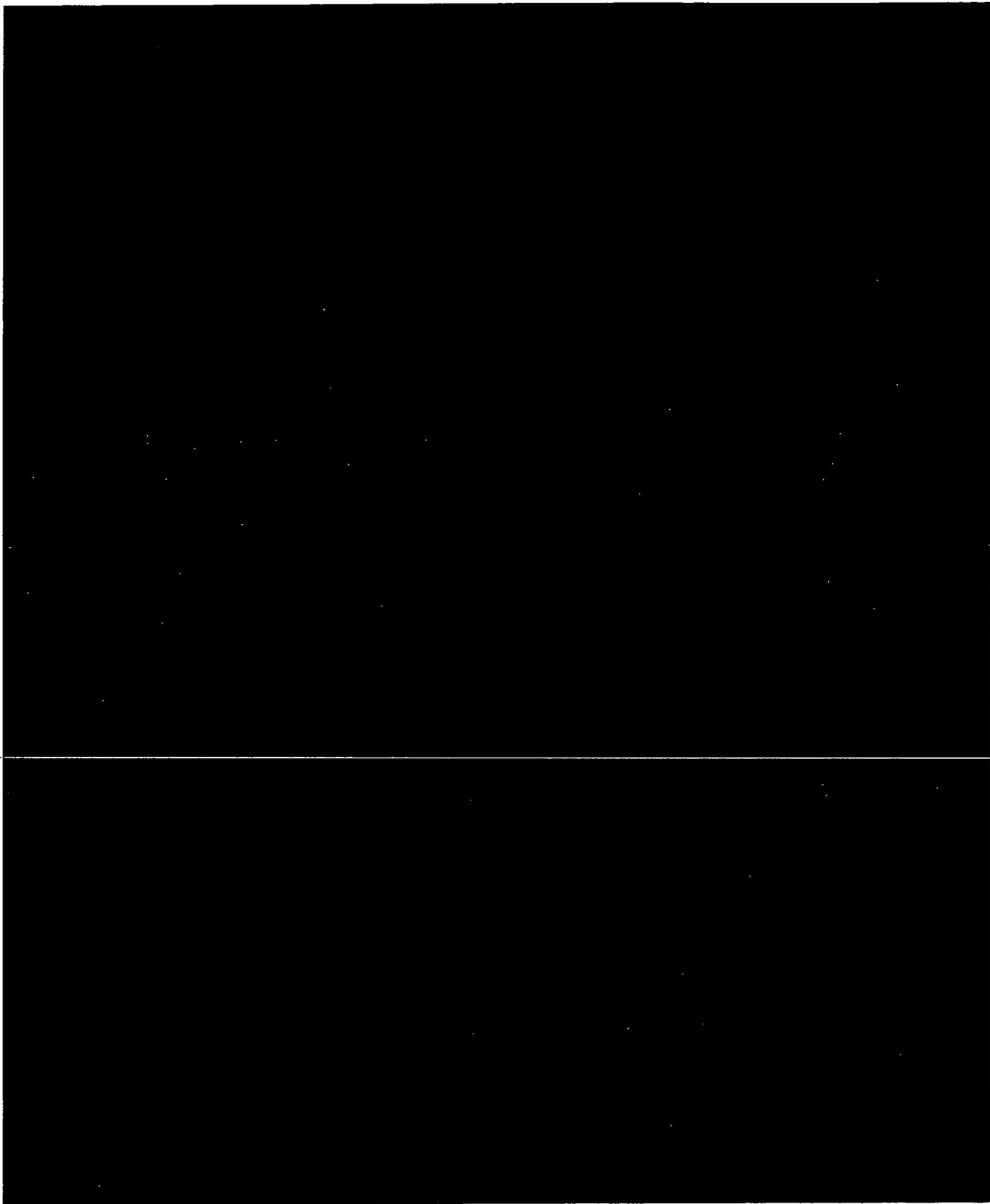


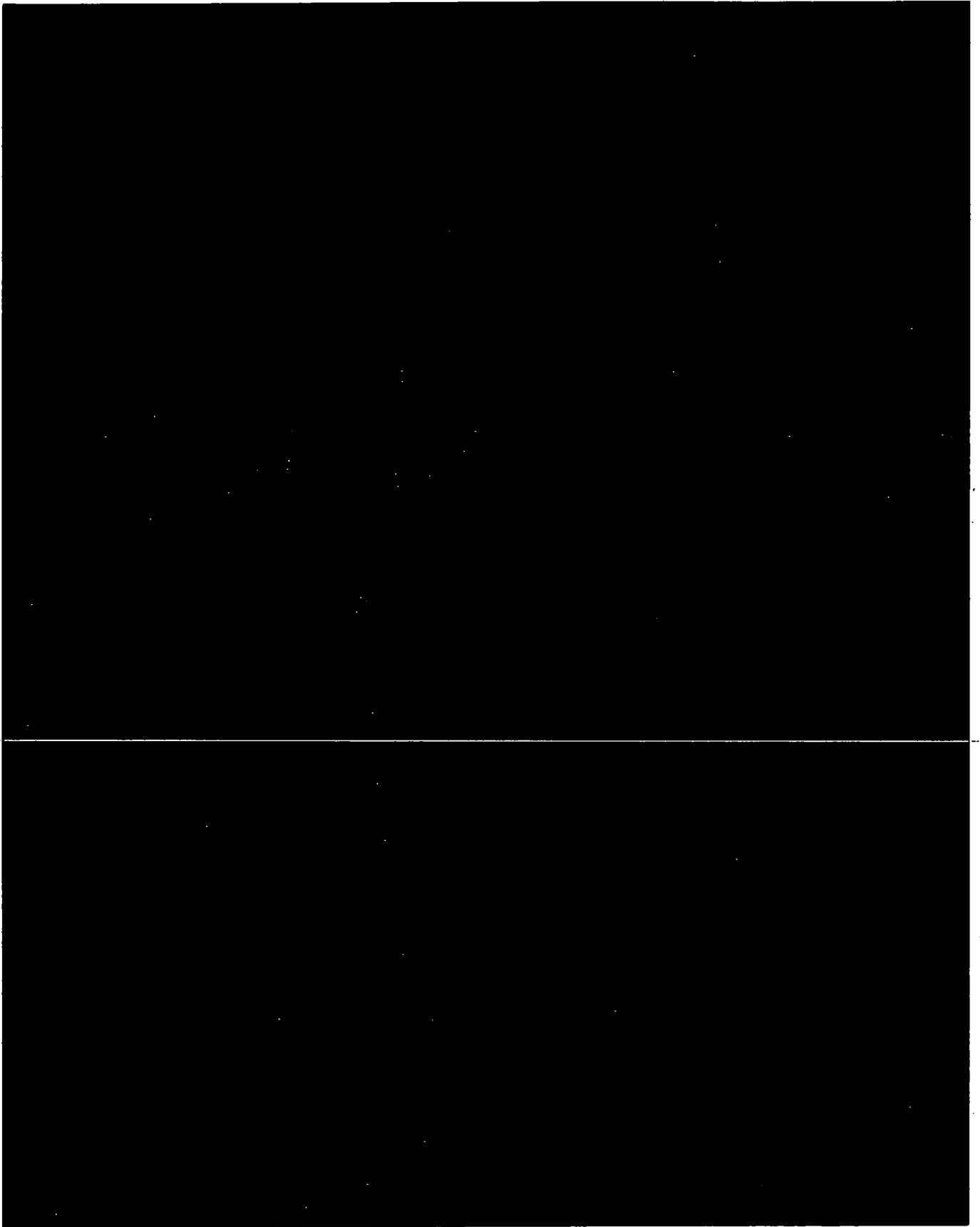


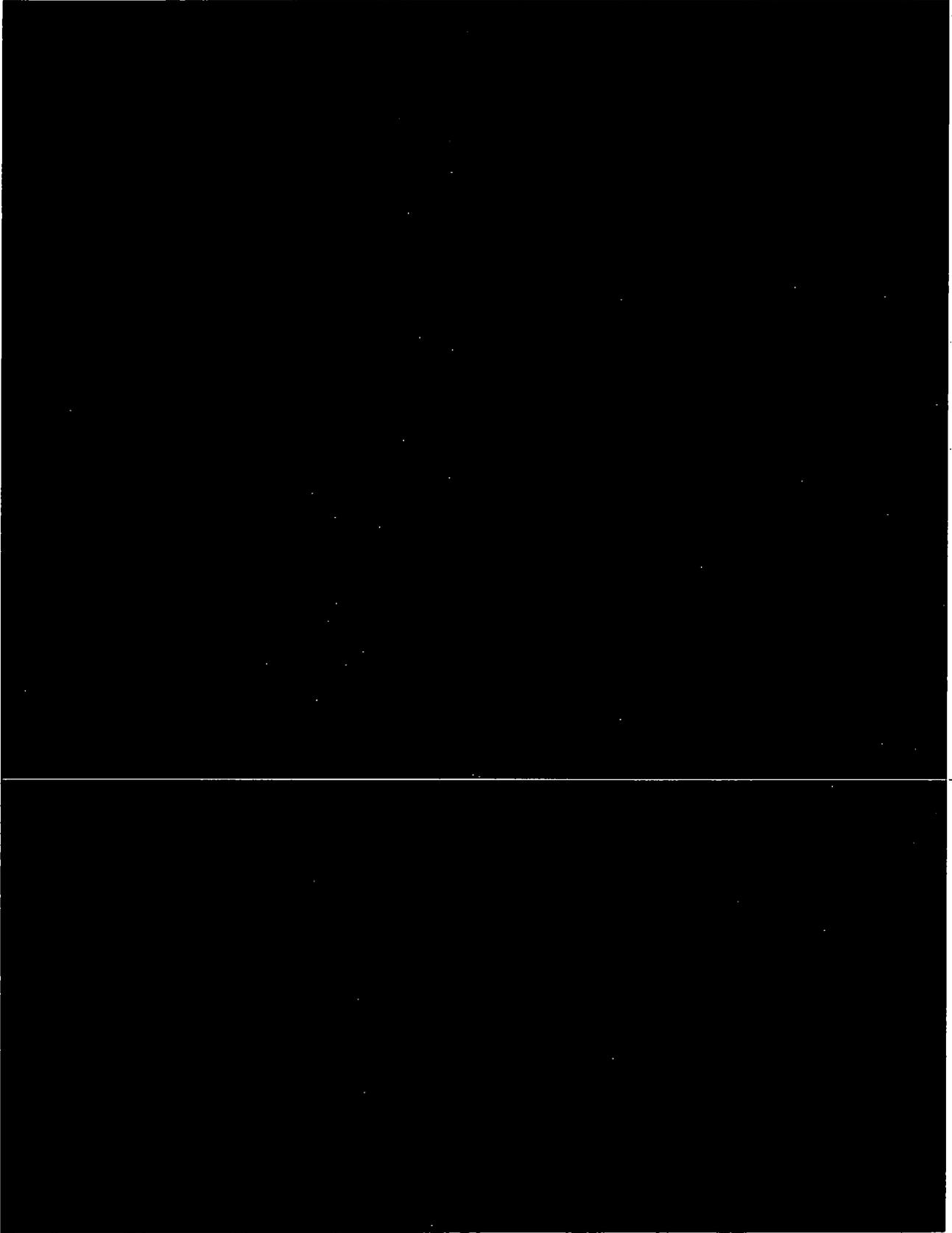


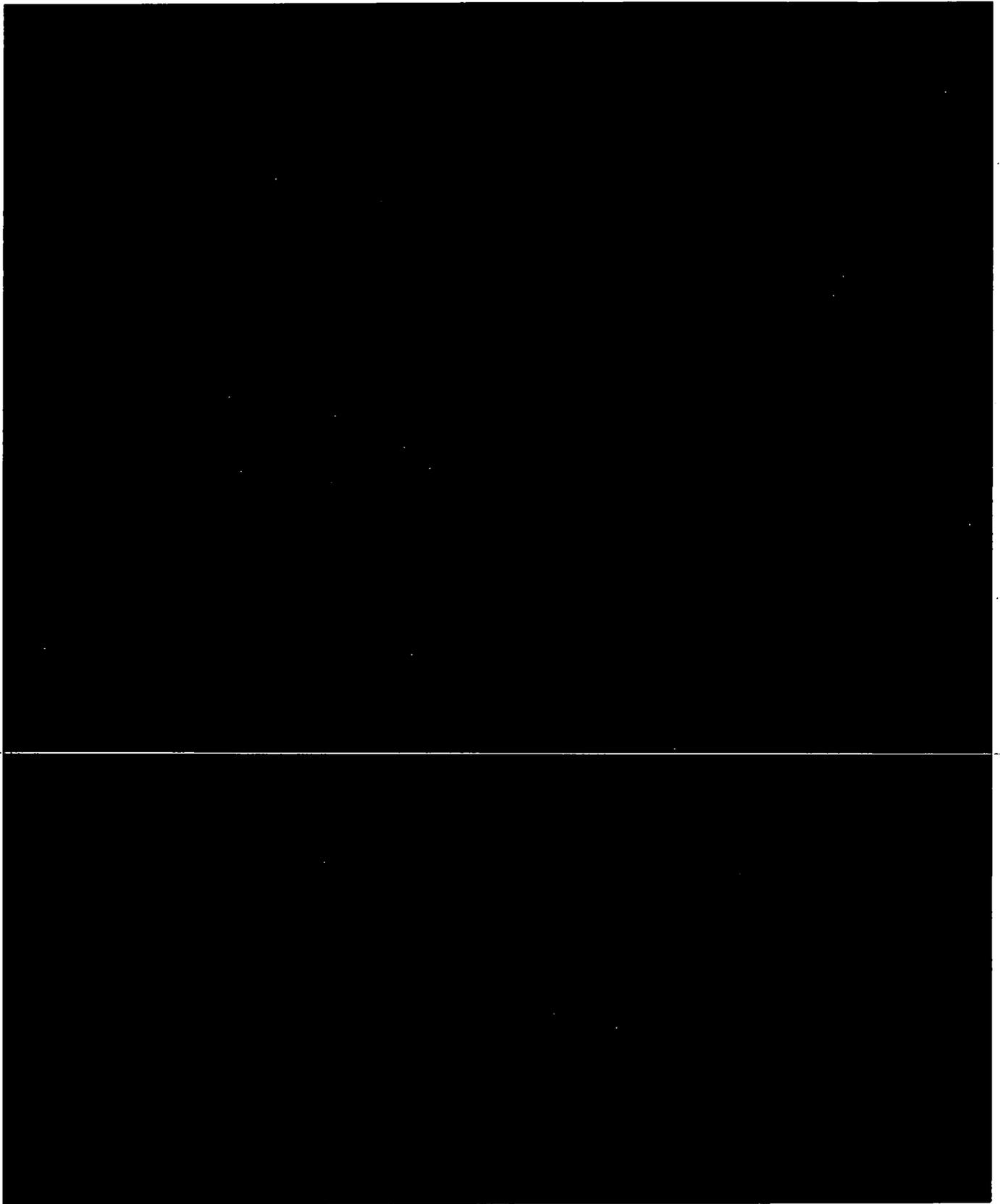


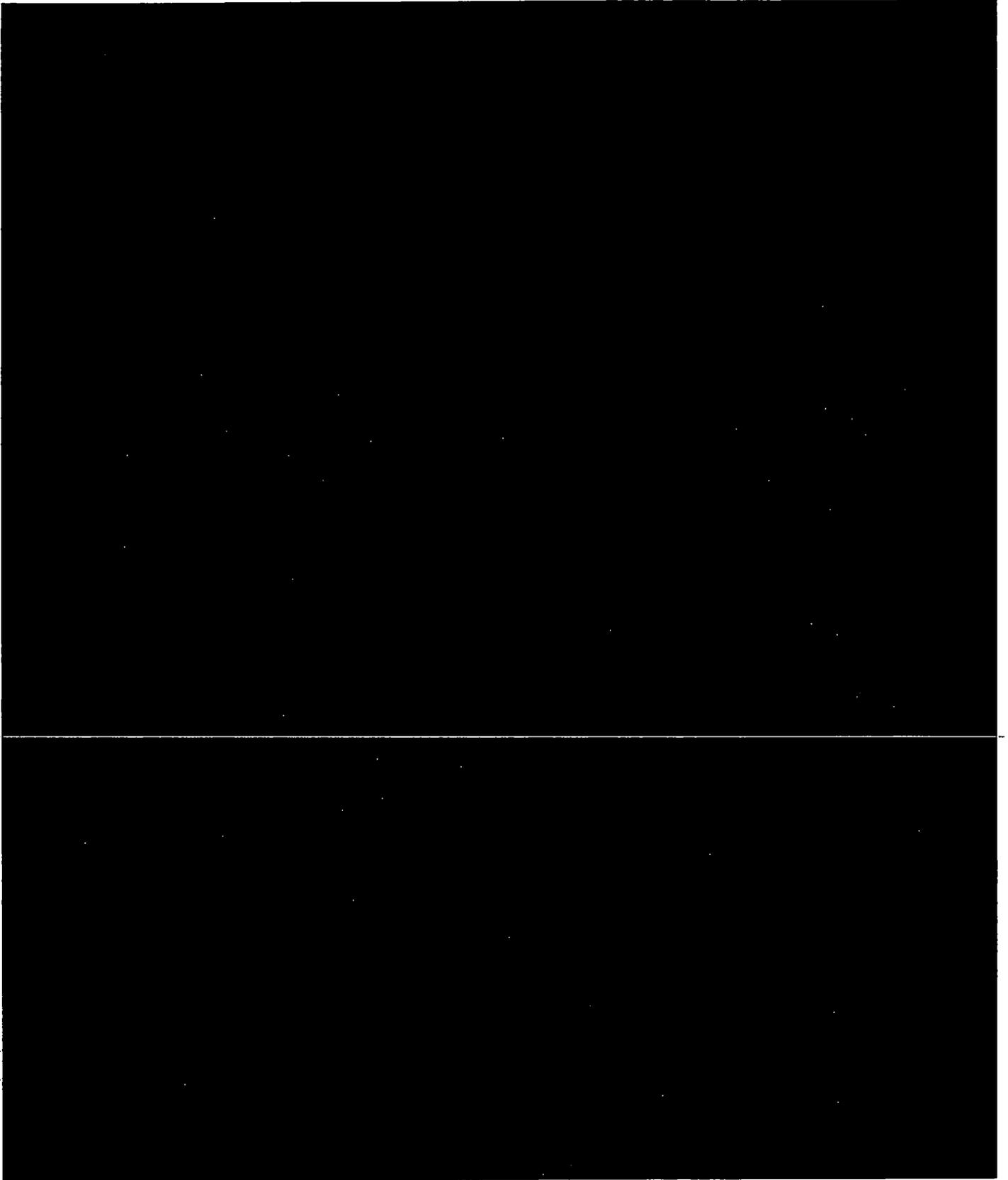




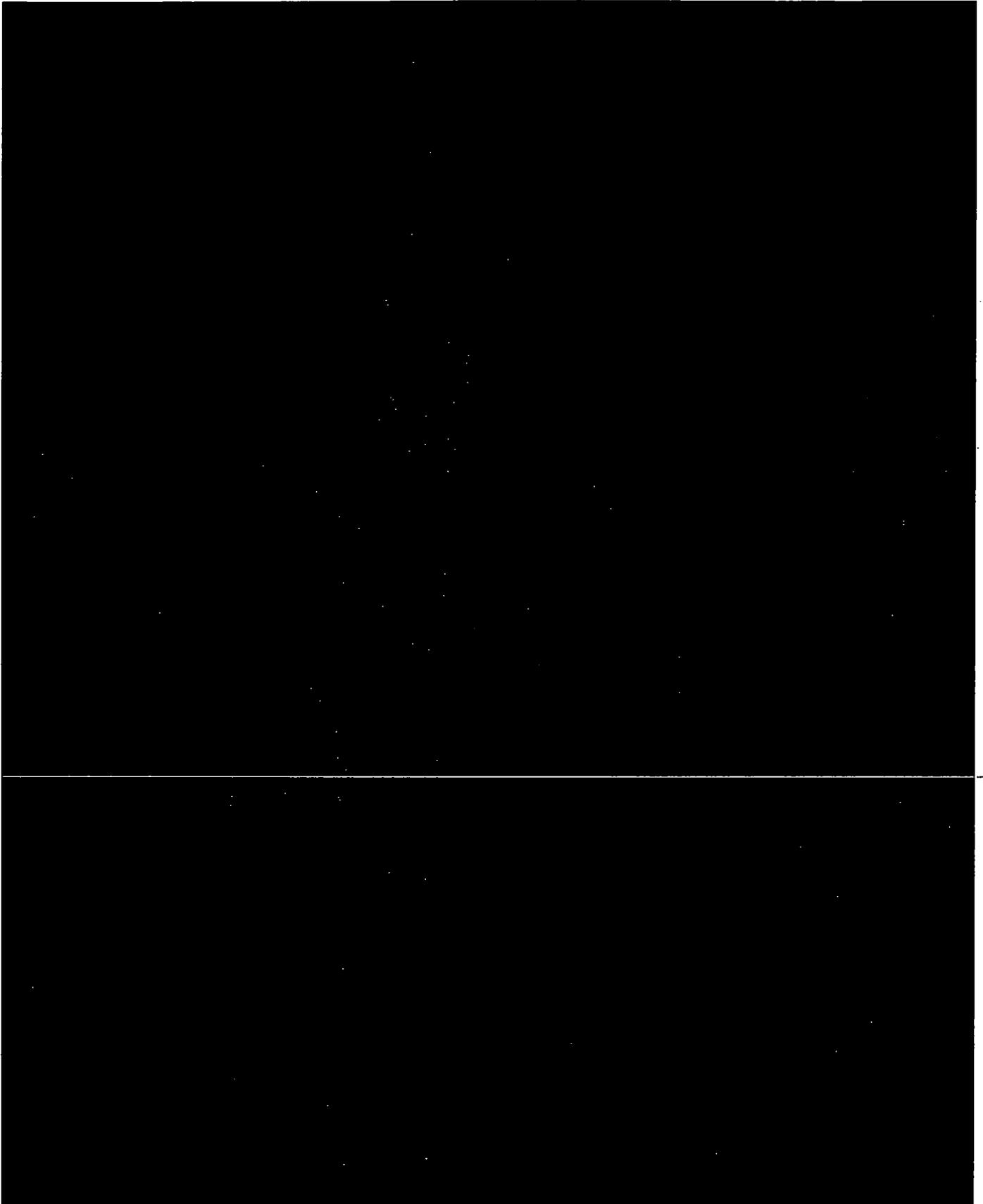


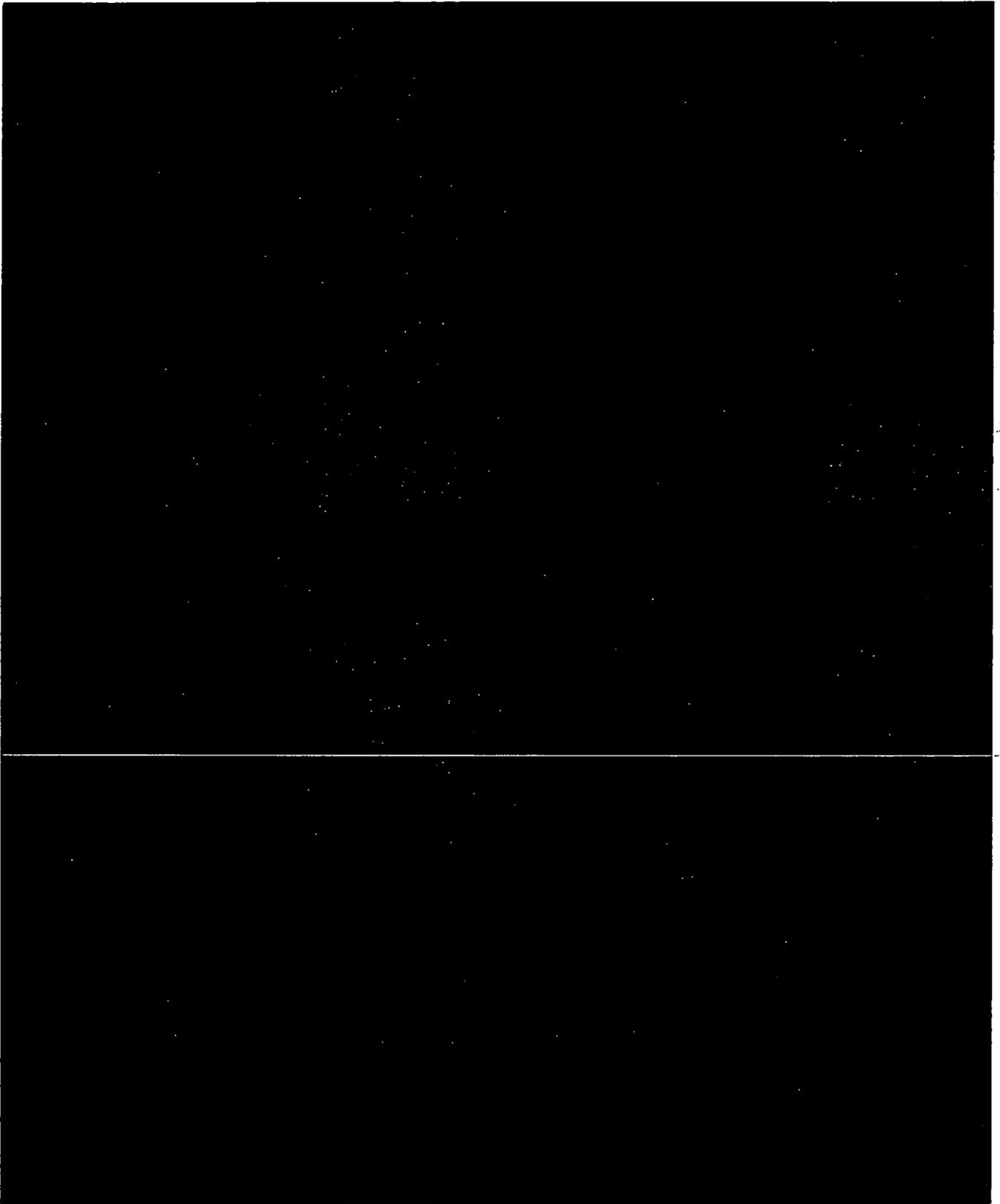






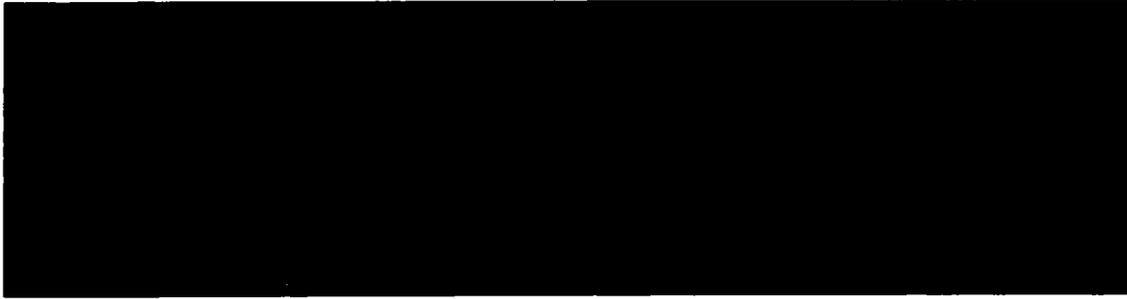






**APPENDIX 35**

**PROVIDE DETAILS OF A COMPULSIVE OR PROBLEM GAMBLING PLAN.**



**APPENDIX 36**

**IF A TEMPORARY FACILITY IS TO BE LICENSED, PROVIDE DETAILS OF THE TEMPORARY FACILITY AS WELL AS A PLAN FOR HOW THE LICENSEE WILL TRANSITION TO A PERMANENT FACILITY, INCLUDING A DATE FOR THE COMPLETION OF THE PERMANENT FACILITY.**

**DOES NOT APPLY**

## APPENDIX 37

AS REQUIRED BY §1325 OF THE GAMING ACT, APPLICANT MUST ADDRESS EACH ITEM LISTED IN THIS SECTION. IF AN ITEM DOES NOT APPLY, THE APPLICANT MUST STATE THAT IN RESPONSE TO EACH ITEM LISTED. PROVIDE A DETAILED PLAN.

- (1) THE LOCATION AND QUALITY OF PROPOSED FACILITY, INCLUDING, BUT NOT LIMITED TO, ROAD AND TRANSIT ACCESS, PARKING AND CENTRALITY TO MARKET SERVICE AREA;

**The proposed site for Stadium Casino's Category 4 facility is at the Westmoreland Mall in Hempfield Township, Westmoreland County, Pennsylvania.**

**This area is ideal for a casino site. The proposed site has well-established access to U.S. Route 30, including an over pass exit/entrance ramp for westbound traffic (no traffic light necessary) and 3 separate entrance/exits for east bound route 30 travelers. Additionally, the site is conveniently located 2.5 miles from U.S. Route 119, 10 miles from Pennsylvania Route 66, and 11 miles from Interstates 76/70. Westmoreland Mall is also located 3 miles from Greensburg Amtrak Train Station & Grey Hound Bus Stop. As part of a major, regional retail center, the area includes ample parking. The site offers easy accessibility for residents, workers and visitors.**

- (2) THE POTENTIAL FOR NEW JOB CREATION AND ECONOMIC DEVELOPMENT WHICH WILL RESULT FROM GRANTING A LICENSE TO THE APPLICANT;

**Stadium Casino anticipates creating over [REDACTED] jobs in association with the operation of the facility. The actual project will generate [REDACTED] full time equivalent (FTEs) or 618 full time and part time jobs at the site. The average annual wages per FTE will be approximately [REDACTED] and combined with benefits will be over [REDACTED]. Prior to opening, Stadium Casino expects to generate approximately [REDACTED] direct jobs in relation to the development and construction of the facility. The Local Share Assessment is projected to grow from [REDACTED] in year 1 to [REDACTED] million by year 5.**

Stadium Casino will have a positive impact on job creation and the economy through the purchase of various goods and services that will be needed during the construction and operation of the casino. This economic activity is projected to generate some [REDACTED] investment in the economy during the construction phase and an ongoing [REDACTED] per year from operation of the casino. Stadium Casino expects that approximately [REDACTED] visitors will be drawn to its facility annually. Those visitors will bolster the regional economy with dollars spent on lodging, dining, transportation, retail and other goods and services.

(3) THE APPLICANT'S GOOD FAITH PLAN TO RECRUIT, TRAIN AND UPGRADE DIVERSITY IN ALL EMPLOYMENT CLASSIFICATIONS IN THE FACILITY;

(4) THE APPLICANT'S GOOD FAITH PLAN FOR ENHANCING THE REPRESENTATION OF DIVERSE GROUPS IN THE OPERATION OF ITS FACILITY THROUGH THE OWNERSHIP AND OPERATION OF BUSINESS ENTERPRISES ASSOCIATED WITH OR UTILIZED BY ITS FACILITY OR THROUGH THE PROVISION OF GOODS OR SERVICES UTILIZED BY ITS FACILITY AND THROUGH THE PARTICIPATION IN THE OWNERSHIP OF THE APPLICANT. PROVIDE SPECIFIC INFORMATION REGARDING THE DIVERSITY IN OWNERSHIP OF THE APPLICANT, I.E. MINORITIES, WOMEN;

(5) THE APPLICANT'S GOOD FAITH EFFORT TO ASSURE THAT ALL PERSONS ARE ACCORDED EQUALITY OF OPPORTUNITY IN EMPLOYMENT AND CONTRACTING BY IT AND ANY CONTRACTORS, SUBCONTRACTORS, ASSIGNEES, LESSEES, AGENTS, GAMING SERVICE PROVIDERS AND SUPPLIERS IT MAY EMPLOY DIRECTLY OR INDIRECTLY;

**See Stadium Casino LLC's November 15, 2012 Diversity and Inclusion Plan submitted with its Initial Category 2 Slot Machine License Application.**

(6) THE HISTORY AND SUCCESS OF THE APPLICANT IN DEVELOPING TOURISM FACILITIES ANCILLARY TO GAMING DEVELOPMENT, IF APPLICABLE TO THE APPLICANT;

**See Stadium Casino LLC's November 15, 2012 Category 2 Slot Machine License Application.**

(7) THE DEGREE TO WHICH APPLICANT PRESENTS A PLAN FOR THE PROJECT WHICH WILL LIKELY LEAD TO CREATION OF QUALITY, LIVING-WAGE JOBS AND FULL-TIME PERMANENT JOBS FOR RESIDENTS OF THE COMMONWEALTH GENERALLY AND FOR RESIDENTS OF THE HOST POLITICAL SUBDIVISION IN PARTICULAR;

Stadium Casino projects that its proposed facility will create over [REDACTED] jobs, of which [REDACTED] will be full-time equivalent positions with competitive compensation and excellent benefits. Stadium Casino anticipates that nearly all employees will be residents of Pennsylvania, with the vast majority drawn from the host and contiguous counties. During the renovations to the facility, almost [REDACTED] full-time construction related jobs are expected to be filled. The proposed facility, through construction and operations, is projected to generate almost [REDACTED] indirect and induced jobs.

(8) THE RECORD OF THE APPLICANT AND ITS DEVELOPER IN MEETING COMMITMENTS TO LOCAL AGENCIES, COMMUNITY-BASED ORGANIZATIONS AND EMPLOYEES IN OTHER LOCATIONS;

**See Stadium Casino LLC's November 15, 2012 Category 2 Slot Machine License Application and Renewal Application of September 18, 2017.**

(9) THE DEGREE TO WHICH POTENTIAL ADVERSE EFFECTS WHICH MIGHT RESULT FROM THE PROJECT, INCLUDING COSTS OF MEETING THE INCREASED DEMAND FOR PUBLIC HEALTH CARE, CHILD CARE, PUBLIC TRANSPORTATION, AFFORDABLE HOUSING AND SOCIAL SERVICES, WILL BE MITIGATED;

**Stadium Casino is committed to working collectively with township and county governments, social service agencies and charities to address and combat any adverse social effects which may stem from the development of a the proposed gaming facility. The Applicant is confident that, overall, the proposed licensed facility will have a tremendous net positive impact on the local community. Nonetheless, as its proposed problem gambling program and the history of community involvement of its principals shows, Stadium Casino understands and takes very seriously the potential adverse effects associated with any major new development of this size, as well as those that are unique to gaming projects. Stadium Casino is committed to being a leader and a partner in developing comprehensive solutions to these and other issues.**

(10) THE RECORD OF APPLICANT AND ITS DEVELOPER REGARDING COMPLIANCE WITH

(i) FEDERAL, STATE AND LOCAL DISCRIMINATION, WAGE AND HOUR, DISABILITY AND OCCUPATIONAL AND ENVIRONMENTAL HEALTH AND SAFETY LAWS;

(ii) STATE AND LOCAL LABOR RELATIONS AND EMPLOYMENT LAWS;

(iii) THE APPLICANT'S RECORD IN DEALING WITH ITS EMPLOYEES AND THEIR REPRESENTATIVES AT OTHER LOCATIONS.

**See Stadium Casino LLC's November 15, 2012 Category 2 Slot Machine License Application and Renewal Application of September 18, 2017.**

# MEMORANDUM

**TO:** Mark Stewart, Esq., Eckert Seamans Cherin & Mellott, LLC  
**FROM:** Econsult Solutions, Inc.  
**DATE:** November 14, 2018  
**RE:** Economic and Fiscal Impact in Support of PGCB Application and Disclosure Form

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## **Background**

Stadium Casino, LLC ("Stadium Casino"), as part of its application for a Category 4 Casino located in the Westmoreland Mall in Hempfield Township ("Hempfield" or "Township"), hired Econsult Solutions, Inc. ("ESI") to conduct a local services and economic impact analysis. The proposed casino will be a Pennsylvania Licensed Mini Casino located at a site formerly occupied by the now-closed Bon-Ton at the mall.

The bankruptcy and closure of Bon-Ton at Westmoreland Mall is part of the larger crisis facing malls nationwide. Many of the country's traditional anchor tenants have either faced bankruptcy (Sears, Bon-Ton) or are closing larger numbers of underperforming stores (JCPenney, Macy's). JCPenney and Macy's occupy two of the other three anchor locations at Westmoreland, putting the mall at further financial risk. The proposed Category 4 Casino is an alternative anchor at the mall and would drive foot-traffic to other stores at Westmoreland.

Our analysis includes a local impact report and an economic and fiscal impact report for the site, detailing its impacts on both Hempfield Township and the Commonwealth of Pennsylvania.

## **Appendix 37: Sections 7 and 9**

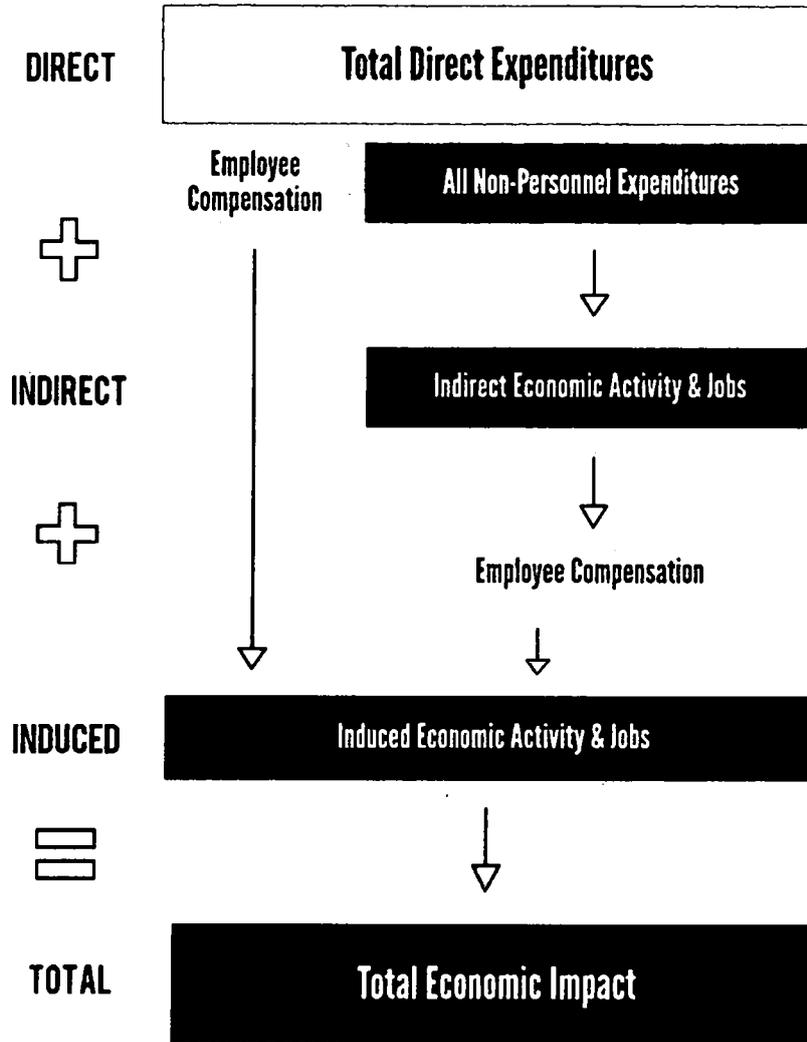
### **Methodology**

Economic impact estimates are generated by utilizing input-output models to translate an initial amount of direct economic activity into the total amount of economic activity that it supports, which includes multiple waves of spillover impacts generated by spending on goods and services and spending of labor income by employees. The economic impact from direct expenditures is modeled using IMPLAN, an industry standard input-output model software program. Such models are designed to estimate two sets of spillover impacts from direct expenditures:

- The indirect effect, which measures the multiplier effect from the purchase of goods and services from local vendors (i.e. supply chain impacts); and
- The induced effect, which measures the multiplier effect from the spending of labor income by employees within a particular geography (i.e. labor income impacts).

The total economic impact of the proposed casino is the sum of its own direct economic footprint (in terms of both Stadium Casino's spending, and ancillary spending by the visitors they attract), plus the indirect and induced effects generated by that direct footprint. Economic impacts are estimated for Hempfield Township, Westmoreland County, and the Commonwealth of Pennsylvania (inclusive of the township and county impact) (see Figure 2).

Figure 1 – Economic Impact Methodology



Source: IMPLAN (2015), Pictochart (2018)

The economic impacts, estimated using IMPLAN, are translated to fiscal impacts using tax models created by ESI specific to the Commonwealth of Pennsylvania and Hempfield Township. ESI uses its own fiscal model, which establishes the relationship between economic activity and tax revenue collections for various jurisdictions (i.e. effective tax rates). In the case of non-profit entities, modeling is adjusted to ensure that tax-exempt status is accounted for, while still including economic activity that is tax generating. For example, casino employees generate wage and income tax, and the indirect and induced activity it generates largely accrues to private firms, and therefore is broadly tax generating.

**Section 7**

This section of the report quantifies the construction and ongoing operations impact within Hempfield Township, Westmoreland County, and Pennsylvania. Direct construction activity will employ construction workers and professional service providers, who will in turn spend a portion of their salaries and wages within the local and state economy. Construction activity is also expected to catalyze the procurement of a wide range of goods and services, which will translate into new economic opportunities for local and state vendors. Ongoing operations will require the procurement of various goods and services, which is expected to translate into new economic opportunities for local and state vendors representing a wide range of industries.

The one-time economic impact of the proposed casino’s construction is estimated to be [REDACTED] which is projected to support approximately [REDACTED] jobs. Construction is projected to also generate approximately [REDACTED] in tax revenues for the Commonwealth. While the construction-related benefits of the development are temporary in nature, over the course of construction, the project is projected to support an estimated [REDACTED] total jobs in the Township and more than [REDACTED] total jobs in the Commonwealth.

The total economic impact of ongoing operations on Hempfield is projected to be nearly [REDACTED] by year three, when the casino is fully operational, and create [REDACTED] jobs in the Township. Total tax revenue generated, including local property and gaming, state income, sales, and business taxes, by the proposed development’s operations is estimated to be nearly [REDACTED] annually.

**Upfront Construction**

According to construction budgets prepared by Stadium Casino, it is anticipated that the development of the casino is estimated to represent [REDACTED] in direct upfront expenditures. Not all expenditures represent direct outlays that produce spillover effects within the region and state, so certain expenditure categories were partially or fully reduced before modeling. Based on this approach, it is estimated that the project is estimated to represent [REDACTED] in direct upfront expenditures.

**Table 3 – Estimated Direct Expenditures from Upfront Construction**

<b>Direct Expenditure Category</b>	<b>Total Construction Expenditures</b>	<b>Modeled Portion</b>	<b>Modeled Construction Expenditures</b>
Acquisition / Licenses Fee	[REDACTED]	[REDACTED]	[REDACTED]
Architect, Permits, Construction Management	[REDACTED]	[REDACTED]	[REDACTED]
Building GMP / Contingency	[REDACTED]	[REDACTED]	[REDACTED]
FF&E	[REDACTED]	[REDACTED]	[REDACTED]
Financing/Closing Costs	[REDACTED]	[REDACTED]	[REDACTED]
Opening Inventories	[REDACTED]	[REDACTED]	[REDACTED]
Preopening (Labor, Marketing, Casino Cash)	[REDACTED]	[REDACTED]	[REDACTED]
<b>Total</b>	[REDACTED]	[REDACTED]	[REDACTED]

Source: Stadium Casino (2018)

Upfront construction of the development is expected to have significant one-time impacts on the regional and state economy. The construction phase is expected to represent approximately:

- ██████ in one-time economic impact within the Township economy, supporting ██████ jobs and ██████ in earnings,
- ██████ in one-time economic impact within Westmoreland County, supporting ██████ jobs and ██████ in earnings, and
- ██████ in one-time economic impact within the Commonwealth, supporting ██████ jobs and ██████ in earnings.

**Table 4 - Estimated One-Time Impact to Hempfield Township, Westmoreland County and the State of Pennsylvania from Upfront Construction**

<b>Economic Impact from Upfront Construction</b>	<b>Economic Impact to Hempfield Township</b>	<b>Economic Impact to Westmoreland County</b>	<b>Economic Impact to the State of Pennsylvania</b>
Direct Output (\$M)	█████	█████	█████
Indirect and Induced Output (\$M)	█████	█████	█████
<b>Total Impact (\$M)</b>	█████	█████	█████
<b>Employment Supported (FTE)</b>	█████	█████	█████
<b>Earnings (\$M)</b>	█████	█████	█████

Source: IMPLAN (2015)

Construction of the casino is also expected to generate tax revenues for the Commonwealth of Pennsylvania for the duration of the project. It is estimated that upfront construction is expected to produce approximately ██████ income, sales, and business tax revenues.

**Table 5 – Tax Revenue Generated from Construction within the Commonwealth of Pennsylvania**

<b>Tax Revenue Impact from Construction</b>	<b>Tax Revenue Impact to Commonwealth of Pennsylvania</b>
Personal Income Taxes	█████
Sales Taxes	█████
Business Taxes	█████
<b>Total Taxes</b>	█████

Source: IMPLAN (2015)

Ongoing Operations

According to data provided by Stadium Casino, ongoing annual operating revenues for the casino are estimated to be ██████ each year, by year three, when the location is fully operational. This

includes gaming, restaurant, beverage, ATM, and rental revenues. The composition and scale of various impact categories such as output, employment, and tax revenues are derived from casino revenues.

Ongoing operations of the proposed development are expected to have significant annual impacts on the regional and state economy. The economic impact of the casino is expected to represent approximately:

- ██████████ in economic impact within the Township economy, supporting ██████ jobs and ██████ in earnings per year,
- ██████████ in economic impact within Westmoreland County, supporting ██████ jobs and ██████ in earnings annually, and
- ██████████ in annual economic impact within the Commonwealth economy, supporting ██████ jobs and ██████ in earnings.

**Table 6 – Economic Impact of Operations to Hempfield Township, Westmoreland County, and the Commonwealth of Pennsylvania**

<b>Economic Impact from Ongoing Operations</b>	<b>Economic Impact to Hempfield Township</b>	<b>Economic Impact to Westmoreland County</b>	<b>Economic Impact to the State of Pennsylvania</b>
Direct Output (\$M)	██████	██████	██████
Indirect and Induced Output (\$M)	██████	██████	██████
<b>Total Impact (\$M)</b>	██████	██████	██████
<b>Employment Supported (FTE)</b>	██████	██████	██████
<b>Earnings (\$M)</b>	██████	██████	██████

Source: IMPLAN (2015)

Ongoing operations of the proposed development are also expected to increase various tax bases and therefore generate tax revenues for the Commonwealth. It is estimated that ongoing operations is expected to produce nearly ██████████ in tax revenues for the Commonwealth. This economic impact includes new income and business taxes from the direct, indirect, and induced economic activity generated by the proposed development.

**Table 7 – Tax Revenue Generated from Ongoing Operations of the Casino within the Commonwealth of Pennsylvania**

<b>Tax Revenue Impact from Construction</b>	<b>Tax Revenue Impact to Commonwealth of Pennsylvania within the First Year</b>
Personal Income Taxes	████████
Sales Taxes	████████
Business Taxes	████████
<b>Total Taxes</b>	████████

*Source: IMPLAN (2015)*

## **Section 9**

The proposed casino will act as an economic engine and community partner. The tax revenue generated from the construction and operations activity associated with the proposed casino is expected to outweigh negligible adverse impacts experienced by Hempfield Township and the local community as a result of increased demand for public services. As stipulated by state gaming regulations, the proposed casino is projected to contribute over [REDACTED] to state, county, and local revenue funds once the casino is fully operational in year 3.

In year 3 of operations, Hempfield Township is estimated to receive over [REDACTED] in annual revenue as a result of the operations of the proposed casino, consisting of [REDACTED] in annual slot host revenue and [REDACTED] in annual table host revenue. The County and State are also expected to derive significant tax revenue from slots, table, and sports wagering activity at the proposed casino.

**Table 8 – State, County, and Local Revenue Derived from Slots, Table, and Sports Wagering Revenue at the Proposed Casino**

<b>Slot Revenue</b>	<b>Tax Rate</b>	<b>Tax Revenue</b>
Property Tax Relief	34%	██████████
PA Gaming Economic Development and Tourism Fund	6%	██████████
CFA Grants -Any County Suffering Decreased LSA	5%	██████████
Match for Host County LSA Funding	5%	██████████
Grants through CFA to Host County	2%	██████████
Host Municipality	2%	██████████
<b>Total</b>	<b>54%</b>	██████████
<hr/>		
<b>Table Revenue</b>		
PA General Fund	14%	██████████
CFA Grants to Host County	1%	██████████
Host Municipality	1%	██████████
<b>Total</b>	<b>16%</b>	██████████
<hr/>		
<b>Sports Wagering</b>		
PA General Fund	34%	██████████
CFA Grants- Commonwealth Projects	2%	██████████
<b>Total</b>	<b>36%</b>	██████████
<hr/>		
<b>Total Gaming</b>		██████████

Source: PA Gaming Control Board (2018), Stadium Casino LLC(2018)

In addition to host community gaming revenue, construction and operations activity at the proposed casino is expected to generate local tax revenue for Hempfield Township. Over a 12-month period, the Township is expected to receive over ██████████ in earned income tax (EIT), local service tax (LST), per capita, and business privilege tax (BPT) as a result of the direct, indirect, and induced economic activity generated by construction of the proposed casino.

**Table 9 – Hempfield Township One-time Tax Revenue from Construction**

Hempfield Township	One-Time Construction Revenue
EIT	████████
LST	████████
Per Capita	██████
BPT	████████
<b>Total</b>	████████

Source: Hempfield Township(2018), IMPLAN (2015)

Following the completion of the casino, the township is expected to experience an annual increase in EIT, LST, Per Capita, and BPT. The direct, indirect, and induced activity at the casino will support approximately ██████ in EIT, ██████ in LST, and ██████ in per capita tax revenue annually. Overall, activity at the proposed casino will generate over ██████ in new annual revenue for the township. The casino will also contribute approximately ██████ in real estate tax revenue to the Township as tenant of the Westmoreland Mall.

**Table 10 – Hempfield Township Tax Revenue from Ongoing Operations**

Hempfield Township	Annual Revenue from Operations
EIT	████████
LST	████████
Per Capita	██████
BPT/Mercantile	████████
	████████

Source: Hempfield Township Tax Information (2018), IMPLAN (2015)

**Table 11 – Net Annual Impact in Hempfield Township**

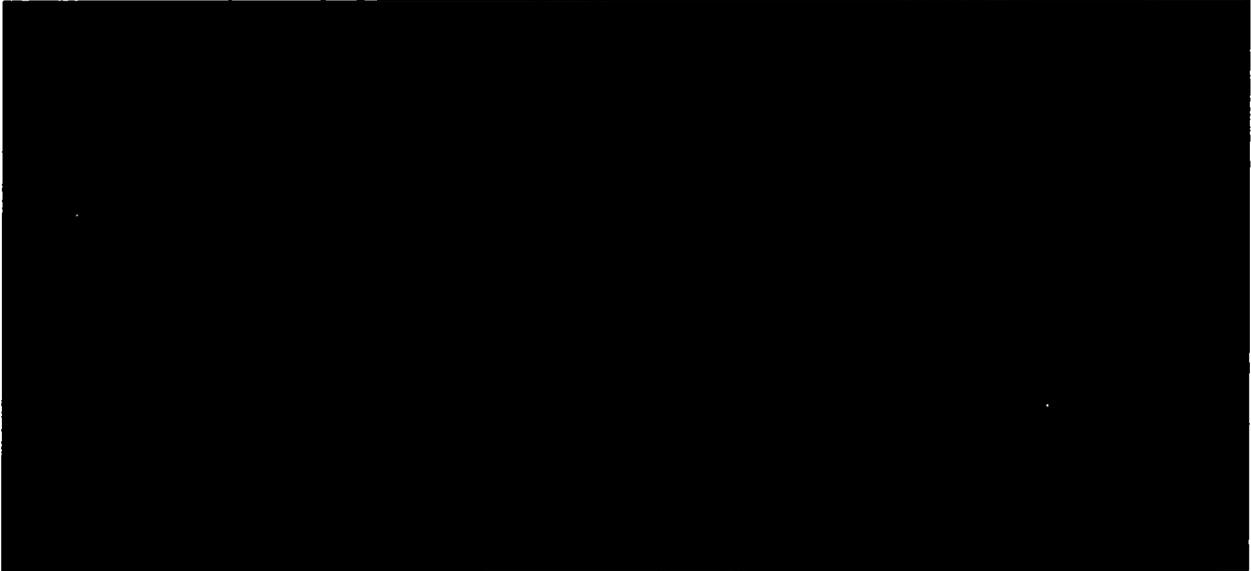
<b>Tax Revenue Increase</b>	<b>Year 3</b>
Host Municipality Slot Revenue	██████████
Host Municipality Table Revenue	██████████
EIT	██████████
LST	██████████
Per Capita	██████████
BPT	██████████
<b>Total</b>	██████████
<b>Negative Community Impact</b>	██████████
<b>Net Positive (Negative) Impact</b>	██████████

Source: Stadium Casino LLC(2018), Hempfield Township (2018), PA Gaming Control Board (2018),) IMPLAN (2015)

Stadium Casino will act as an economic engine and community partner. Hempfield Township is expected to receive approximately ██████████ in annual revenue once Stadium Casino is fully operational in year 3. In total, the casino development is expected to have no negative impact on the township.

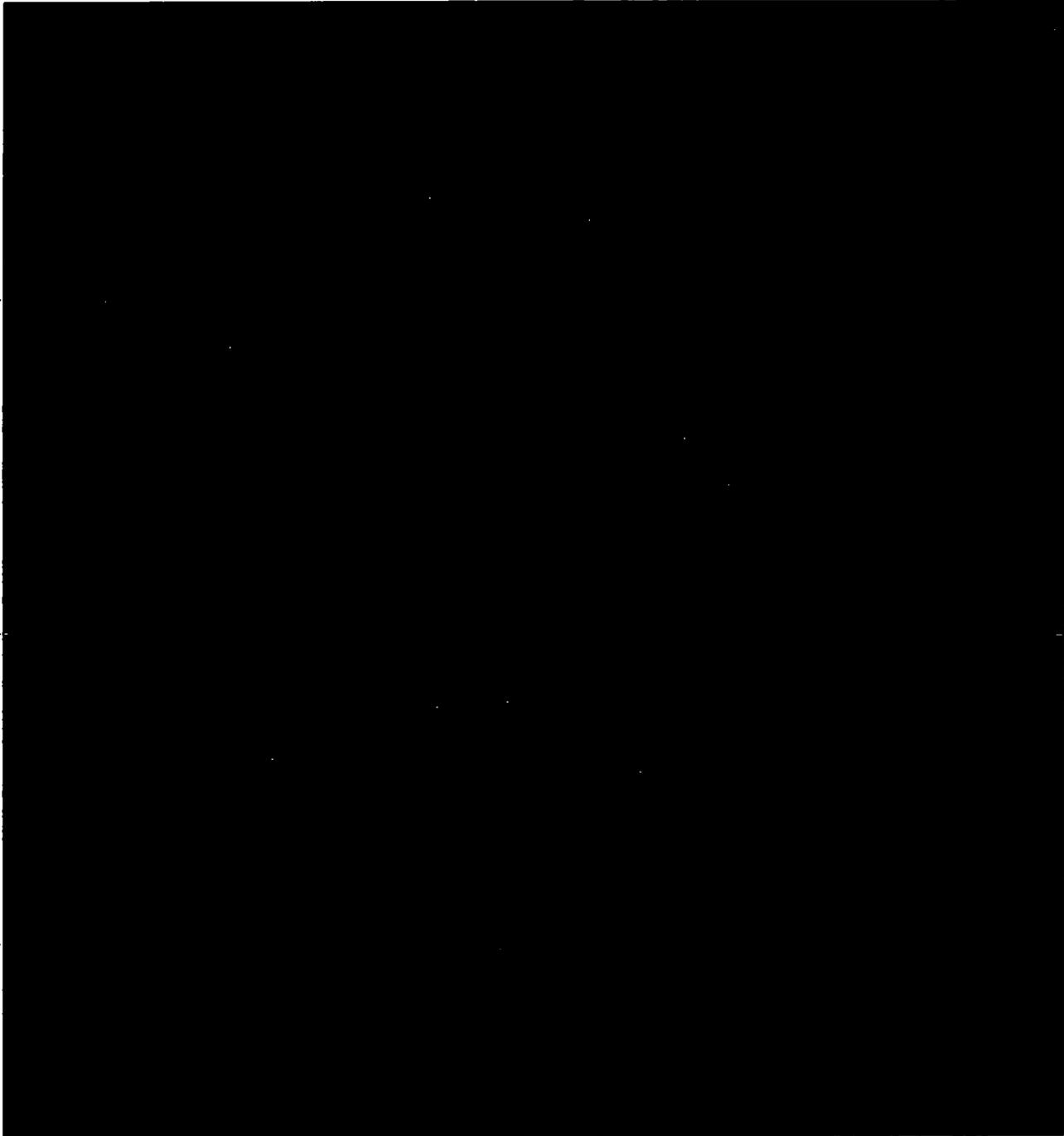
**APPENDIX 38**

**PROVIDE INFORMATION DEMONSTRATING ADEQUATE FINANCING FOR THE  
PROPOSED FACILITY AND TERMS OF FINANCING INCLUDING PAYBACK PERIOD.**



## **APPENDIX 39**

**PROVIDE BUSINESS AND ECONOMIC DEVELOPMENT PLANS AND TIMETABLES, PROJECTED DEBT SERVICE EXPENSES, PROJECTED EBITDA AND INTERNAL RATE OF RETURN, PROJECTED ANNUAL GROSS TERMINAL REVENUE, PROJECTED OPERATING AND CAPITAL EXPENSES AND DEFINED GAMING MARKET AND PROJECTED VISITATION.**





## APPENDIX 43

PROVIDE A CHART OF EXISTING GAMING SERVICE PROVIDERS\* INCLUDING THE NAME, ADDRESS, PHONE AND TAX IDENTIFICATION NUMBER OF THE GAMING SERVICE PROVIDERS, TYPES OF GOODS AND/OR SERVICES PROVIDED BY THE GAMING SERVICE PROVIDERS, TOTAL DOLLAR AMOUNT OF BUSINESS WITH GAMING SERVICE PROVIDERS IN THE PAST TWELVE (12) MONTHS AND TOTAL DOLLAR AMOUNT OF BUSINESS EXPECTED TO BE CONDUCTED WITH GAMING SERVICE PROVIDERS IN THE NEXT TWELVE (12) MONTHS.

\* GAMING SERVICE PROVIDERS IS DEFINED IN 58 PA. CODE §401.A.3.



## APPENDIX 44

PROVIDE A SUMMARY OF ALL PERSONS WHO HOLD AN OWNERSHIP OR OTHER BENEFICIAL INTEREST IN THE APPLICANT AND ANY SUCH INTEREST IN ANY OF ITS PRINCIPAL AFFILIATES OR PRINCIPAL ENTITIES REQUIRED TO BE LICENSED OR PERMITTED IN PENNSYLVANIA; PROVIDED HOWEVER, IF ANY OF THE ENTITIES ARE PUBLICALLY TRADED, ONLY INTERESTS EQUAL TO OR EXCEEDING FIVE PERCENT MUST BE DISCLOSED. OWNERSHIP INTEREST SHOULD BE PROVIDED IN A MANNER CONSISTENT WITH THE OWNERSHIP INTEREST REPORT FOUND ON THE BOARD'S WEBSITE UNDER LICENSURE/REPORTS AND GENERAL INFORMATION.

### Stadium Casino LLC Ownership Structure

#### **Stadium Casino LLC**

50.0 Stadium Casino Baltimore Investors, LLC

50.0 Stadium Casino Investors, LLC

100.0

#### **Stadium Casino Baltimore Investors, LLC**

43.0 Jonathan Cordish

43.5 Blake Cordish

10.0 Joseph Weinberg

2.0 Charles Jacobs

1.0 Zed Smith

0.5 Robert J. Norton

100.0

#### **Stadium Casino Investors, LLC**

100.0 Sterling Investors Trust (for the benefit of Yeghiche Manoukian, Karnig Manoukian and Aram Manoukian)

**Net Ownership Interest In Stadium Casino LLC**

**50.00 Sterling Investors Trust (for the benefit of Yeghiche Manoukian, Karnig Manoukian and Aram Manoukian)**

**21.50 Jonathan Cordish**

**21.75 Blake Cordish**

**5.00 Joseph Weinberg**

**1.00 Charles Jacobs**

**0.50 Zed Smith**

**0.25 Robert J. Norton**

**100.00**

**APPENDIX 46**

**PROVIDE DOCUMENTATION TO THE BOARD THAT THE APPLICANT'S LOCATION  
MEETS THE ELIGIBILITY REQUIREMENTS IN 1305.1(B)**

**SEE ATTACHED AFFIDAVIT**

**AFFIDAVIT**

AND NOW, this 24<sup>th</sup> day of July, 2018, I, Joseph S. Weinberg, do hereby present to the Pennsylvania Gaming Control Board ("PGCB" or "Board") this Affidavit, and in support thereof, I do solemnly swear and affirm the following:

1. I am an authorized representative of Stadium Casino, LLC, having a principal business address of 601 East Pratt Street, Baltimore, Maryland 21202.
2. Stadium Casino, LLC currently possesses a Category 2 Slot Machine license and Table Game Certificate under the Pennsylvania Race Horse Development and Gaming Act, 4 Pa.C.S. §§ 1101 *et seq.*
3. Pursuant to 4 Pa.C.S. § 1305.1 authorizing the creation of Category 4 Slot Machine licenses and the policies promulgated by the Board regarding distribution of the same, Stadium Casino, LLC submitted a winning bid for a Category 4 Slot Machine license.
4. Stadium Casino, LLC certifies that its designated Category 4 location is in compliance with the provisions of 4 Pa.C.S. § 1305.1(B)(2).
5. Stadium Casino, LLC certifies that its Category 4 licensed facility will not be located (a) in a county currently hosting a Category 3 licensed facility and/or (b) a county of the sixth class which is contiguous to a county that hosts a Category 2 licensed facility. 4 Pa.C.S. § 1305(B)(6) and (7).
6. Stadium Casino, LLC certifies that its Category 4 licensed facility will not be located in a municipality of the Commonwealth that has opted to prohibit such facility pursuant to 4 Pa.C.S. § 1305.1(A.1).
7. Stadium Casino, LLC certifies that its Category 4 location is not located within any portion of a previous winning bidder's Category 4 location. 4 Pa.C.S. § 1305.1(B)(3).
8. Prior to issuance of the Category 4 Slot Machine license, if applicable, Stadium Casino, LLC will waive any exemptions, deductions, abatements, or credits created under the Keystone Opportunity Zone and related programs. 4 Pa.C.S. § 1305.1(B)(4) and (5).

WHEREFORE, the undersigned hereby swears and affirms that the above and foregoing representations are true and correct to the best of my information, knowledge, and belief. By signing this Affidavit, the undersigned hereby understands and acknowledges that the above and foregoing representations are subject to the penalties of 18 Pa.C.S. § 4902 (Perjury) and § 4903 (False Swearing).

Date: 7/24/18

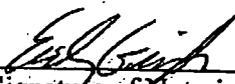
By:   
Signature

Joseph S. Weinberg  
Printed Name

AUTHORIZED REPRESENTATIVE  
Title

State of Maryland  
County of Baltimore

Signed or attested before me on the 24<sup>th</sup> day  
of July, 2018 by Emily Gruzinski.

  
Signature of Notarial Officer

Stamp

Title of Office

My Commission Expires:



*Handwritten initials*