



Chairman
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PENNSYLVANIA GAMING CONTROL BOARD
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HARRISBURG, PENNSYLVANIA
17106-9060

Commissioners
RICHARD G. JEWELL
OBRA S. KERNODLE IV
SEAN LOGAN
KATHY M. MANDERINO
MERRITT C. REITZEL
DANTE SANTONI, JR.

Ex-Officio Members
C. DANIEL HASSELL
RUSSELL C. REDDING
JOSEPH M. TORSSELLA

MEETING MINUTES
WEDNESDAY, MARCH 21, 2018 – 10:00 a.m.
STRAWBERRY SQUARE COMPLEX, 2ND FLOOR, HARRISBURG

A meeting of the Pennsylvania Gaming Control Board was held at the Pennsylvania Gaming Control Board Office of Hearings and Appeals, Strawberry Square Complex, 2nd Floor, Harrisburg, PA.

MEMBERS OF THE BOARD:

David M. Barasch, Chairman
Richard G. Jewell
Obra S. Kernodle, IV (*via teleconference*)
Sean Logan (*via teleconference*)
Kathy M. Manderino
Merritt C. Reitzel
Dante Santoni, Jr. (*via teleconference*)

EX-OFFICIO MEMBERS/DESIGNEES IN ATTENDANCE:

Robert Coyne, Designee, Department of Revenue
Jennifer Langan, Designee, Pennsylvania Treasury

PA GAMING CONTROL BOARD EXECUTIVE STAFF WHO APPEARED BEFORE THE BOARD:

Kevin O'Toole
Claire Yantis – Resolution, Personnel Matters
Danette Bixler-George (in attendance)
Laura Burd - Regulations
R. Douglas Sherman – Petitions
Stephen Cook – Withdrawals/Surrenders, Reports and Recommendations
Susan Hensel – Licensing Matters
Cyrus Pitre – Enforcement Actions

Assistant Enforcement Counsel:

Dustin Miller, David Tepper, John Crohe, Sarah Kolesar, John Pokiniewski, Ashley Gabrielle, Tamara Haken and Benjamin Ferrell

ALSO PRESENT WERE:

Adrian King, Jr., Esquire, representing Penn National Gaming, Inc.
John Finamore, Sr. Vice President, Penn National Gaming, Inc.
Chris Rogers, Vice President, Deputy General Counsel, Penn National Gaming, Inc.

A quorum of the Board being present or via teleconference, Chairman Barasch called the meeting to order.

CATEGORY 4 AUCTION

A Category 4 Auction was previously rescheduled from March 7th to March 9th due to inclement weather. There were no bids.

PUBLIC HEARING

A public hearing was held regarding a Joint Petition for Approval of Change of Control of Washington Trotting Association, LLC by Penn National Gaming, Inc. This item was addressed during the meeting under the Office of Chief Counsel.

ANNOUNCEMENTS

Chairman Barasch announced that the Board held an executive session on March 6th, to discuss personnel matters and to conduct quasi-judicial deliberations relating to matters being considered by the Board on March 21st. Additionally, he announced that the next Category 4 Casino License Auction will be held on April 4th at 10:00 a.m.

MINUTES / TRANSCRIPTS

On a motion by Board Member Reitzel, seconded by Board Member Jewell, the minutes and transcript of the January 10, 2018 meeting was unanimously approved.

EXECUTIVE DIRECTOR'S REPORT

Kevin O'Toole, Executive Director, made brief remarks regarding March being Problem Gambling Awareness Month. He then called on Administrative Director, Claire Yantis to update the Board on Resolution 2018-1-CPG.

OFFICE OF ADMINISTRATION

PGCB RESOLUTION NO. 2018-1-CPG

Motions: 1st–Board Member Jewell / 2nd–Board Member Manderino

The Board hereby approved Resolution 2018-1-CPG as follows:

WHEREAS, The legislative intent of the Pennsylvania Race Horse Development and Gaming Act ("Gaming Act") recognizes, in part, that the public interest of the citizens of this Commonwealth and the social effect of gaming shall be taken into consideration in any decision or order made pursuant to the Gaming Act; and

WHEREAS, The Pennsylvania Gaming Control Board ("PGCB") recognized the social effect of gaming when it created the Office of Compulsive and Problem Gambling to: conduct research; develop public outreach efforts; work with the Pennsylvania slot machine licensees to develop and implement problem gambling programs at licensed facilities; administer the PGCB's Self-Exclusion Program; and promote problem gambling education programs in this Commonwealth; and

WHEREAS, The Gaming Act established a special fund to be known as the Compulsive and Problem Gambling Treatment Fund, and required that all money in the fund should be expended for programs for public awareness, prevention, research, assistance and treatment of gambling addictions; and

WHEREAS, Problem gambling is a public health issue affecting Pennsylvanians of all ages, races, gender and ethnic backgrounds in all communities; and

WHEREAS, Problem gambling is treatable, and treatment is effective in minimizing the harm to individuals, families and society as a whole; and

WHEREAS, Numerous individuals, professionals, agencies, and organizations in Pennsylvania have dedicated their efforts to the education of the public about problem gambling and the availability and effectiveness of treatment; and

WHEREAS, Promoting awareness of problem gambling is an opportunity to educate the public and policy makers about the social and financial issues related to problem gambling; and

THEREFORE, The Pennsylvania Gaming Control Board hereby recognizes March 2018 as PROBLEM GAMBLING AWARENESS MONTH. We encourage all citizens to learn more about the signs of problem gambling and to help spread the message about the availability of treatment.

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-75-HR

Motions: 1st–Board Member Reitzel / 2nd–Board Member Manderino

The Board hereby approved the following new hires:

The Board hereby ratified the Personnel Committee's approval to hire Mr. Jesse Schell as a Casino Compliance Representative for Parx Casino; and approved the hiring of Matthew Pierantoni as a Casino Compliance Representative for Mount Airy Casino.

Board Decision: Passed by unanimous vote

OFFICE OF CHIEF COUNSEL

PGCB MOTION NO. 2018-76 OCC

Motions: 1st–Board Member Reitzel / 2nd–Board Member Manderino

The Board hereby adopted Temporary Regulation #125-212 (Fantasy Sports Contest).

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-77 OCC

Motions: 1st–Board Member Manderino / 2nd–Board Member Jewell

The Board hereby adopted Temporary Regulation #125-213 (Interactive Gaming).

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-78 OCC

Motions: 1st–Board Member Jewell / 2nd–Board Member Reitzel

The Board hereby adopted Temporary Regulation #125-214 (Interactive Gaming).

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-79 OCC**Motions:** 1st–Board Member Reitzel / 2nd–Board Member Manderino

The Board hereby approved, with conditions, the Joint Petition for Approval of Change of Control of Washington Trotting Association, LLC by Penn National Gaming Inc. It was further ordered that OEC's Motion to Protect Confidential Information is denied.

Docket Number: 6672-2018

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-80 OCC**Motions:** 1st–Board Member Reitzel / 2nd–Board Member Jewell

The Board approved the following withdrawals/surrenders:

- Anthony Tomasello – Surrender Principal License; 5094-2017
- James Jabczynski – Withdraw Principal License Application; 6569-2017
- Chester Downs & Marina, LLC
 - Caesar's Entertainment Operating Company, Inc. – Surrender Principal License; 6644-2018
 - Eric Press - Surrender Principal License; 6645-2018
 - Frederick John Kleisner - Surrender Principal License; 6646-2018
 - Gary W. Loveman - Surrender Principal License; 6647-2018
 - Jeffrey David Benjamin - Surrender Principal License; 6648-2018
 - Kelvin Davis - Surrender Principal License; 6649-2018
 - Ronen Larry Stauber - Surrender Principal License; 6650-2018
 - Timothy James Lambert - Surrender Principal License; 6651-2018
 - Kenneth K. Kuick - Surrender Principal License; 6652-2018
 - Mary Elizabeth Higgins - Surrender Principal License; 6653-2018
 - Steven Winograd - Surrender Principal License; 6654-2018
 - Michael D. Cohen - Surrender Principal License; 6655-2018
 - Craig Justin Abrahams - Surrender Principal License; 6656-2018
 - Finance Corp. - Surrender Principal License; 6658-2018
 - George K. Miller, Jr. - Surrender Principal License; 6642-2018
 - Kevin Flynn - Surrender Principal License; 6643-2018
 - Karl Ivar Peterson – Withdraw Principal License Renewal Application; 6659-2018
 - John W.R. Payne – Surrender Principal License; 6673-2018
- Neil Abrams – Surrender Principal License; 6657-2018
- Leonard Hastings Ainsworth – Surrender Principal License; 6676-2018
- Shawn Michelle Yetter – Surrender Principal License; 6677-2018
- Michael Dreitzer – Withdraw Principal License Application; 6683-2018

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-81 OCC**Motions:** 1st–Board Member Reitzel / 2nd–Board Member Manderino

The Board adopted the Report and Recommendation of the Office of Hearings and Appeals and denied A.B.'s Petition for from the Voluntary Self-Exclusion List. All files related to this matter shall remain sealed.

Docket Number: 5464-2017

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-82 OCC**Motions:** 1st–Board Member Manderino / 2nd–Board Member Reitzel

The Board adopted the Report and Recommendation of the Office of Hearings and Appeals and denied Weiming Ma's Petition for early removal from the Involuntary Exclusion List.

Docket Number: 3768-2014

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-83 OCC**Motions:** 1st–Board Member Reitzel / 2nd–Board Member Manderino

The Board adopted the Report and Recommendation of the Office of Hearings and Appeals placing Marcus Brown on the Involuntary Exclusion List. Additionally, it was approved that certain exhibits which contain confidential information be sealed from the Board's public record or redacted of all confidential information.

Docket Number: 5135-2017
 Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-84 OCC

Motions: 1st–Board Member Manderino / 2nd–Board Member Jewell

The Board adopted the Report and Recommendation of the Office of Hearings and Appeals regarding the Amended Petition to place Al Davis on the Involuntary Exclusion List. Additionally, it was approved that certain exhibits which contain confidential information be sealed from the Board's public record or redacted of all confidential information.

Docket Number: 5169-2017
 Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-85 OCC

Motions: 1st–Board Member Jewell / 2nd–Board Member Reitzel

The Board adopted, in part and rejected, in part, the Report and Recommendation of the Office of Hearings and Appeals recommending that Mr. Lewis NOT be placed on the Exclusion List. The Board finds that Mr. Lewis' presence in a casino to be inimical to the Commonwealth, however, does not meet the exclusion requirement as his one incident does not establish a history of conduct and his then-pending charges were withdrawn. In conclusion, Mr. Lewis was placed on the Involuntary Exclusion List.

Docket Number: 5416-2017
 Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-86 OCC

Motions: 1st–Board Member Manderino / 2nd–Board Member Jewell

The Board adopted the Report and Recommendation of the Office of Hearings and Appeals recommending that the suspension of Dylan Groff's Gaming Employee Occupation Permit remain in effect.

Docket Number: 6604-2017
 Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-87 OCC

Motions: 1st–Board Member Jewell / 2nd–Board Member Manderino

The Board adopted the Report and Recommendation of the Office of Hearings and Appeals recommending that the suspension of Johnny Harmon's Gaming Employee Occupation Permit remain in effect. Confidential information shall be sealed or redacted.

Docket Number: 6573-2017
 Board Decision: Passed by unanimous vote

BUREAU OF LICENSING

PGCB MOTION NO. 2018-88 BOL

Motions: 1st–Board Member Manderino / 2nd–Board Member Reitzel

The Board approved the renewal of Lightning Gaming, Inc.'s (LGI) Slot Machine Manufacturer License.

The following principals submitted applications for initial or renewal licensure in conjunction with LGI's renewal application along with the required application fees:

- Donald R. Caldwell, Director, Shareholder, 17.92% Owner – Docket #18383-5
- Brian D. Haveson, Chief Executive Officer, Chairman, 15.75% Owner – Docket #18387-4
- Christopher G. Strano, President – Docket #18301-6
- Frederick C. Tecce, Director, 8.10% Owner – Docket #1598-6

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-89 BOL

Motions: 1st–Board Member Reitzel / 2nd–Board Member Manderino

The Board approved the renewal of Kenneth Froom Tours, Inc.'s Gaming Junket Enterprise License.

The following principal submitted a renewal application with required application fees:

- Kenneth Froom, President and 100% Owner – Docket #64989-4

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-90 BOL**Motions:** 1st–Board Member Manderino / 2nd–Board Member Jewell

The Board approved the renewal of Cotler VIP Tours, Inc.'s Gaming Junket Enterprise License.

The following principal submitted a renewal application with required application fees:

- Steve D. Cotler, President/Secretary/Treasurer and 100% Owner – Docket #68650-3

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-91 BOL**Motions:** 1st–Board Member Jewell / 2nd–Board Member Manderino

The Board approved the following Principal (7) and Key Employee (3) Licenses:

Ainsworth Game Technology Limited

Principal Employees

Initial

- Bernard Anton Oswald, Chairman of the Audit Committee of Novomatic AG, which is the majority owner of Ainsworth Game Technology Limited – Docket #99966-3
- Kieran Power, Chief Technology Officer of Ainsworth Game Technology Limited – Docket #99638-1
- Robert Josef Hofians, Chairman of the Audit Committee of Novomatic AG, which is the majority owner of Ainsworth Game Technology Limited – Docket #99967-3

Konami Gaming, Inc.

Key Employees

Initial Licensures

- Jeffrey Michael Clifford, Senior Account Executive – Docket #99251-1
- Joseph William Dominguez, Technical Sales Executive – Docket #98094-1
- Scott Ryan Freed, Eastern Regional Sales Director – Docket #93256-1

Novomatic Gaming Industries GmbH

Principal Employees

Initial Licensure

- Bernd Anton Oswald, Chairman of the Supervisory Board of Novomatic AG, which is 100% owner of Novomatic Gaming Industries GmbH – Docket #99966-1
- Robert Josef Hofians, Member of Novomatic Gaming Industries GmbH Supervisory Board and Chairman of the Audit Committee for Novomatic AG; Novomatic AG is an affiliate and the parent company (100% owner) of Novomatic Gaming Industries GmbH – Docket #99967-1

Novomatic Americas Sales, LLC

Principal Employees

Initial Licensure

- Bernd Anton Oswald, Chairman of the Supervisory Board Novomatic AG, an affiliate of Novomatic Americas Sales, LLC – Docket #99966-2
- Robert Josef Hofians, Chairman of the Audit Committee of Novomatic AG, an affiliate of Novomatic Americas Sales, LLC – Docket #99967-2

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-92 BOL**Motions:** 1st–Board Member Manderino / 2nd–Board Member Reitzel

The Board approved the issuance of six (6) Temporary Principal and six (6) Temporary Key Employee Credentials.

Information pertaining to the names of these individuals can be found in the Order.

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-93 BOL**Motions:** 1st–Board Member Reitzel / 2nd–Board Member ManderinoThe Board approved the issuance of 880 (141 G2 full permits, 231 initial, 288 renewal and 220 temporary G2) Gaming Employee Occupation Permits and 283 Non-Gaming Employee Registrations. *Information pertaining to the names of these individuals can be found in the Order.*

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-94 BOL

Motions: 1st–Board Member Manderino / 2nd–Board Member Reitzel

The Board hereby denied the following Gaming Service Provider, Gaming and Non-Gaming Employee Applications as follows:

GAMING EMPLOYEES

- 104216-1 – Kane Patron
- 103827-1 – Alexander Kolbe

NON-GAMING EMPLOYEES

- 103213-1 – Jessica Muchler
- 104454-1 – Brandon Gavin
- 104402-1 – Tearra Roberts
- 104094-1 – Marquise Boyd
- 103905-1 – Seyeanen Gilman

GAMING SERVICE PROVIDER

- 100254-1 - ReferLocal

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-95 BOL

Motions: 1st–Board Member Reitzel / 2nd–Board Member Manderino

The Board approved the withdrawal of 2 Key and 29 Gaming and 13 Non-Gaming Employee Applications. *Information pertaining to the names of these individuals can be found in the Order.*

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-96 BOL

Motions: 1st–Board Member Reitzel / 2nd–Board Member Jewell

The Board approved the following Gaming Service Provider Registrations:

- Absecon Island Beverage Company, Inc.
- RCR Electrical Contractors, Inc.
- S.A. Comunale Co., Inc.
- A.T. Chadwick Company, Inc.
- Metrobrand Services, LLC, DBA: Mill Creek
- John J. Gregory Co., Inc.
- Patti’s Petals, Inc.
- David C. Budd
- TR-BIZ LLC, dba Tech Results
- SQLDBGuru, LLC
- Garda CL Atlantic, Inc. DBA: Garda World Cash Services
- Sean Byrne Construction, Inc.

Board Decision: Passed by unanimous vote

OFFICE OF ENFORCEMENT COUNSEL

PGCB MOTION NO. 2018-97 OEC

Motions: 1st–Board Member Reitzel / 2nd–Board Member Manderino

The Board hereby approved the revocation of Richard Edwards’ Non-Gaming Employee Registration.

Docket Number: 5522-2017

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-98 OEC

Motions: 1st–Board Member Manderino / 2nd–Board Member Jewell

The Board hereby approved the suspension of Dru George’s Non-Gaming Employee Registration. Mr. George’s Non-Gaming Employee Registration shall remain suspended until further action of the Board, which either party to this proceeding may commence.

Docket Number: 5544-2017

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-99 OEC**Motions:** 1st–Board Member Jewell / 2nd–Board Member Reitzel

The Board hereby approved the suspension of Brianna Hawk's Gaming Employee Occupation Permit. Ms. Hawk's Gaming Employee Permit shall remain suspended until further action of the Board, which either party to this proceeding may commence.

Docket Number: 5553-2017

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-100 OEC**Motions:** 1st–Board Member Manderino / 2nd–Board Member Jewell

The Board hereby approved the revocation of Alondra Main's Non-Gaming Employee Registration.

Docket Number: 6575-2017

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-101 OEC**Motions:** 1st–Board Member Jewell / 2nd–Board Member Manderino

The Board hereby approved the revocation of Brandon Underkoffler's Non-Gaming Employee Registration.

Docket Number: 6605-2017

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-102 OEC**Motions:** 1st–Board Member Manderino / 2nd–Board Member Reitzel

The Board hereby approved the suspension of Alissa Murray's Non-Gaming Employee Registration for a period of 30 days.

Docket Number: 6571-2017

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-103 OEC**Motions:** 1st–Board Member Reitzel / 2nd–Board Member Jewell

The Board hereby approved the suspension of Shawn Smith's Non-Gaming Employee Registration. Mr. Smith's Non-Gaming Employee Registration shall remain suspended until further action of the Board, which either party to this proceeding may commence.

Docket Number: 5548-2017

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-104 OEC**Motions:** 1st–Board Member Reitzel / 2nd–Board Member Jewell

The Board hereby approved the suspension of Kara Schwartz's Non-Gaming Employee Registration. Ms. Schwartz's Non-Gaming Employee Registration shall remain suspended until further action of the Board, which either party to this proceeding may commence.

Docket Number: 5474-2017

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-105 OEC**Motions:** 1st–Board Member Jewell / 2nd–Board Member Manderino

The Board hereby approved the revocation of Chawnte Pendleton's Gaming Employee Occupation Permit.

Docket Number: 5519-2017

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-106 OEC**Motions:** 1st–Board Member Manderino / 2nd–Board Member Jewell

The Board hereby approved the revocation of Sean Worthy's Gaming Employee Occupation Permit.

Docket Number: 5497-2017

Board Decision: Passed by unanimous vote

PGCB MOTION NO. 2018-107 OEC

Motions: 1st–Board Member Jewell / 2nd–Board Member Manderino

The Board hereby approved the revocation of Raymond Smith’s Gaming Employee Occupation Permit.

Docket Number: 5448-2017

Board Decision: Passed by unanimous vote

The Board approved the following placements on the PGCB Involuntary Exclusion List:

MOTION #	RESPONDENT	DOCKET #-2017	FIRST	SECOND	BOARD DECISION	CAN PETITION TO BE REMOVED
2018-108 OEC	Raymond Smith	5460	Manderino	Reitzel	Unanimous	
2018-109 OEC*	Danita Daniels	5461	Reitzel	Manderino	Unanimous	
<i>*Granted in part and denied in part – does not meet exclusion criteria under the applicable code as alleged by OEC, since she was not charged with a crime.</i>						
2018-110 OEC	Gary Golden	5200	Manderino	Jewell	Unanimous	
2018-111 OEC	Jeffrey Warren Toll	5494	Jewell	Reitzel	Unanimous	
2018-112 OEC	Ryan Taylor	6576	Jewell	Manderino	Unanimous	
2018-113 OEC	Julio Cruz Estrella	5476	Reitzel	Manderino	Unanimous	
2018-114 OEC	Asongwe Asangmbeng	6606	Manderino	Jewell	Unanimous	
2018-115 OEC	Raghu Basnet	6607	Jewell	Manderino	Unanimous	
2018-116 OEC*	William Dougherty	5536	Manderino	Jewell	Unanimous	
<i>*Granted in part and denied in part – does not meet exclusion criteria under the applicable code as only one incident of wrongdoing occurred.</i>						
2018-117 OEC	Ronisha Lee	5516	Jewell	Reitzel	Unanimous	Automatic Removal after 2 years.
2018-118 OEC	Calvin Jones, Jr.	5444	Manderino	Jewell	Unanimous	
2018-119 OEC	Paul Petry	5543	Jewell	Manderino	Unanimous	
2018-120 OEC	Pierre Smith	5297	Manderino	Reitzel	Unanimous	

PUBLIC COMMENT

There were no speakers for public comment.

ADJOURNMENT

On a motion by Commissioner Reitzel, seconded by Commissioner Jewell, the meeting was adjourned.