



PENNSYLVANIA GAMING CONTROL BOARD
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Sent via Electronic Mail

Interactive Gaming Certificate Holders
Interactive Gaming Operators
Interactive Gaming Manufacturers
Sports Wagering Certificate Holders
Sports Wagering Operators

**RE: Industry Guidance 2024-01
Annual Recertification of Platform and Remote Game Server and Quarterly Change
Management Audit Log**

Dear Interactive Gaming Licensees:

The Pennsylvania Gaming Control Board ("Board") is issuing industry guidance with regard to changes to interactive gaming platforms and remote game servers ("RGS") through the change management process. To ensure compliance with Board regulations and the Board's Release Notes Guidelines with regard to changes made to a platform or RGS after initial certification and approval by the Board, the Board will require licensees to obtain an annual recertification of their platform or remote game server.

The recertification must be completed by an independent testing lab ("ITL") and submitted to the iGaming Unit on the same date as the interactive gaming system security audit required by 58 Pa. Code § 809a.6(d)(3). To clarify, the recertification should not be submitted through the Bureau of Gaming Laboratory Operations' secure file transfer protocol ("SFTP") site as is required for initial certifications.

- Annual Recertification
 - Manufacturers must recertify their RGS annually and submit the certification to the release notes email resource account (ra-gbreleasenotes@pa.gov). Certificate holders and operators must recertify their interactive gaming platform annually and submit the certification to the same email address. To reiterate, recertifications are due on the same date as the annual security audit.

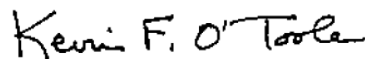
In addition, the Board will also require the submission of a quarterly change management audit log that lists changes deployed via the release notes process during the prior quarter. The audit log must be submitted on the same date as the quarterly internal and external network vulnerability scans required by 58 Pa. Code § 809a.6(d)(4). Board staff have aligned the due dates to assist certificate holders, operators, manufacturers and ITLs in complying with these requirements.

- Quarterly Change Management Audit Log
 - Operators and manufacturers must submit a quarterly audit log of changes to their platform or remote game server made via the release notes process. Audit logs must list the changes deployed over the previous quarter, including the date of each change, author of each change, a description of each change and release note number.
 - Audit logs must be submitted to the release notes email resource account (ragbreleasenotes@pa.gov) by April 30 for the first quarter, July 31 for the second quarter, October 31 for the third quarter and January 31 for the fourth quarter.

These new requirements are effective June 30, 2024. For example, if your annual security audit is due on or after July 1, 2024, your annual platform or RGS recertification is due on the same date. The first quarterly change management log is due July 31, 2024, for all licensees.

If you have any questions or would like additional information, please contact Lee Copello, Director of iGaming Compliance, at (717) 210-8603 or via email at lcopello@pa.gov.

Sincerely,



Kevin F. O'Toole
Executive Director

KFO:lc

cc: Steve Cook, Chief Counsel, PGCB
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